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Re:	This contribution is for call for contribution IEEEP802.16e			
Abstract	This contribution proposes the safety channel switching procedure			
Purpose	Propose the safety channel switching mechanism for the IEEE802.16e Handoff Ad hoc group			
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# Safety Channel Handover Procedure Channel Switching Procedure to Sa fety Zone

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## Introduction

In order to reduce the interference caused by a neighboring BS, an MSS moving toward its Serving cell boundary or remaining in the cell boundary region may be switched from its current channel to the safety channel (or safety zo ne) defined for the neighboring BS. Since any MSS belonging to the neighboring BS is not allowed to use the safet y channel, the MSS using the corresponding channel in the Serving BS can be served with less interference. This is the reason why the safety zone is also called a reduced interference zone, which facilitates the effective coverage e xtension of the Serving BS.

An MSS reports its CINR levels from its neighboring BSs. This transition to the safety channel is triggered based o n the MSS report, which includes some neighboring BSs with their CINR levels within a predefined Safety Channe l Allocation Threshold range from that of the Serving BS. Then the Serving BS chooses a BS with the highest CIN R level and requests the safety channel information of the BS. If the corresponding channel in the Serving BS is av ailable, it is allocated to the MSS. Otherwise the BS should force the MSS to perform a handover to the neighbor B S.

## **Proposed Mechanism**

We propose the safety channel operation in the following two cases.

- Case 1: Safety channel allocation in serving cell
- Case 2: After performing a safety channel handover, Safety channel allocation in target cell
- Case 1: Switching to Safety zone in serving cell
- Case 2: Switching to Safety zone in target cell using BS-initiated handover

1) Case 1: Switching to Safety zone in serving cell

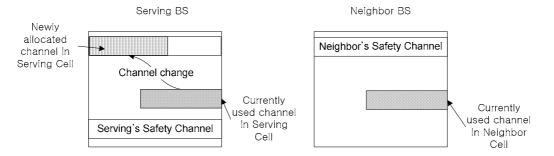
Due to the increasing interference caused by its neighbor BSs, an MSS in the cell boundary region may suffer f rom severe degradation of its channel signal quality. If the safety zone is supported, the MSS can trigger "switc hing to safety zone" procedure by reporting the CINRs of its neighboring BSs. The triggering condition is base d on whether the CINR of a neighbor BS is within a range - say, Safety Channel Allocation Threshold - from th at of the serving BS.

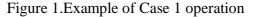
Upon receiving the report, the Serving BS may request the safety channel information of the neighbor BS whic h has the highest CINR value. After receiving the information through SafetyCH-Info messages, the serving BS switch the current channel used by the MSS to the available safety channel of the neighbor BS. The Serving B

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S may inform the neighbor BS of the success of the switching to safety zone by sending a SafetyCH-Alloc-Info message with Alloc flag set to 1.

The example of switching to safety zone in serving cell is depicted in figure 1 and figure 2.





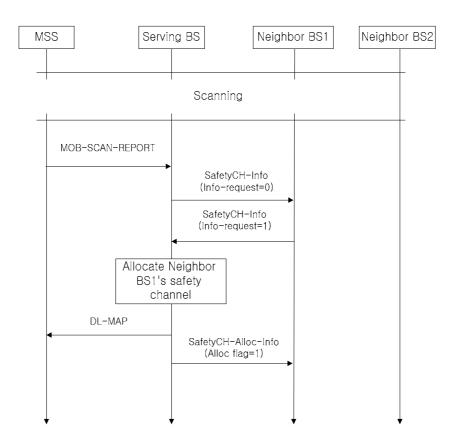


Figure 2.Safety channel operation in case 1

2) Case 2: Switching to Safety zone in target cell using BS-initiated handover

In case 1, the serving BS may fail to switch the MSS's current channel to the safety zone. This may arise when the safety zone is already occupied by other MSSs without leaving enough resource for the MSS. In this case, t he serving BS or MSS may initiate the normal handover procedure after waiting for some time when the CINR

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of neighbor BS meets the criteria for normal handover hysteresis margin. However, the MSS should suffer fro m the harsh channel conditions, and even worse, the time may be quite long for slow-moving or stationary MS Ss. Thus, the normal handover may not be the good option. In order to solve this problem, the Serving BS may force the MSS to perform handover to the neighbor BS and use the Serving BS's safety channel. Through Safe tyCH-Alloc-Info with Alloc flag set to 1, the Serving BS informs the neighbor BS that the channel, correspondi ng to the neighbor BS's safety channel, is unavailable in the serving cell and the MSS will move to the neighbor r BS. The SafetyCH-Alloc-Info message also contains the safety channel information of the Serving BS. At this time, the Target BS may grant the non-contention based ranging opportunity to the MSS.

If the Target BS cannot allocate the channel corresponding to the safety channel of the Serving BS, it shall info rm the Serving BS of the unavailability through SafetyCH-Alloc-Info with Alloc flag set to 0. In this case, the Serving BS shall perform the normal handover operation with the MSS.

The example of safety channel handover operation is depicted in figure 3 and figure 4. Figure 5 shows the case where the safety channel allocation in Target BS fails and the Serving BS and the MSS perform the normal han dover operation.

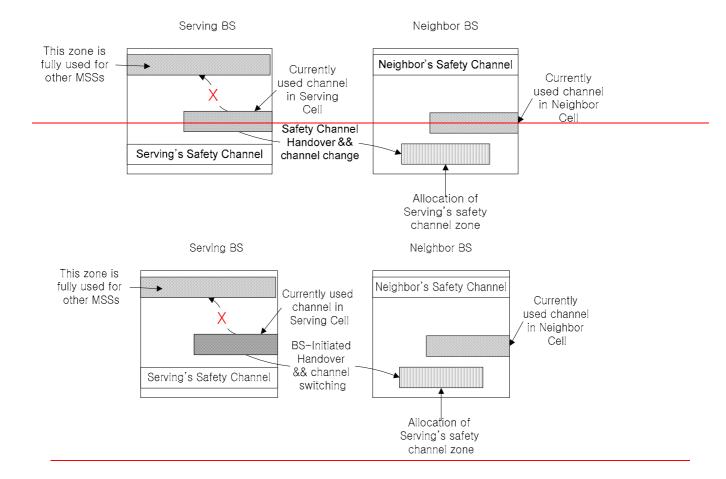
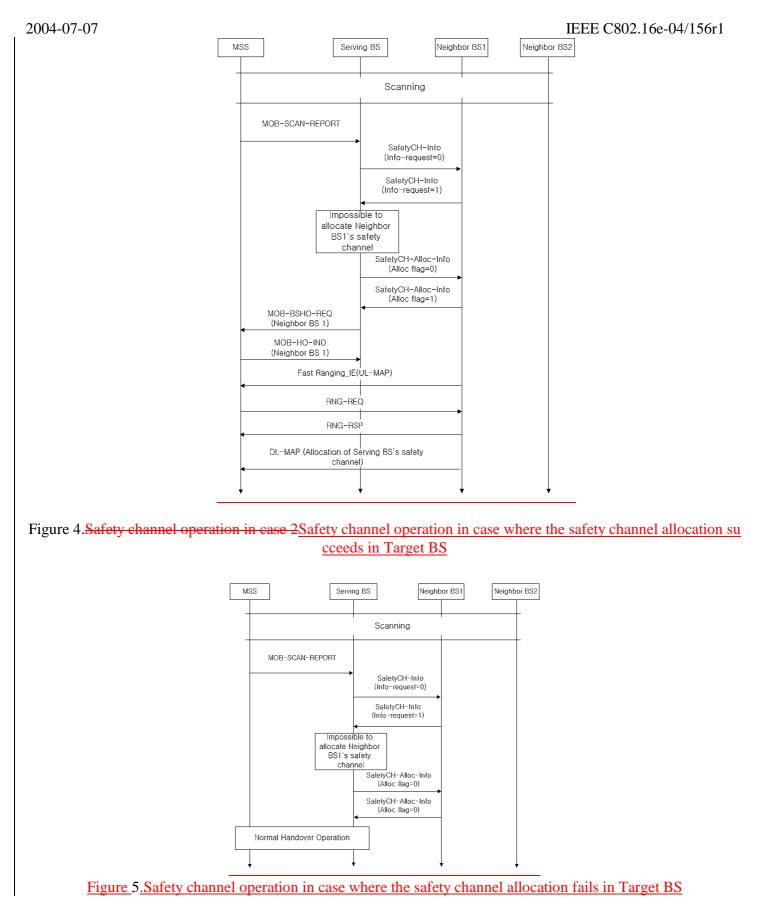


Figure 3.Example of Case 2 operation



Therefore we propose the remedies as followings:

- Change the Neighbor BS-ID field description in line 41-42 page 25.
- Add the safety channel handover operation after line 8 in page 46 and line 45-34 in page 50.
- Add the following field to Table 92h MOB BSHO REQ message format in page 25.

Handover mode

- Add the following <u>SBCREG</u>-RSP TLV encoding in page 108.
  - SafetyCH\_<u>ThresholdSupport</u>
  - Add a new section D.2.14 and D.2.15 after the section D.2.13 in page 125.
    - D.2.14 SafetyCH-Info message
    - D.2.15 SafetyCH-Alloc-Info message

## **Proposed Text Changes**

We propose the following remedies in IEEE P802.16e/D3 to provide the efficient safety channel <u>switchinghandove</u> **f** operation.

Remedy 1:

[Replace line 41-42 page 25 section 6.3.2.3.53 with the followings]

Neighbor BS-ID

Same as the Base Station ID parameter in the DL-MAP message of neighbor BS. This may include the Servi ng BS.

Remedy 2:

[Add the followings after line 8 page 46 section 6.3.20.1.2 MSS Scanning of neighbor BS]

If the received CINRs of neighbor BSs exceed the predefined SafetyCH\_Threshold, the MSS reports the scanning r esult to its Serving BS. Then the Serving BS may try to allocate the channel corresponding to the safety channel of the neighbor BS with the highest CINR. If the corresponding channel in the Serving BS is available, it is allocated to the MSS. Otherwise the Serving BS forces the MSS to perform handover to the neighbor BS and after handover new Serving BS allocates MSS safety channel of old Serving BS. These cases require that the Serving BS and the neighbor BS exchange the safety channel information over the backbone (see section Backbone network HO proce dures).

[Add the followings after line 8 page 46 section 6.3.20.1.2 MSS Scanning of neighbor BS]

For systems using Safety Channels, lif the received CINRs of a neighbor BS is s are within the predefined Safety C hannel Allocation Threshold from that of the Serving BS, the MSS reports the scanning result to request its Servin g BS. Based on the CINR values of the safety channel allocation. The Serving BS, receiving the MOB-SCAN-Rep ort message for safety channel allocation request, the Serving BS may initiate switchinges the current channel to th e safety zone channel which is designated for of the neighbor BS with the highest CINR. If the corresponding safet y zone channel in the Serving BS is available, the switching operation ends successfully it is allocated to the MSS. Otherwise the Serving BS checks to see if there is any available resource in its own safety zone, and if so, it tries t o make forces the MSS to perform handover to the neighbor BS. During After handover, the new Serving BS alloc ates to the MSS the channel corresponding to the safety zone channel of the old Serving BS. In order to facilitate th

is operation, These cases require that the Serving BS and the neighbor BS shall exchange their safety channel infor mation over the backbone (see section Backbone network HO procedures).

#### Remedy 3:

[Change the followings in line 36 40 page 50 section 6.3.20.2.2 HO decision & initiation]

If Handover mode is set to "01" in MOB BSHO REQ message, MSS may perform a hand over to any BS among t he recommended BSs in MOB-BSHO-REQ without notifying the Serving BS of a selected Target BS. As an aekno wledgement to the MOB-BSHO-REQ message, the MSS may send a MOB-HO-IND message with its Target BS I D set to a pre defined value other than any valid BS identifier.

#### [Add the followings after line 45 page 50]

If Handover mode is set to "10" in MOB BSHO REQ message, MSS shall perform a handover to the Target BS in recommended BS list after sending MOB HO IND message. Handover mode "10" means that the MSS may not us e the safety channel in its serving cell and therefore it shall change its connection to the Target BS. The Serving BS notifies the Target BS that the MSS will move to the Target BS, which may provide non-contention based ranging opportunity to the MSS. The Serving BS also informs the Target BS of the Serving BS's safety channel informatio n over the backbone. The Target BS shall allocate the channel to the MSS performing Safety channel handover to t he target cell.

#### [Add the followings after line 34 page 50]

For systems using Safety Channels, the Serving BS may send MOB-BSHO-REQ when it decides switch the curren t channel for the MSS to the safety zone in a neighbor cell. In order to facilitate this handover operation, the Servin g BS and the Target BS shall exchange their safety channel allocation information over the backbone.

#### [Change the table 92h in page 25]

#### Table 92h MOB BSHO REQ Message Format

<del>Syntax</del>	Size	Notes
MOB BSHO		
REQ_Message_Format(){		
Management Message Type = 52	8bits	
Handover mode	2bits	00: Network Assisted HO is not supported
		01: Network Assisted HO is supported
		10: Safety Channel Handover
		<del>11: reserved</del>
For(j=0;j <n_recommended;j++)< td=""><td></td><td>N_Recommended can be derived from the known length of th</td></n_recommended;j++)<>		N_Recommended can be derived from the known length of th
<del>{</del>		<del>e message</del>
Neighbor BS ID	48bits	
Service level prediction	8bits	
+		
Reserved	<del>6bits</del>	Reserved; shall be set to zero
HMAC Tuple	21bytes	See 11.4.11

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+			
[Change the following in line 25-31 page 26]			
hat the Serving BS forces the MSS to handover to the Target BS in N_Recommended list. The following applies:			
<u> </u>			
11 = reserved			

Remedy 4: [Insert the following after the end of section 11.8.3 in page 108]

## 11.8.4 SafetyCH\_Threshold

This field indicates the predefined CINR threshold to report MSS's scanning result for safety channel handover op eration.

<del>Type</del>	Length	Value	Scope
<del>1(TBD)</del>	1	SafetyCH_Threshold	SBC RSP (see 6.3.2.3.24)

## 11.7.10.5 Safety Channel\_Support

## 11.87.510.5.1 SafetyCH\_Support

This field indicates the BS supports safety channel operation. A bit value of 0 indicates "not supported" while 1 in dicates "is supported".

Type	Length	Value	<u>Scope</u>
<u>1(TBD)</u>	<u>1</u>	Bit #0: Safety channel operation support	SBCREG-
		Bit #1-7: reserved; shall be set to zero	<u>RSP (see 6.3.2.3.24)</u>

Remedy 5:

[Insert the following messages after the end of section D.2.13 in page 125]

## D. 2.14 SafetyCH-Info message

This message is sent from the Serving BS to the neighbor BS to request the neighbor BS's safety channel informati on. This message is also sent from the neighbor BS to the Serving BS in order to inform the neighbor's safety chan nel information in case where the neighbor BS received SafetyCH-Info message with Inforequest set to 0. The message contains the following information:

<u>Field</u> <u>Size</u> <u>Notes</u>	
---------------------------------------	--

<u>SafetyCH-</u>		
<pre>Info_Message_Format(){</pre>		
Global Header	<u>152bits</u>	
Info-request	<u>1bit</u>	0: Request safety channel information
		1: Inform safety channel information
TLV_Safety_channel_info	<u>Variable</u>	Safety channel information for case where info-
		request value is set to 1.
Security field	<u>TBD</u>	A means to authenticate this message
CRC field	32bits	IEEE CRC-32
1		

TLV_Safety_channel_info(){		
OFMDA symbol offset	<u>8bit</u>	
Subchannel offset	<u>7bit</u>	
No. OFDMA symbols	<u>7bit</u>	
No. subchannels	<u>7bit</u>	
1		

## D. 2.15 SafetyCH-Alloc-Info message

This message is sent from the Serving BS to the neighbor BS, which provided its safety channel information, to inf orm whether the Serving BS successfully allocates the safety channel to the MSS. If the safety channel allocation f ails, the Serving BS informs the neighbor BS of the MSS's handover and the Serving BS's safety channel informat ion. This message is also sent from the neighbor BS to the Serving BS, in case where the neighbor BS inform whet her the channel corresponding to the safety channel of the Serving BS is available for the MSS which will perform handover to the neighbor BS. The message contains the following information:

Field	Size	Notes
SafetyCH-Alloc-		
Info_Message_Format(){		
Global Header	<u>152bits</u>	
Alloc flag	<u>1bit</u>	Indicate whether the BS allocates the safety channel which p
		rovided from other BS.
		0: Allocation fail and Safety Channel Handover for the MSS
		will happen.
		1: Allocation success
MSS unique identifier	<u>48bits</u>	48bit unique identifier used by MSS.
		This field informs the ID of MSS to perform Safety Channel
		Handover and is activated for case where Alloc flag is set t
		<u>o 0</u>
TLV_Safety_channel_info	<u>Variable</u>	This field informs the BS's safety channel information and i
		s activated for case where Alloc flag is set to 0. (the same fo
		rmat with TLV_Safety_channel_info in SafetyCH-
		Info message)
Security field	<u>TBD</u>	A means to authenticate this message
CRC field	<u>32bits</u>	IEEE CRC-32
]		