Title: Bi-directional PKM messages for EAP messages

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Re: Recirculation Ballot #14b Announcement

Abstract: To remedy direction mismatch problem between PKM messages and EAP messages, PKM messages shall be bi-directional and EAP messages Container, which is transparent to lower MAC layer, is consolidated to one.

Purpose: Discuss and Adopt as the baseline text

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Bi-directional PKM messages for EAP messages

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1. Problem Statements

EAP Request message is sent from BS to MSS, however PKM Request message is sent from MSS to BS. So EAP Request message is not mapped to PKM Request message. In order to solve this problem, PKM messages should be changed to bi-directional. And considering the fact that MAC management layer is agnostic about EAP messages code, EAP-Transfer-Request and EAP-Transfer-Response messages shall be incorporated into one EAP-Transfer message.
2 Proposed Changes

[Add/Change/Delete the following as shown to IEEE 802.16e/D3]

6.3.2.3.9 Privacy key management (PKM) messages (PKM-REQ/PKM-RSP)
PKM employs two MAC message types: PKM Request (PKM-REQ) and PKM Response (PKM-RSP), as described in Table 24.

Table 24—PKM MAC messages

<table>
<thead>
<tr>
<th>Type Value</th>
<th>Message name</th>
<th>Message description</th>
</tr>
</thead>
<tbody>
<tr>
<td>9</td>
<td>PKM-REQ</td>
<td>Privacy Key Management Request [SS &lt;-&gt; BS]</td>
</tr>
<tr>
<td>10</td>
<td>PKM-RSP</td>
<td>Privacy Key Management Response [BS &lt;-&gt; SS]</td>
</tr>
</tbody>
</table>

These MAC management message types distinguish between PKM requests (SS–to–BS, or BS-to-SS) and PKM responses (BS–to–SS, or SS-to-BS). Each message encapsulates one PKM message in the Management Message Payload.

PKM request protocol messages transmitted from the SS to the BS shall use the form shown in Table 25. They are transmitted on the SSs Primary Management Connection.

PKM response protocol messages transmitted from the BS to the SS shall use the form shown in Table 26. They are transmitted on the SSs Primary Management Connection.

Table 25—PKM request (PKM-REQ) message format

Table 26—PKM response (PKM-RSP) message format

The parameters shall be as follows:

Code

The Code is one byte and identifies the type of PKM packet. When a packet is received with an invalid Code, it shall be silently discarded. The code values are defined in Table 27.

PKM Identifier

The Identifier field is one byte. An MSS and BS uses the identifier to match a BS response to the SS’s requests.

The MSS and the BS shall increment (modulo 256) the Identifier field whenever they issue a new PKM message. A “new” message is an Authorization Request, Key Request or EAP Transfer that is not a retransmission being sent in response to a Timeout event. For retransmissions, the Identifier field shall remain unchanged.

The Identifier field in Authentication Information messages, which are informative and do not effect any response messaging, shall be set to zero. The Identifier field in a BS’s-PKM-RSP message shall match the Identifier field of the PKM-REQ message the BS is responding to. The Identifier field in TEK Invalid messages, which are not sent in response to PKM-REQs, shall be set to zero. The Identifier field in unsolicited Authorization Invalid messages shall be set to zero.

On reception of a PKM-RSP message, the SS associates the message with a particular state machine (the Authorization state machine in the case of Authorization Replies, Authorization Rejects, and Authorization Invalids; a particular TEK state machine in the case of Key Replies, Key Rejects, and TEK Invalids).

An SS shall keep track of the identifiers of its latest, pending Key Request for each SA. The SS shall discard Key Reply and Key Reject messages with Identifier fields not matching those of the pending Key Request messages.

**Attributes**

PKM attributes carry the specific authentication, authorization, and key management data exchanged between client and server. Each PKM packet type has its own set of required and optional attributes. Unless explicitly stated, there are no requirements on the ordering of attributes within a PKM message. The end of the list of attributes is indicated by the LEN field of the MAC PDU header.

### Table 28a – PKM Message codes

<table>
<thead>
<tr>
<th>PKM Message Type</th>
<th>MAC Message Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>13 EAP Transfer Request</td>
<td>PKM-REQ/PKM-RSP</td>
</tr>
<tr>
<td>14 EAP Transfer Reply</td>
<td>PKM-RSP</td>
</tr>
<tr>
<td>14+5 EAP Establish-Key Request</td>
<td>PKM-RSP</td>
</tr>
<tr>
<td>15+6 EAP Establish-Key Reply</td>
<td>PKM-RSEQ</td>
</tr>
<tr>
<td>16+7 EAP Establish-Key Reject</td>
<td>PKM-RSEQ</td>
</tr>
<tr>
<td>17+8 EAP Establish-Key Confirm</td>
<td>PKM-RSEQ</td>
</tr>
<tr>
<td>18+255 reserved</td>
<td></td>
</tr>
</tbody>
</table>

[Add the following to section 6.4.2.4.9.1]

#### 6.3.2.3.9.11 EAP Transfer Request message

When a BS has an EAP message received from an EAP method for transmission to the MSS, it encapsulates it in an EAP Transfer message, which MAC message type is PKM-REQ. When an MSS or a BS has an EAP message received from an EAP method for transmission to the BS other side BS, it encapsulates it in an EAP Transfer Request message, which MAC message type is PKM-RSP.

Attributes are shown in Table 39a.

### Table 39a-EAP Transfer Request attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Contents</th>
</tr>
</thead>
<tbody>
<tr>
<td>EAP Protocol</td>
<td>Contains the EAP Request/Response/Success/Failure, not interpreted in the MAC</td>
</tr>
</tbody>
</table>

The EAP Payload field carries data in the format described in RFC2284bis (see section 4).

#### 6.3.2.3.9.12 EAP Transfer Response message

When a BS has an EAP message received from an EAP method for transmission to the SS, it encapsulates it in an EAP Transfer Response message.

**Code**: 14

Attributes are shown in Table 39b.
Table 39b—EAP Transfer Response attributes

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Contents</th>
</tr>
</thead>
<tbody>
<tr>
<td>EAP Payload</td>
<td>Contains the EAP authentication data, not interpreted in the MAC</td>
</tr>
</tbody>
</table>

The EAP Payload field carries data in the format described in RFC2254bis (or successor RFC) section 4.