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Re:	Call for Comments within IEEE Sponsor Ballot of IEEE P802.16e/D5		
Abstract	This document includes enhancements to the OFDM mode in P802.16e/D5 to improve performance in mobile environment and harmonize with the OFDMA mode		
Purpose	Adopt these enhancements for revision of P802.16e/D5		
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# Mobility Enhancements for the OFDM mode Rainer Ullmann

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## 1. General

The following document adopts concepts introduced for mobile enhancement in the OFDMA PHY for usage in the OFDM PHY in order to enhance the performance in mobile environment. Section 2 addresses support for fast uplink tracking and section 3 addresses missing information in the MAC CS.

# 2. Fast Correction of Uplink Power, Frequency and Timing

Fast uplink tracking is an extension of the Fast Power Control support defined in the 6.3.2.3.34 and 8.3.6.3.5 in IEEE P802.16-REVd/D5. This extension is proposed in order to enable fast frequency and timing correction in the uplink, and offer better tracking of the variations introduced by the mobile channel. This suggested change is aligned with the corresponding section in the OFDMA PHY 8.5.4.4.21.

The proposed changes are as follows. After Section 8.3.6.3 in P802.16e/D5 insert:

8.3.6.3.8 UL-MAP dummy IE format

[Apply the following change to Table 251 in section 8.3.6.3.8]

**Extended UIUC** 4 bits 0x035...0x0F

After Section 8.3.6.9 in P802.16e/D5 insert:

8.3.6.3.10 UL-MAP Fast tracking Information Element

The UL-MAP Fast tracking information element in an UL-MAP entry is used to provide fast power, time and frequency indications/corrections to MSS's that have transmitted in the previous frame.

The extended UIUC=15 shall be used for this IE with sub-code 0x04

The CID used in the Information Element shall be a broadcast CID.

#### Table 251b-UL fast tracking Information Element

<u>Syntax</u>	<u>Size</u>	Notes
<u>UL_Fast_tracking_IE() {</u>		

extended UIUC	<u>4 bits</u>	<u>Fast-Indication = <math>0x04</math></u>
Number of Length	<u>4 bits</u>	<u>Variable</u>
$-$ for $(i = 1; i \le n; i + +)$ {		For each Fast Indication bytes 1 to n
		(n=Length)
Power correction	<u>2 bits</u>	Power correction indication:
		00: no change;
		<u>01: +2dB;</u>
		<u>10: -1dB;</u>
		<u>11: -2dB</u>
Frequency correction	<u>4 bits</u>	The correction is 0.1% of the carrier
		spacing multiplied by
		the 4-bit number interpreted as a
		signed integer (i.e. 1000: -8; 0000:
		<u>0; 0111: 7)</u>
Time correction	<u>2 bits</u>	The correction is floor(2 / Fs)
		multiplied by: 00: 0; 01: 1; 10:
		<u>-1; 11: Not used</u>
}		
}		

The UL Fast tracking IE is an optional field in the UL\_MAP. When this IE is sent it provides an indication about corrections that should be applied by MSS's that have transmitted in the previous UL frame. Each indication byte shall correspond to one unicast allocation-IE that has indicated an UL burst allocation in the previous UL\_MAP. The order of the indication bytes shall be the same as the order of the unicast allocation-IE in the UL-MAP.

The response time for corrections following receipt of this IE shall be equal to "Ranging Response Processing Time" as defined in 10.1

# 3. HO Support for OFDM PHY

Most of the changes implemented in MAC Common Part Sublayer are sufficient as is to support all HO OFDM mechanisms. However, a mobile OFDM network might consist of Multi Cell Multi Frequency Networks (i.e. each sector has different frequency allocation) or Multi Cell Single Frequency Networks (i.e. each sector uses logic subchannel of single frequency). In order to synchronize in the second type of networks the information about the subchannel index is needed. The following modifications will provide this necessary information:

For 6.3.2.3.47 Neighbor Advertisement (MOB\_NBR-ADV) message

[Apply the following change to Table 106d in section 6.3.2.3.47]

Preamble Index <u>/ Subchannel Index</u>	8 bits	The index for the PHY profile specific preamble. Preamble Index is PHY specific for SCa and OFDMA. The value of Preamble Index shall be ignored and a value of '0x00' shall be used for OFDM PHY For the SCa and OFDMA PHY this parameter defines the PHY specific preamble. For the OFDM PHY the 5 LSB contain the active DL subchannel index. The 3 MSB shall be Reserved and set to '0b000'.
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#### Preamble Index/ Subchannel Index

The index for the PHY profile specific preamble. Preamble Index is PHY specific for SCa and OFDMA. For the OFDM PHY, the value of Preamble Index shall be ignored and a value of '0x00' shall be used. For the SCa and OFDMA PHY this parameter defines the PHY specific preamble. For the OFDM PHY the 5 LSB contain the DL subchannel index (as defined in table 211) used in the advertised BS sector. The 3 MSB shall be Reserved and set to '0b000'.

#### **PHY Profile ID**

The PHY Profile ID is the aggregate ID's including the Co-located FA Indicator bit, the FA Configuration indicator bit, Time/Frequency Synchronization Indicator, BS EIRP Indicator, DCD/UCD Reference Indicator, FA Index Indicator, and the FA (Frequency Assignment) number. For systems using OFDM/OFDMA, the bit-by-bit definition of the PHY Profile ID is shown below. The ID for systems using other than OFDMA is . If the Co-located FA Indicator bit is set, the following field of the NBRADV element including Preamble Index, HO Process Optimization, DCD/UCD ConfigurationChange Count, and TLV Encoded Neighbor Information may be omitted.

### [Apply the following change to FA Index definition:]

PHY scope <u>OFDM</u>/OFDMA

[Apply the following change to Table 106f in section 6.3.2.3.47]

FFT size 3 bits 0b011: OFDMA Reserved, OFDM 256

Definition of Preamble/Subchannel Index also needed in 6.3.2.3.51 BS HO Request (MOB\_BSHO-REQ) message

[Apply the following change to Table 106j in section 6.3.2.3.51]

Preamble Index/Subchannel Index	8 bits	The index for the PHY profile specific preamble.Preamble Index is PHY specific forSCa and OFDMA. The value of PreambleIndex shall be ignored and a value of '0x00'shall be used for OFDM PHYFor the SCa and OFDMA PHY this parameterdefines the PHY specific preamble for theNeighbor BS. For the OFDM PHY the 5 LSBcontain the active DL subchannel index for theNeighbor BS. The 3 MSB shall be Reserved and
		Neighbor BS. The 3 MSB shall be Reserved and set to '0b000'.

### Preamble Index/ Subchannel Index

The index for the PHY profile specific for the Neighbor BS. Preamble Index is PHY specific for SCa and OFDMA. For the OFDM PHY, the value of Preamble Index shall be ignored and a value of '0x00' shall be used. For the SCa and OFDMA PHY this parameter defines the PHY specific preamble for the Neighbor BS. For the OFDM PHY the 5 LSB contain the DL subchannel index (as defined in table 211) used in the Neighbor BS' sector. The 3 MSB shall be Reserved and set to '0b000'.

Definition of Preamble/Subchannel Index also needed in 6.3.2.3.52 MSS HO Request (MOB-MSSHO-REQ) message and in 6.3.2.3.53 BS HO Response (MOB-BSHO-RSP) message:

### [Apply the following change to Tables 106k and 106l]

Preamble Index/ Preamble Present &	8 bits	For the SCa and OFDMA PHY this parameter
Subchannel Index		defines the PHY specific preamble for the
		Neighbor BS. For the OFDM PHY the 5 LSB
		contain the active DL subchannel index for the
		Neighbor BS. The 3 MSB shall be Reserved and
		<u>set to '0b000'.</u>

### Preamble Index/ Subchannel Index

The index for the PHY profile specific preamble for the Neighbor BS. Preamble Index is PHY specific for SCa and OFDMA. For the OFDM PHY, the value of Preamble Index shall be ignored and a value of '0x00' shall be used. For the SCa and OFDMA PHY this parameter defines the PHY specific preamble for the Neighbor BS. For the OFDM PHY the 5 LSB contain the DL subchannel index (as defined in table 211) used in the Neighbor BS' sector. The 3 MSB shall be Reserved and set to '0b000'

Definition of Preamble/Preamble Present & Subchannel Index also needed in 6.3.2.3.54 HO Indication (MOB-HO-IND) message

## [Apply the following change to Tables106m]

Preamble Index/Subchannel Index	8 bits	For the SCa and OFDMA PHY this parameter
		defines the PHY specific preamble for the
		Target BS. For the OFDM PHY the 5 LSB
		contain the active DL subchannel index for the
		Target BS. The 3 MSB shall be Reserved and
		set to '0b000'.

#### Preamble Index/ Subchannel Index

The index for the PHY profile specific preamble for the Target BS. Preamble Index is PHY specific for SCa and OFDMA. For the OFDM PHY, the value of Preamble Index shall be ignored and a value of '0x00' shall be used. For the SCa and OFDMA PHY this parameter defines the PHY specific preamble for the Target BS. For the OFDM PHY the 5 LSB contain the DL subchannel index (as defined in table 211) used in the Trget BS' sector . The 3 MSB shall be Reserved and set to '0b000'.