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**Re:** [IEEE 802.15.4a Call for Proposals]

**Abstract:** [This document proposes preliminary proposal for the IEEE 802.15.4a PHY standard based on the UWB direct chaotic communications technology.]

**Purpose:** [This document proposes preliminary proposal for the IEEE 802.15.4a PHY standard.]

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# UWB Direct Chaotic Communications Technology

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# Outline

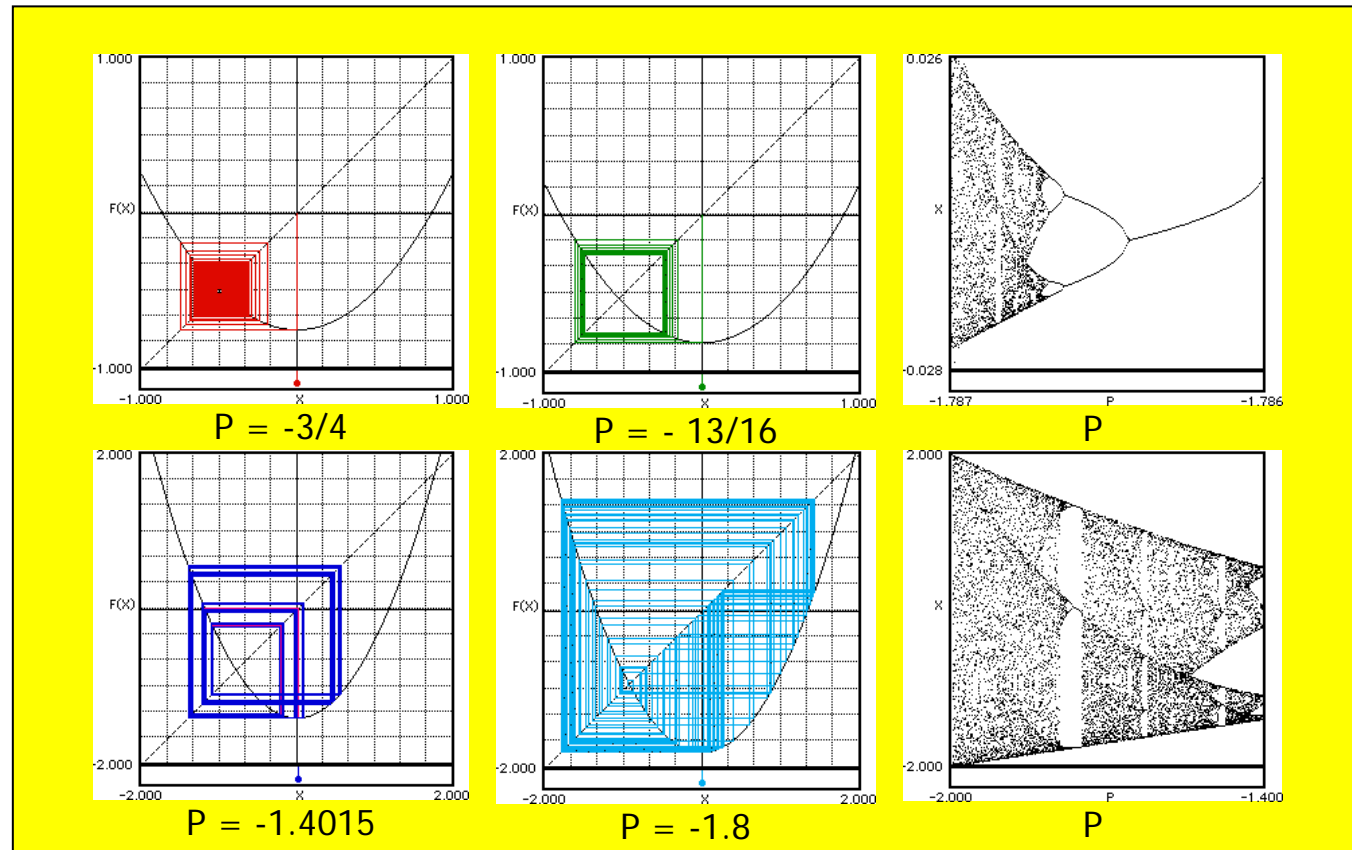
- Introduction to Chaotic Signal
- Principle of Direct Chaotic Communications (DCC)
- Chaotic Modulation Schemes
- System Performance of DC-OOK
- Conclusion

# What is Dynamical Chaos?

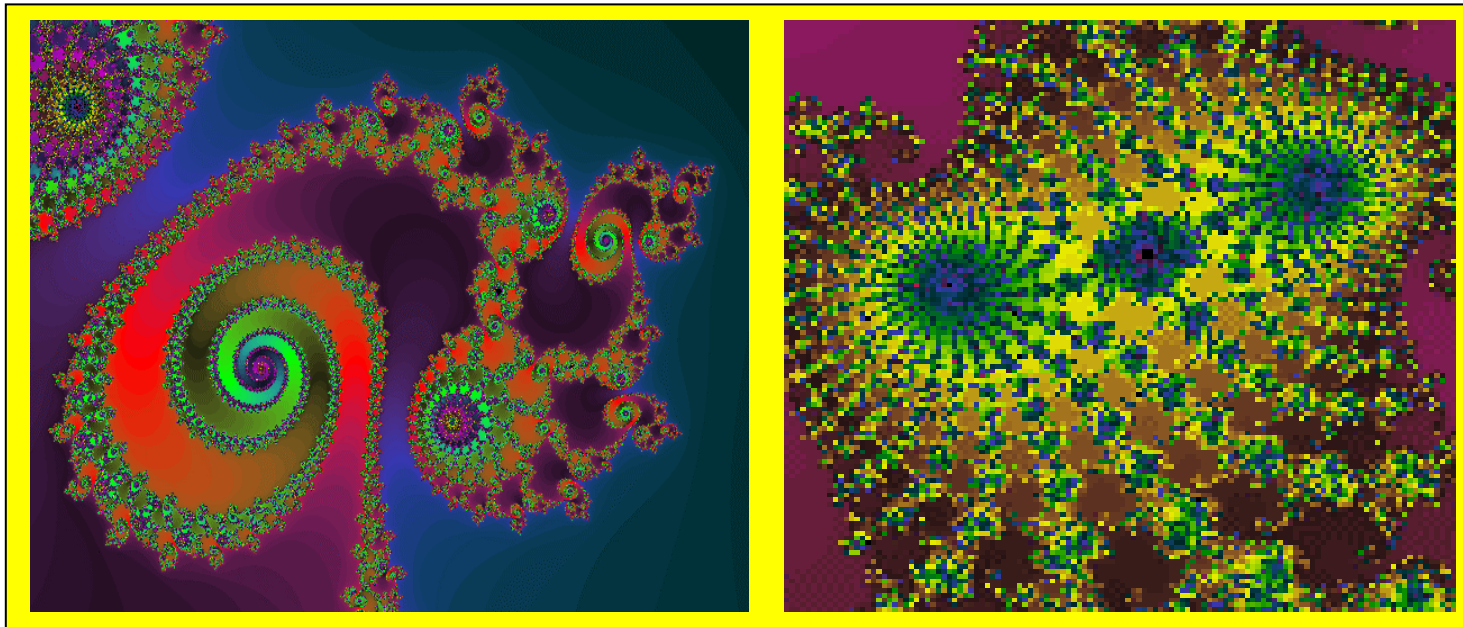
- Dynamical chaos is aperiodic long-term behavior in a deterministic system that exhibits sensitive dependence on initial conditions
- Described by differential equations – dimension  $\geq 3$  for chaotic behavior

# Dynamical Chaos

*Example* **Logistic map:**  $X(n+1) = X^2(n) + P$



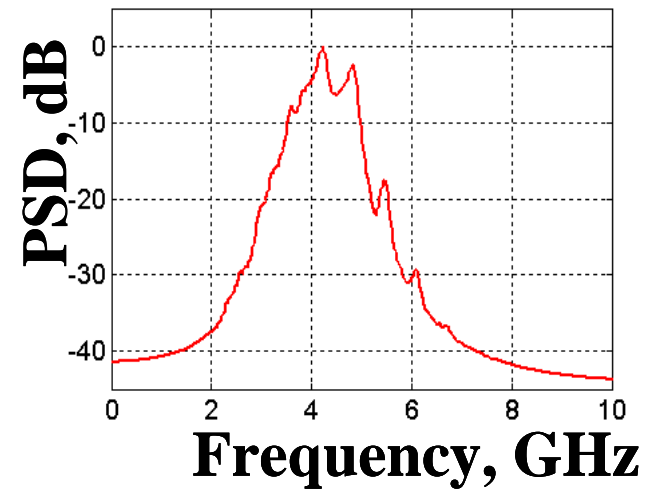
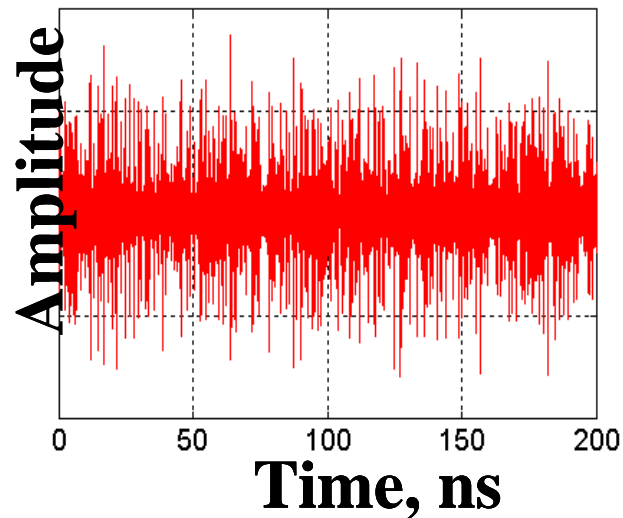
## Beauty of Dynamical Chaos



# Characteristics of Chaotic Signal (1)

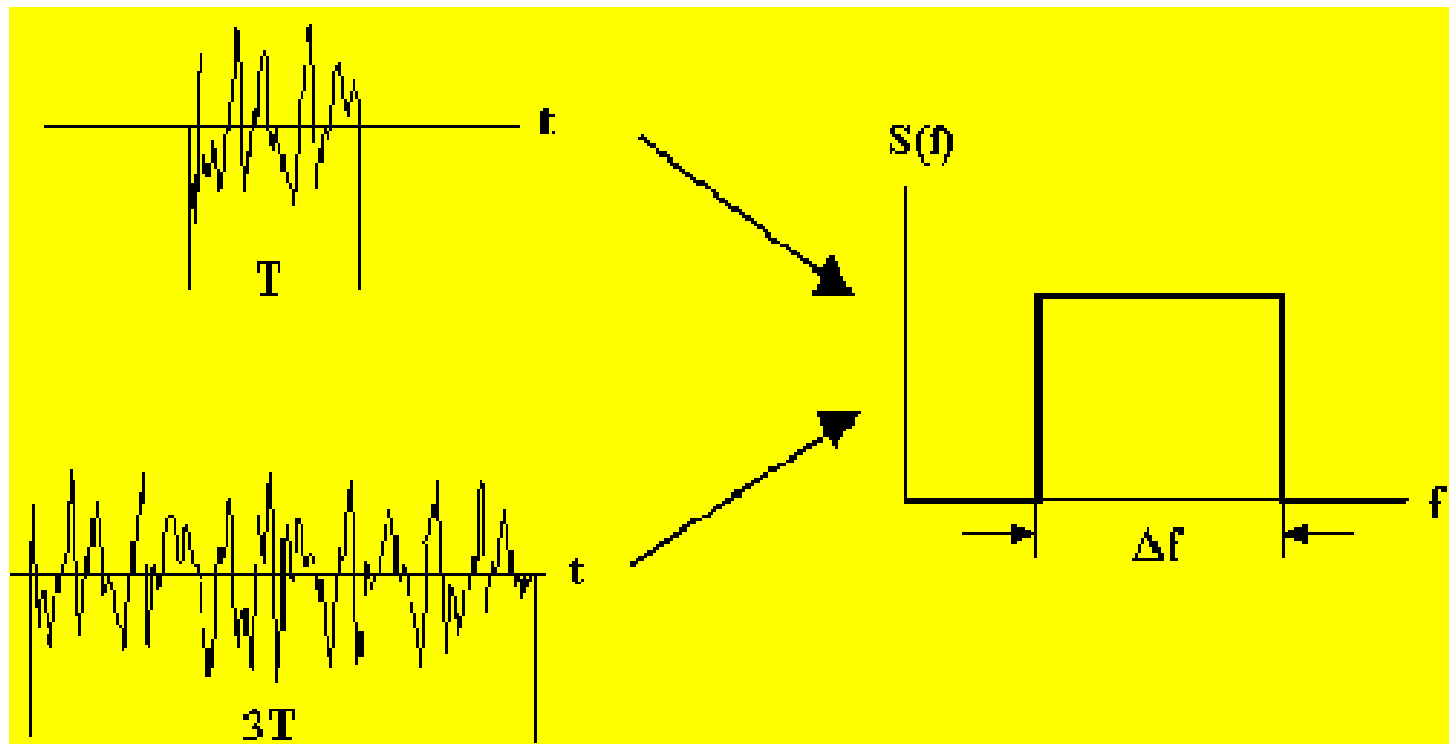
- Low power consumption
  - Chaotic oscillator is a non-linear system → efficiency can be achieved with low power requirement
- Simple circuits
  - Chaotic signal can be generated directly into the desired microwave band by a chaotic generator
- Low cost implementation
  - The low power consumption device leads to low cost product
- Multipath resistance
  - Wideband signal is very immune against multipath fading
- Self-inherent spread spectrum
  - The chaotic signal can be used as the spreading signal for spread spectrum system
- Good spectral properties
  - Non-periodic with a flat (or tailored) spectrum
- Security/Confidentiality
  - Low probability of detection and intercept due to the noise like signal of chaos properties
- Flexibility
  - Chaotic radio pulse with different time duration can have the same bandwidth

## Characteristics of Chaotic Signal (2)





# Characteristics of Chaotic Signal (3)



# Methods to Generate Chaos

- Chaotic Masking
- Chaotic Shift Keying
- Non-Linear Masking
- **Direct-Chaotic Communication**

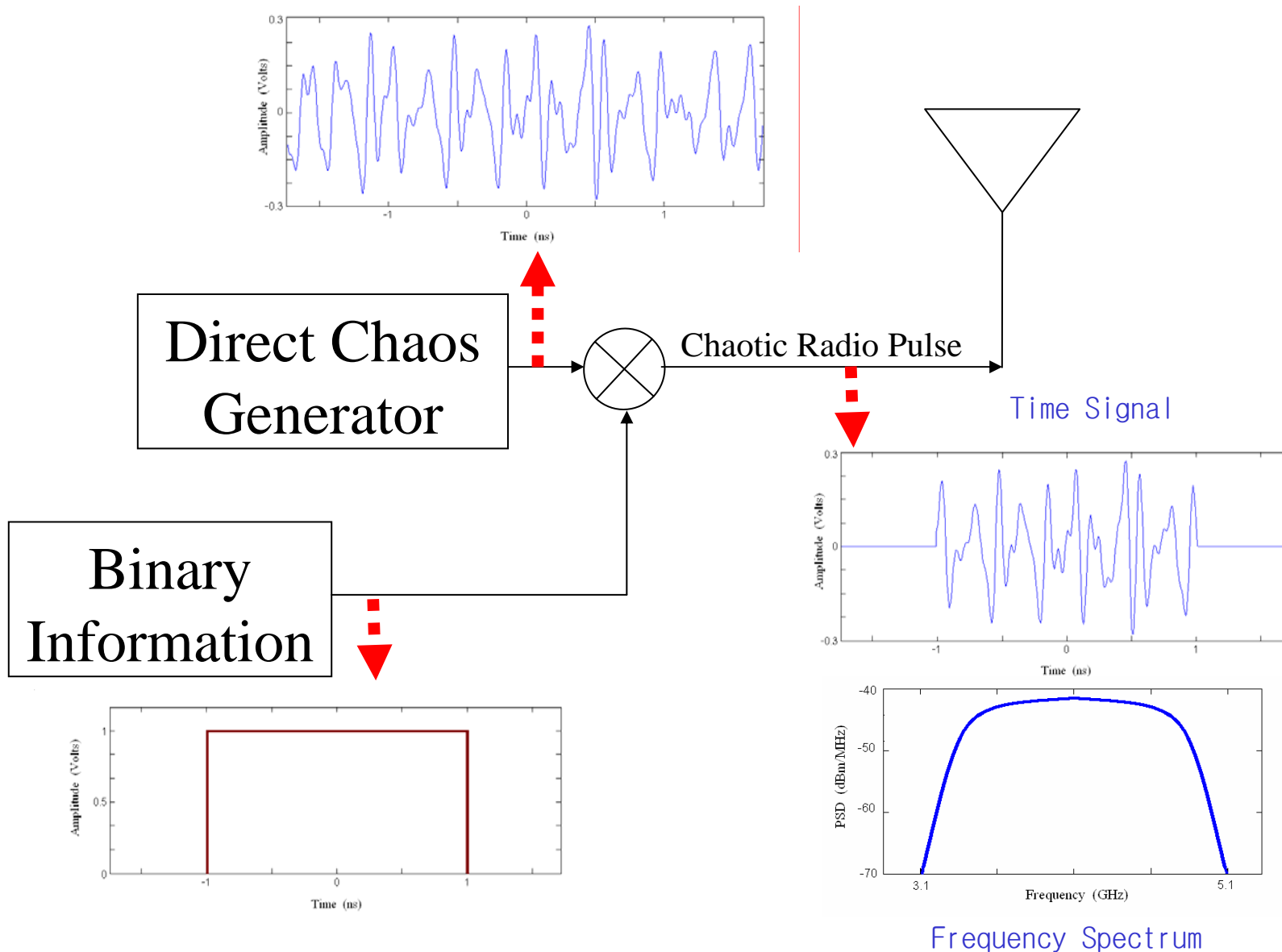
# Direct Chaotic Communication (DCC)

- Chaotic source generates oscillations **directly** in a specified microwave band.
- Information component is put into the chaotic carrier using the stream **chaotic radio pulses**.
- Information is retrieved from the chaotic radio pulses **without intermediate heterodyning**.
- Most simple **non-coherent** receiver is used.

# Direct Chaotic Signal Generation

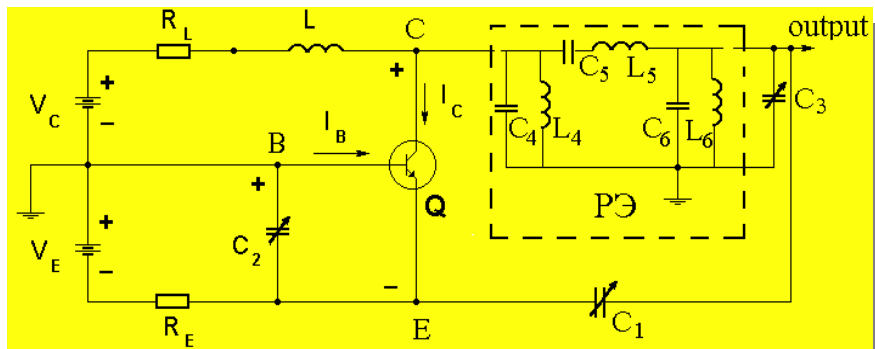
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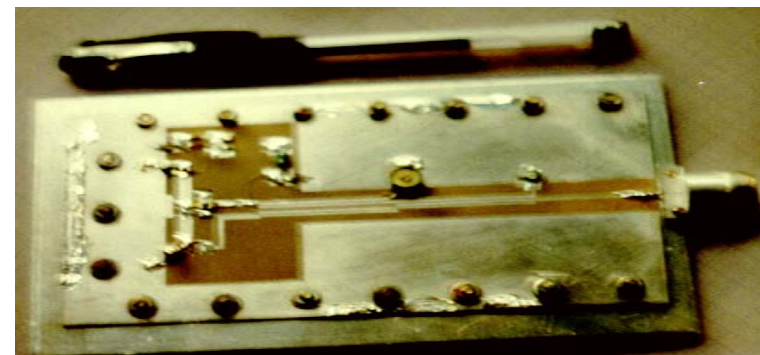


# Chaotic Generator Model

Oscillator circuit



Experiment device



# Chaotic Mathematical Model

- 2nd order differential equation implemented by ODE with 4.5 freedom

## System Equations

$$T\dot{x}_1 + x_1 = mF(x_5)$$

$$\ddot{x}_2 + \alpha_2\dot{x}_2 + \omega_2^2x_2 = \omega_2^2x_1$$

$$\dot{x}_3 + \alpha_3\dot{x}_3 + \omega_3^2x_3 = \alpha_3\dot{x}_2$$

$$\ddot{x}_4 + \alpha_4\dot{x}_4 + \omega_4^2x_4 = \alpha_4\dot{x}_3$$

$$\dot{x}_5 + \alpha_5\dot{x}_5 + \omega_5^2x_5 = \alpha_5\dot{x}_4$$

## Runge-Kutta Method

$$y(1) = (m*Fx5 - X1)/T;$$

$$y(2) = W1*W1*(X1 - X3);$$

$$y(3) = X2 - A1*X3;$$

$$y(4) = A2*y3 - W2*W2*X5;$$

$$y(5) = X4 - A2*X5;$$

$$y(6) = A3*y(5) - W3*W3*X7;$$

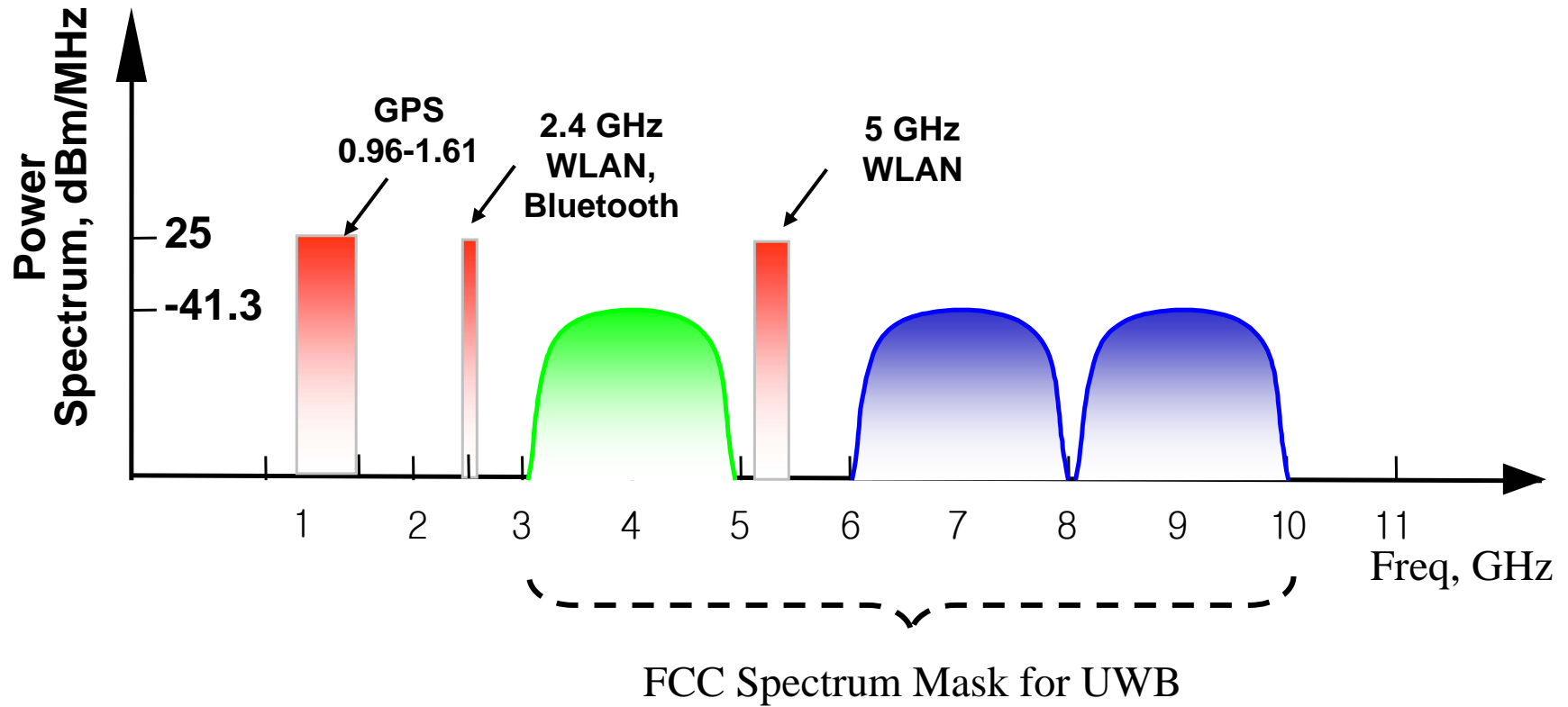
$$y(7) = X6 - A3*X7;$$

$$y(8) = A4*y(7) - W4*W4*X9;$$

$$y(9) = X8 - A4*X9;$$

Nonlinearity  $F(z) = M \left[ |z + e_1| - |z - e_1| + \frac{|z - e_2| - |z + e_2|}{2} \right]$

# Frequency Band Plan (1)

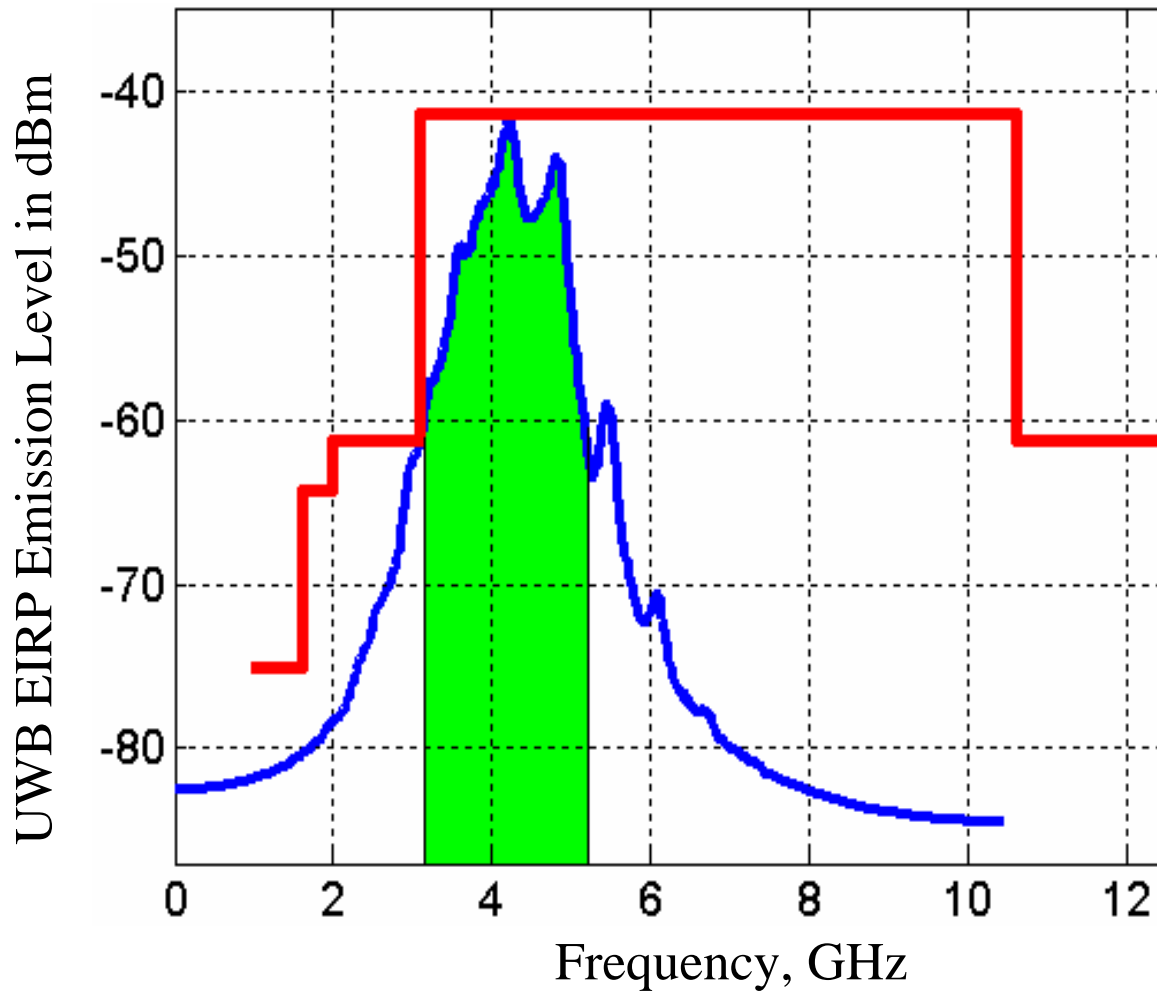


## Frequency Band Plan (2)

- Operating Frequency: 3.1–5.1 GHz
- Why Lower Band?
  - Limitation in the technical capabilities of integrated circuit implementation at higher frequency.
  - Limit of low cost ICs beyond 6 GHz.
  - Prevent coexistence with 5 GHz WLAN band.
  - Use as much bandwidth as possible to maximize the emitted power and follows FCC rules i.e. >500MHz.
- Can be easily change to use higher band if necessary or when cheap technologies available in the future.



# FCC Emission Mask

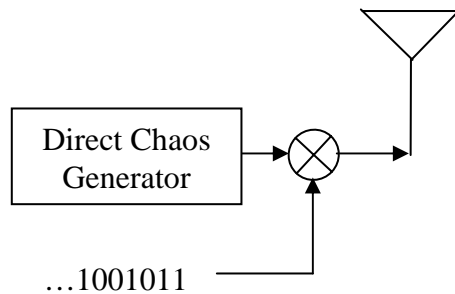


# Types of Chaotic Modulation Schemes

Class	System		Correlator type detection applicable	References
Coherent	Analog	Chaotic masking	No	Kocarev <i>et al.</i> Cuomo and Oppenheim. Milonovic and Zaghoul
	Digital	<i>Generic:</i> Chaos shift keying (CSK) CSK (correlation) Symmetric CSK	No Yes Yes	Parlitz <i>et al.</i> Kolumban <i>et al.</i> Sushchick <i>et al.</i>
		<i>DS spread spectrum:</i> Chaotic spreading sequence Chaotic digital CDMA Quantized chaotic spreading sequence	Yes Yes Yes	Heidari-Bateni and McGillem. Yang and Chua Mazzini <i>et al.</i>
Non-Coherent	Analog	Chaotic modulation Signal reconstruction based system	No No	Itoh-Murakami Feng and Tse
	Digital	Differential CSK (DCSK) FM-DCSK <b>Chaotic On-Off Keying (COOK)</b> CSK (bit-energy) CSK (optimal) CSK (regression) Correlation delay shift keying Quadrature CSK	Yes Yes No No No No Yes Yes	Kolumban <i>et al.</i> Kolumban <i>et al.</i> Kolumban <i>et al.</i> Kolumban <i>et al.</i> Hasler and Schimming Tse <i>et al.</i> Sushchick <i>et al.</i> Galias and Magglio

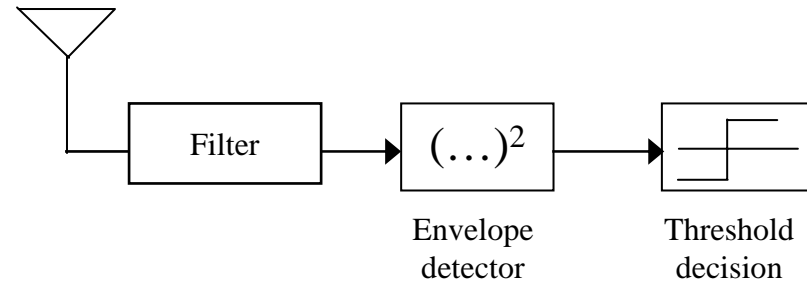
# DC-OOK Transmitter & Receiver

## Transmitter



**Multipath Channel**

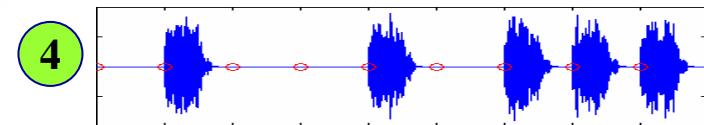
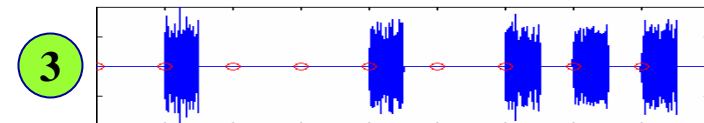
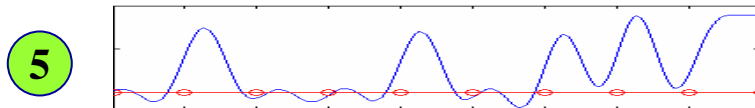
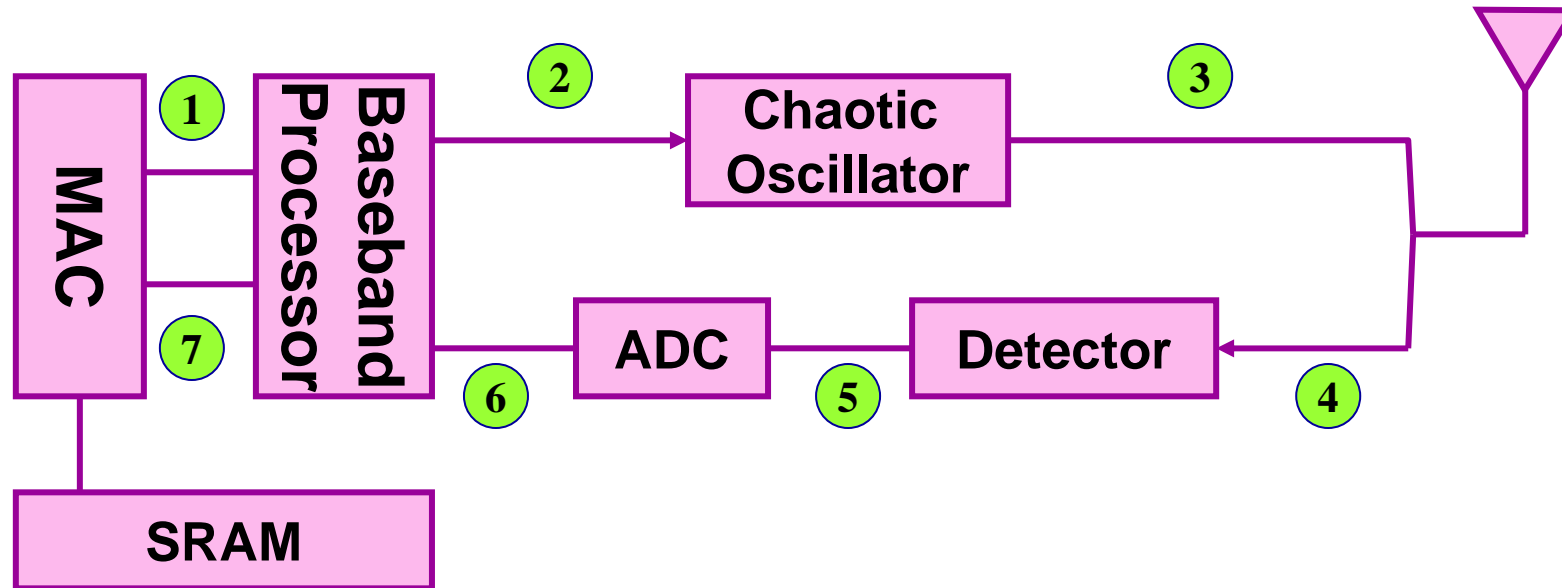
## Receiver



# DC-OOK Transceiver Architecture

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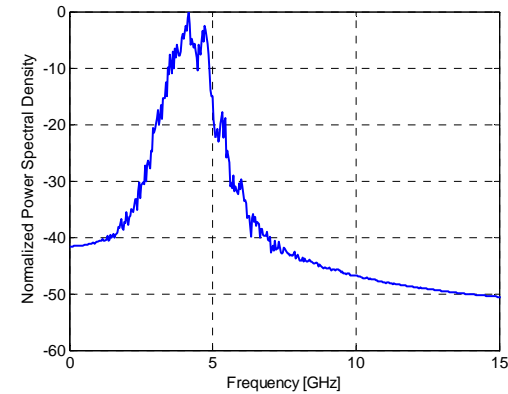
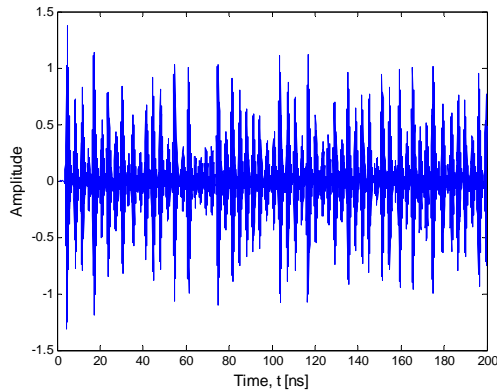
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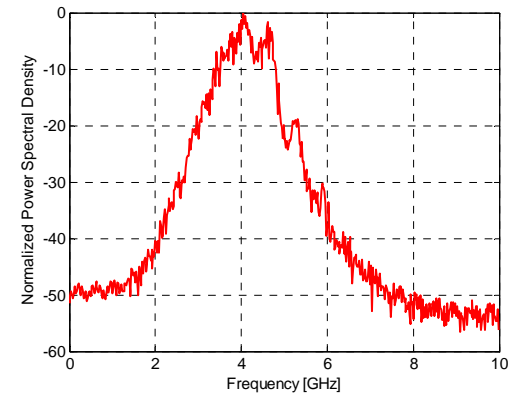
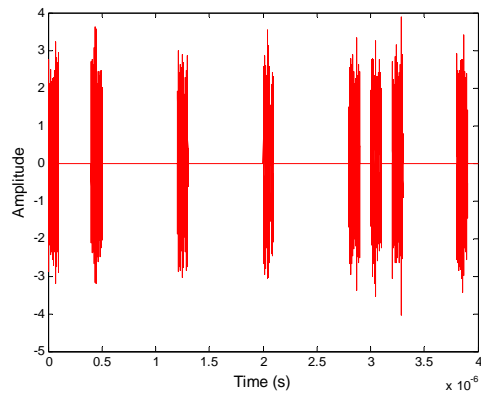
- Very simple modulation scheme: on-off power supply is used for modulation (OOK)
- Additional power saving

# Signal Waveforms and Spectrum

Signal of chaotic generator



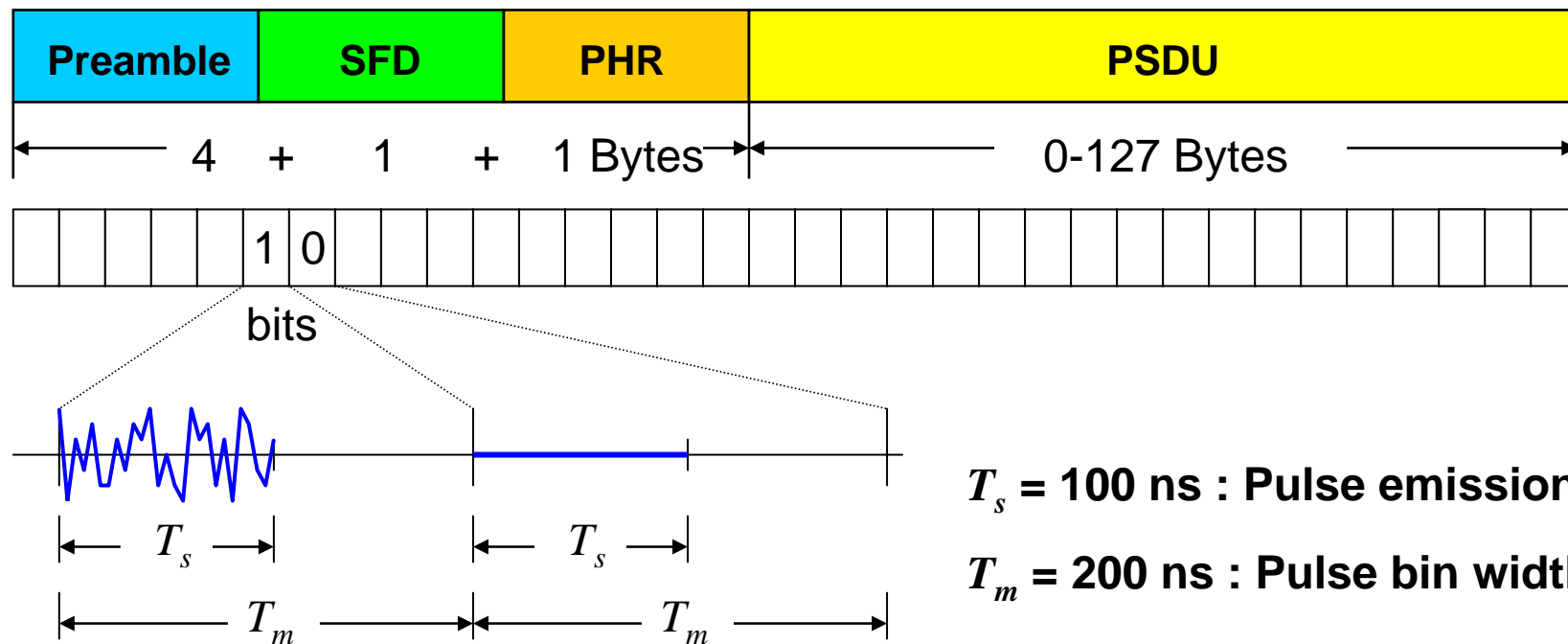
Modulated signal



# PHY Frame Structure

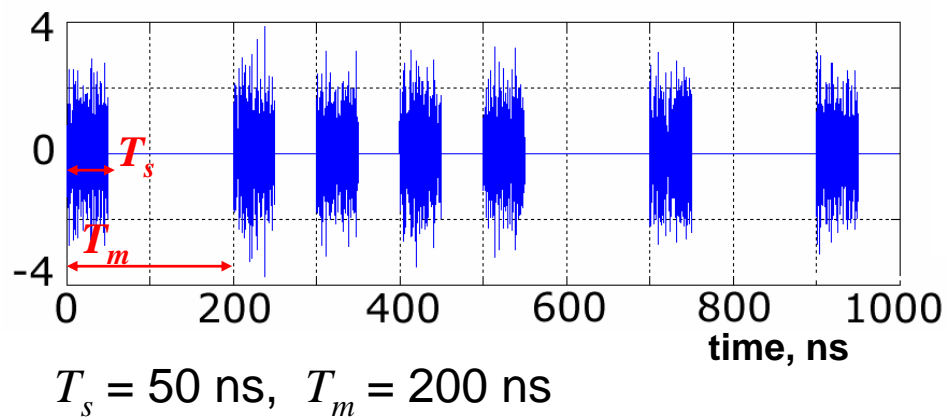
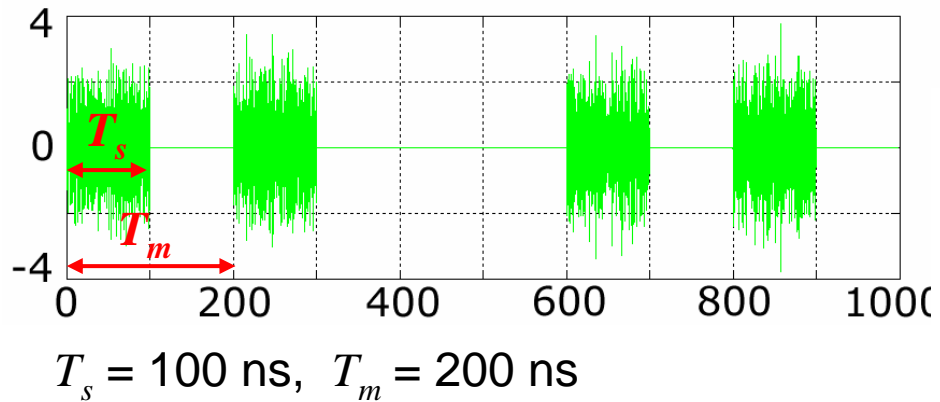
## PHY Packet Fields

- Preamble (32 bits) – synchronization
- SFD (Start of Frame Delimiter) (8 bits) – specifies frame type
- PHR (PHY Header) (8 bits) – Sync Burst flag, PSDU length
- PSDU (PHY Service Data Unit) (0 to 127 bytes) – Data field

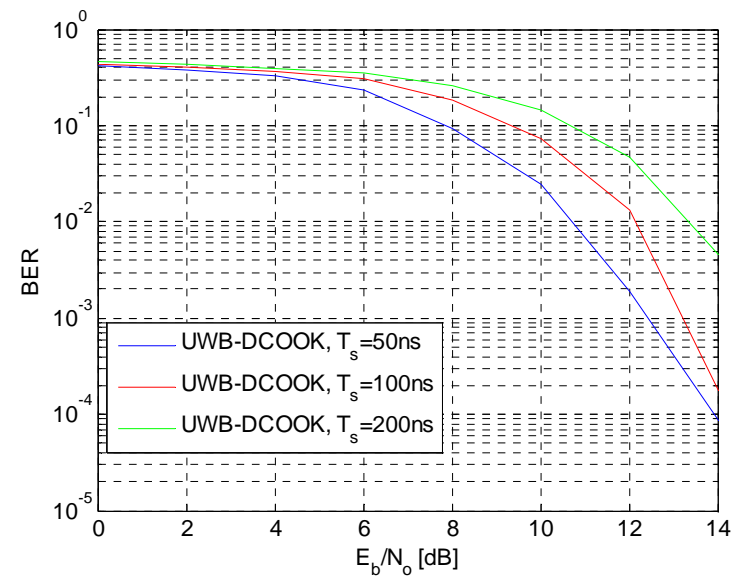


# System Performance

## Signal structure (COOK)



## AWGN channel

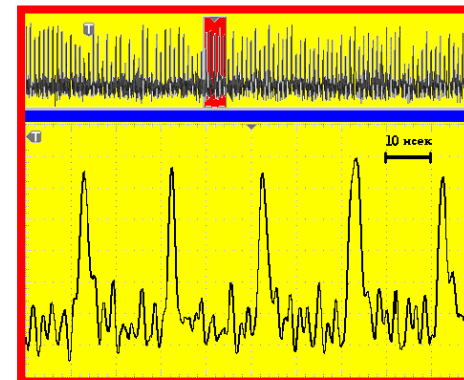
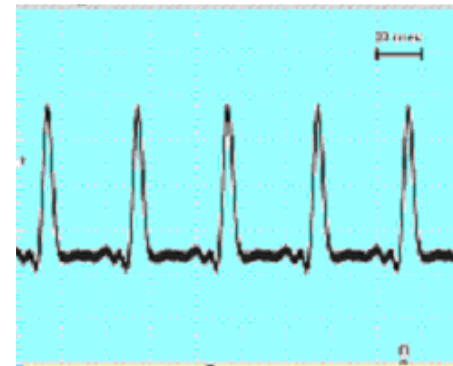
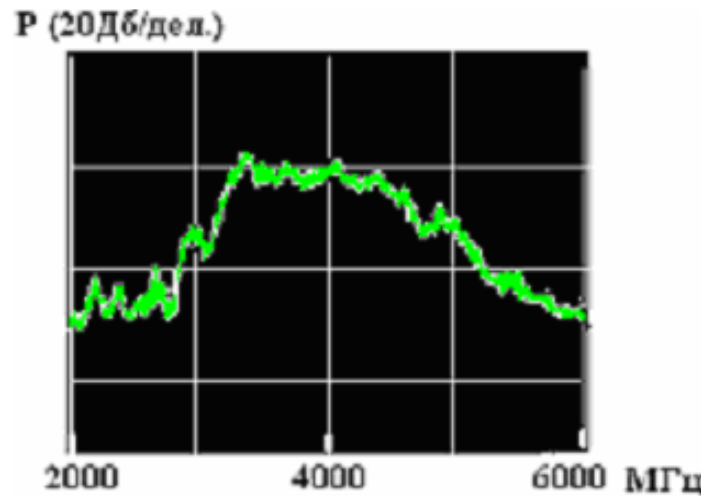


# UWB-DCC System Test Bed (3.1–5.1 GHz)





# UWB-DCC Experiments: 3.1–5.1 GHz



# Conclusions

- Chaotic communications meet the low power, low cost & low complexity requirements.
- Proposed UWB-DCC-COOK compliant with FCC PSD regulation.
- The implemented test bed demonstrated that the feasibility of DCC technology.
- Current investigation issues:
  - UWB-DCSK modulation scheme for more robust performance.
  - Suitable location awareness techniques.
  - Multiple access solution for simultaneous operating piconets (SOP).