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| Title                        | <b>Relay Assisted ARQ/HARQ</b>  |                |  |
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| Re:                          | IEEE 802.16m System Description Document  |                |  |
| Abstract                     | This document proposes a technique to use relays in conjunction with ARQ/HARQ   |                |  |
| Purpose                      | For discussion and approval by TGm  |                |  |
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## I. INTRODUCTION

In “conventional” wireless multihop packet networks packets are transported from a single transmitter to a single receiver, typically along a predetermined route. No attempt is made to take advantage of the broadcast nature of the wireless medium. Lately, various techniques [1] have been proposed to take advantage of the ability to transmit to multiple destinations without having to pay any penalty in terms of network resources.

Furthermore, in presence of fading, which is usually mostly statistically independent over the network links, these techniques provide diversity gain. Diversity obtained this way is usually referred to as cooperative diversity [1]. In situations where other forms of diversity are precluded, cooperative diversity relaying can be very effective to mitigate random fading.

Ideally, routing in an ad-hoc network requires complete knowledge of the state of the network. However, in reality, even medium size networks do not have sufficient resources to discover and track all possible paths in presence of mobility. Hence, we focus on a more modest two-hop relaying protocol, which requires only single-hop average channel state information (CSI). The protocol can be implemented as an add-on feature to an existing routing protocol. The proposed protocol aims to take advantage of other nodes in the vicinity in an opportunistic fashion.

Other technique to improve the packet delivery ratio are ARQ and HARQ. Various forms of these techniques has been thoroughly studied [5]-[7]. However, applying both ARQ and cooperative diversity in a multihop networks raises issues and possibilities that have not been thoroughly investigated before. We propose a simple

protocol utilizing two-hop cooperative diversity augmented with ARQ, and study analytically and through simulation its expected system-wide benefits in an ad-hoc wireless network, where the node location follows a homogeneous Poisson point process. When a packet transmitted by a source node is not received by the destination node, the protocol makes an optimal choice whether the source node itself re-transmits the packet, or whether another node that received the packet will serve as a relay and re-transmit it. In the later case, the protocol chooses the “best” relay to re-transmit based on the information available to it.

### III. SYSTEM MODEL

#### A. Nodes location model

Nodes locations follow a homogeneous Poisson point process with density  $\lambda$ .

The number of nodes in a region  $A$  is Poisson distributed r.v. with mean  $\lambda \times \text{area}(A)$ :

$$P\{N(A) = k\} = e^{-\lambda \times \text{area}(A)} \frac{(\lambda \times \text{area}(A))^k}{k!}$$

The number of nodes in two disjoint regions are independent.

Given the number of nodes in a region  $A$  the location of each node is uniform in  $A$ .

#### B. Propagation model:

We assume distance related loss and Rayleigh fading. The SNR

at node  $j$  due to transmission from node  $i$  located  $d_{ij}$  units away, is:

$$\gamma_{ij} = \frac{KP_T}{d_{ij}^\delta} X_{ij}^2 \quad (1)$$

where  $K$  represents all the constant gains such as antenna gains,  $P_T$  is the transmit power,  $P_N$  is the noise power and  $\delta$  is the path loss exponent. We assume that the antennas are omnidirectional and  $K$ ,  $P_T$  and  $P_N$  are common for all nodes. Fading components of all the channel gains, i.e.  $X_{ij}$ 's are independent and identically distributed.  $X_{ij}^2$  is an exponential random variable where  $\mathbb{E}[X_{ij}^2] = \mu = 1$ , and hence its cdf is equal to  $F_{X_{ij}^2}(y) = 1 - \exp(-y)$  for  $y \geq 0$ . We assume that the channel coherence time is sufficiently long, such that the channel does not change during the delivery time of a packet, which is at most two timeslots. We do not consider interference in this paper.

We assume that transmission by node  $i$  is successfully received by node  $j$ , if and only if the received SNR, denoted by  $\gamma_{ij}$ , is larger than a given threshold value  $\gamma_t$ . We define

$$r_N(P_T) = \left( K \frac{P_T}{P_N \gamma_t} \right)^{1/\delta},$$

which is the transmission range of a node transmitting with power  $P_T$  in the absence of fading. Then,

$$\gamma_{ij} > \gamma_t \longrightarrow R < r_N(P_T) X_{ij}^{2/\delta}. \quad (2)$$

The expectation of  $\gamma_{ij}$  is denoted by  $\bar{\gamma}_{ij}$  and  $\bar{\gamma}_{ij}$  normalized by the threshold SNR  $\gamma_t$  is denoted by  $g_{ij}$ .

$$g_{ij} = \frac{\bar{\gamma}_{ij}}{\gamma_t} = \left( \frac{r_N}{d_{ij}} \right)^\delta \mathbb{E}[(X_{ij})^2] = \left( \frac{r_N}{d_{ij}} \right)^\delta$$

Hence,

$$g_{sd} = \left( \frac{r_{Ns}}{D} \right)^\delta,$$

where node S is the source node, node D is the destination node, and  $d_{sd} = D$ .

#### IV. DESCRIPTION OF THE RELAY-ASSISTED ARQ PROTOCOL

A pre-requisite of this protocol is that each network node knows the mean (the local average) path loss to all its “neighbors”. The neighbors of a node are defined as nodes that might possibly receive transmissions from the node under favorable propagation conditions. The possibility that a non-neighboring node receives a message is considered unlikely and the protocol ignores it. The destination node is always a neighbor of the source node. The protocol has two stages. In stage  $I$ , the source transmits a packet with transmit

power  $P_S$ , specifying the intended destination. If the destination receives the packet successfully, it sends a short acknowledgement message (ACK) to the source. To account for propagation and processing delays, the source uses a time-out counter defining a time window for ACK to arrive from the destination. In case ACK arrives in time, the protocol cycle is terminated. If the source does not receive an ACK from the destination before its timer expires, it assumes that its transmission is not successful and stage *II* starts. In stage *II* the source broadcasts a message requesting that all nodes that have correctly received the transmitted packet identify themselves. Nodes that satisfy this condition are called “potential relays”. Each potential relay sends a short ACK to the source, which also includes its mean channel gain to the destination. We assume that the ACK messages from potential relays are always received correctly by the source.

In scenarios where each relay is able to track the instantaneous gain of the channel between itself and the destination, the source can pick the relay with the largest gain as in [15]. However, in this paper we do not assume that every node can readily provide its instantaneous channel gain to any node that one of its neighbors wants to send data to. Instead, we assume that nodes can provide only local average channel gains to their one-hop neighbors (This is also a pre-requisite for routing). This feature allows the protocol to perform also in mobile scenarios. We assume that the source also knows its average channel gain to the destination.

If there are more than one potential relays with respect to the source, the source selects the the one with the best average channel gain to the destination. The source then either retransmits itself or asks this relay to transmit. In Section V-B we derive the threshold  $g_{min}(g_{sd})$  for average relay-destination SNR below which source transmission should be preferred over relay transmission. The source re-transmits also when no potential relay is available. After the second stage is completed, the destination combines the two received signals using Maximum Ratio Combining (MRC).

We note that concurrent transmissions of the ACKs from multiple potential relays can cause collisions if not managed by a separate protocol. A simple protocol for this purpose is given below. The source

includes the value of  $g_{min}(g_{sd})$  in the transmitted packet, then potential relays whose  $g_{rd}$  is smaller than  $g_{min}(g_{sd})$  do not respond. Each potential relay is required to wait a certain time interval before responding. This time interval is related to the  $g_{rd}$  of the relay through a properly chosen monotonically decreasing function  $G(g_{sd}, g_{rd})$ . Let the longest waiting time interval, the one corresponding to  $g_{min}(g_{sd})$  be  $\Delta t_{max}$  (See Fig 1). Then the potential relay with the highest channel gain to the destination will transmit the ACK first, and the rest, sensing the transmission of the “best” relay, will withdraw. Note that even if some other potential relays fail to sense the signal of the “best” relay, with high probability no collision will occur, because the ACK messages are short compared to  $\Delta t_{max}$ . The source then waits to the end of the  $\Delta t_{max}$  interval (no potential relay will be on the air beyond this point) and then sends a message to the “best” relay instructing it to transmit to the destination. The “best” relay then transmits the data packet terminating the cycle. Having to wait for a specific instruction from the source prevents the possibility that the “best” relay, not receiving an ACK from the destination, will transmit even though the destination may have issued an ACK that was received by the source.

In the propagation model described in Section III-B, the average channel gain is uniquely determined by the length of the link. To simplify the analysis we do not consider shadow fading. However, the model and the analysis can be generalized to include lognormal shadowing. In Section VI we present simulation results, which include the effect of shadowing on the performance.

## V. ANALYSIS OF THE RELAY-ASSISTED ARQ PROTOCOL

In this section we analyze the performance of the relay-assisted ARQ protocol for given  $P_S$  and  $P_r$ . We use  $r_{Nr}$  to denote  $r_N(P_r)$  - the transmission range of a relay in absence of fading. The average SNR and average normalized SNR of an arbitrary potential relay are denoted by  $\bar{\gamma}_{rd,a}$  and  $g_{rd,a}$ , respectively. The average SNR and average normalized SNR of the best potential relay are denoted by  $\bar{\gamma}_{rd}$  and  $g_{rd}$ . The probability of failure in the first transmission by the source is denoted by  $P_1$ .

$$P_1 = \mathbb{P}\{\gamma_{sd} < \gamma_t\} = 1 - \exp(-\gamma_t/\bar{\gamma}_{sd}) = 1 - \exp(-1/g_{sd}) \quad (3)$$

As a reference we also consider the protocol where the source employs an ARQ protocol without the relays, i.e. it retransmits if the first transmission is not successful. We call this protocol source ARQ and denote its outage probability by  $P_{ARQ}$ . This probability is equal to

$$P_{ARQ} = 1 - \exp(-1/(2g_{sd})). \quad (4)$$

#### A. Minimum Average SNR for Relay Transmission: $g_{min}(g_{sd})$

Let  $P_{s2}$  denote the probability that the destination cannot receive successfully if the source re-transmits given that the first transmission by the source has failed. Recall that the destination node uses MRC, and therefore the SNR at the MRC combiner output is the sum of the SNRs obtained in the two attempts.

Hence,

$$P_{s2} = \mathbb{P}\{2\gamma_{sd} < \gamma_t \mid \bar{\gamma}_{sd}, \gamma_{sd} < \gamma_t\} \quad (5)$$

$$= \frac{\mathbb{P}\{\gamma_{sd} < \gamma_t/2 \mid \bar{\gamma}_{sd}\}}{\mathbb{P}\{\gamma_{sd} < \gamma_t \mid \bar{\gamma}_{sd}\}} \quad (6)$$

$$= \frac{1 - \exp\left(-\frac{\gamma_t}{2\bar{\gamma}_{sd}}\right)}{1 - \exp\left(-\frac{\gamma_t}{\bar{\gamma}_{sd}}\right)} = \frac{1 - \exp\left(-\frac{1}{2g_{sd}}\right)}{1 - \exp\left(-\frac{1}{g_{sd}}\right)}. \quad (7)$$

Let  $P_{sr}$  be the probability that the destination cannot receive successfully if the best relay transmits following a failed transmission by the source. Then,

$$P_{sr} = \mathbb{P}\{\gamma_{sd} + \gamma_{rd} < \gamma_t \mid \bar{\gamma}_{sd}, \bar{\gamma}_{rd}, \gamma_{sd} < \gamma_t\} \quad (8)$$

$$= \frac{\mathbb{P}\{\gamma_{sd} + \gamma_{rd} < \gamma_t, \gamma_{sd} < \gamma_t \mid \bar{\gamma}_{sd}, \bar{\gamma}_{rd}\}}{\mathbb{P}\{\gamma_{sd} < \gamma_t \mid \bar{\gamma}_{sd}\}} \quad (9)$$

$$= \frac{1}{1 - \exp\left(-\frac{\gamma_t}{\bar{\gamma}_{sd}}\right)} \int_0^{\gamma_t} \int_0^{\gamma_t - \gamma_{sd}} \frac{1}{\bar{\gamma}_{rd}} e^{-\gamma_{rd}/\bar{\gamma}_{rd}} \frac{1}{\bar{\gamma}_{sd}} e^{-\gamma_{sd}/\bar{\gamma}_{sd}} d\gamma_{rd} d\gamma_{sd} \quad (10)$$

$$= 1 - \frac{\bar{\gamma}_{rd}}{\bar{\gamma}_{rd} - \bar{\gamma}_{sd}} \frac{\exp\left(-\frac{\gamma_t}{\bar{\gamma}_{rd}}\right) - \exp\left(-\frac{\gamma_t}{\bar{\gamma}_{sd}}\right)}{1 - \exp\left(-\frac{\gamma_t}{\bar{\gamma}_{sd}}\right)} \quad (11)$$

$$= 1 - \frac{g_{rd}}{g_{rd} - g_{sd}} \frac{\exp\left(-\frac{1}{g_{rd}}\right) - \exp\left(-\frac{1}{g_{sd}}\right)}{1 - \exp\left(-\frac{1}{g_{sd}}\right)}. \quad (12)$$

Let  $a_s$  and  $a_r$  denote the two possible actions the source can take:  $a_s$  is the retransmission by the source and  $a_r$  is the transmission by the best relay. The optimal decision can be summarized as:

$$P_{sr} \underset{a_r}{\overset{a_s}{\gtrless}} P_{s2} \quad (13)$$

$$1 - \frac{g_{rd}}{g_{rd} - g_{sd}} \frac{\exp\left(-\frac{1}{g_{rd}}\right) - \exp\left(-\frac{1}{g_{sd}}\right)}{1 - \exp\left(-\frac{1}{g_{sd}}\right)} \underset{a_r}{\overset{a_s}{\gtrless}} \frac{1 - \exp\left(-\frac{1}{2g_{sd}}\right)}{1 - \exp\left(-\frac{1}{g_{sd}}\right)}. \quad (14)$$

Note that  $P_{sr}$  monotonically decreases with  $g_{rd}$ . After some arithmetic (14) simplifies to the following form:

$$g_{rd} \underset{a_s}{\overset{a_r}{\gtrless}} g_{min}(g_{sd}),$$

where  $g_{min}$  denotes the minimum  $g_{rd}$  required for the relay transmission to be advantageous over the source retransmission. The function  $g_{min}$  is given by

$$g_{min}(g_{sd}) = g_{sd} \left(1 - \exp\left(-\frac{1}{2g_{sd}}\right)\right) \left[ g_{sd} \left(1 - \exp\left(-\frac{1}{2g_{sd}}\right)\right) W(f(g_{sd})) + 1 \right]^{-1}, \quad (15)$$

where we define  $f()$  as

$$f(x) = \frac{\exp\left(\frac{1 + \exp\left(-\frac{1}{2x}\right)}{2x(-1 + \exp\left(-\frac{1}{2x}\right))}\right)}{x(-1 + \exp\left(-\frac{1}{2x}\right))}, \quad (16)$$

and  $W$  is the Omega function or Lambert's  $W$ -function [16].  $W(x) = w$  if  $x$  and  $w$  satisfy  $x = we^w$ .

Fig. 2 shows  $g_{min}$  for a wide range of  $g_{sd}$  values. We note that  $g_{min}$  has a limit as  $g_{sd}$  goes to infinity:

$$\lim_{g_{sd} \rightarrow \infty} g_{min}(g_{sd}) = \frac{1}{W(-2e^{-2}) + 2} \approx 0.6275.$$

## B. Outage Probability

Let  $P_{RARQ}$  be the outage probability, and  $P_2$  the probability that the second transmission coming after a failed first attempt did not help. Then, the outage probability is equal to

$$P_{RARQ} = P_1 P_2(g_{sd}). \quad (17)$$



The pdf and cdf of the normalized average SNR received at the destination from the best relay are denoted by  $f_{g_{rd}}$  and  $F_{g_{rd}}$ , respectively. According to the protocol, the source retransmits if either there is no potential relay or the  $g_{rd}$  is less than  $g_{min}(g_{sd})$ . For analytical convenience, instead of treating the case of no potential relays separately, we modify  $g_{rd}$  as follows: If there is no potential relay, we say  $g_{rd}$  is equal to zero.

We can express  $P_2$  as

$$P_2(g_{sd}) = \int_0^{\infty} \min\{P_{s2}(g_{sd}), P_{sr}(x, g_{sd})\} f_{g_{rd}}(x) dx \quad (18)$$

$$= \int_0^{g_{min}} P_{s2}(g_{sd}) f_{g_{rd}}(x) dx + \int_{g_{min}}^{\infty} P_{sr}(x, g_{sd}) f_{g_{rd}}(x) dx \quad (19)$$

$$= F_{g_{rd}}(g_{min}) P_{s2}(g_{sd}) + \int_{g_{min}}^{\infty} P_{sr}(x, g_{sd}) f_{g_{rd}}(x) dx \quad (20)$$

$$= F_{g_{rd}}(g_{min}) P_{s2}(g_{sd}) + \int_{g_{min}}^{\infty} \left( 1 - \frac{x}{x - g_{sd}} \frac{\exp(-\frac{1}{x}) - \exp(-\frac{1}{g_{sd}})}{1 - \exp(-\frac{1}{g_{sd}})} \right) f_{g_{rd}}(x) dx \quad (21)$$

By substituting  $P_{s2}$ ,  $P_{sr}$ , and  $P_1$  from (7), (12) and (3) in (17), we obtain

$$\begin{aligned} P_{RARQ} &= \underbrace{F_{g_{rd}}(g_{min})(1 - \exp(-1/(2g_{sd})))}_{P_{out,1}} \\ &+ \underbrace{\int_{g_{min}}^{\infty} \left( (1 - \exp(-1/(g_{sd}))) - \frac{x}{x - g_{sd}} \left( \exp(-\frac{1}{x}) - \exp(-\frac{1}{g_{sd}}) \right) \right) f_{g_{rd}}(x) dx}_{P_{out,2}} \end{aligned} \quad (22)$$

The integral in the term  $P_{out,2}$  can be converted to an expression with  $F_{g_{rd}}$  using integration by parts.

$$\begin{aligned} P_{out,2} &= (1 - \exp(-1/(g_{sd}))) (1 - F_{g_{rd}}(g_{min})) - \int_{g_{min}}^{\infty} \frac{x}{x - g_{sd}} \left( \exp(-\frac{1}{x}) - \exp(-\frac{1}{g_{sd}}) \right) f_{g_{rd}}(x) dx \\ &= (1 - \exp(-1/(g_{sd}))) (1 - F_{g_{rd}}(g_{min})) - \left. \frac{x}{x - g_{sd}} (\exp(-1/x) - \exp(-1/g_{sd})) F_{g_{rd}}(x) \right|_{g_{min}}^{\infty} \\ &\quad - \int_{g_{min}}^{\infty} \left( \frac{g_{sd}(\exp(-1/x) - \exp(-1/g_{sd}))}{(x - g_{sd})^2} - \frac{\exp(-1/x)/x}{x - g_{sd}} \right) F_{g_{rd}}(x) dx \\ &= (1 - \exp(-1/(g_{sd}))) (1 - F_{g_{rd}}(g_{min})) - (1 - \exp(-1/g_{sd})) \\ &\quad + \frac{g_{min}}{g_{min} - g_{sd}} (\exp(-1/g_{min}) - \exp(-1/g_{sd})) F_{g_{rd}}(g_{min}) \\ &\quad - \underbrace{\int_{g_{min}}^{\infty} \left( \frac{g_{sd}(\exp(-1/x) - \exp(-1/g_{sd}))}{(x - g_{sd})^2} - \frac{\exp(-1/x)/x}{x - g_{sd}} \right) F_{g_{rd}}(x) dx}_I \end{aligned} \quad (23)$$

Then

$$P_{out,2} = F_{g_{rd}}(g_{min}) \left( \frac{g_{min}}{g_{min} - g_{sd}} (\exp(-1/g_{min}) - \exp(-1/g_{sd})) - (1 - \exp(-1/g_{sd})) \right) - I. \quad (24)$$

To continue, we need to find  $F_{g_{rd}}$ . The analysis given below follows the same approach as [17].

### C. Number of Potential Relays

We make use of a well-known result on Poisson processes [18], which is also used in [19], to calculate the probability mass function (pmf) of the number of potential relays.

*Theorem 1:* Let the number of objects  $N$  in a given region be a Poisson random variable with mean  $\mu$ . Let  $\varepsilon_i$  be the event that object  $i$  has a certain property. If all the events are independent and have the same probability of occurrence  $p = \mathbb{P}\{\varepsilon_i|N = n\}$ , for all  $n$ , then the number of objects out of  $N$  objects having the defined property is also Poisson random variable with mean  $p\mu$ .

Let  $B(a, b; r)$  denote a disc with radius  $r$  centered at point  $(a, b)$ . Suppose that the objects are the nodes in  $B(0, 0; r_0)$  and the desired property is having a reliable link to the source at  $(0, 0)$ . Then the number of potential relays within  $r_0$  of the source are

$$N_r(B(0, 0; r_0)) \sim Poiss(\mu_r(r_0)), \quad (25)$$

where

$$\mu_r(r_0) = \lambda \pi r_0^2 p_r \quad (26)$$

and  $p_r$  is the probability that an arbitrary node in  $B(0, 0; r_0)$  is a potential relay.

All the nodes in  $B(0, 0; r_0)$  are uniformly distributed in the region. Hence,  $R$ , the distance from an arbitrary node to the source at  $(0, 0)$  has the pdf  $f_R(r) = 2r/r_0^2$ ,  $0 \leq r \leq r_0$ . Using (2), we then

calculate the limit of  $r_0^2 p_r$  as  $r_0 \rightarrow \infty$ , covering the whole plane.

$$\begin{aligned}
\lim_{r_0^2 \rightarrow \infty} r_0^2 p_r &= \lim_{r_0^2 \rightarrow \infty} r_0^2 \mathbb{P}\{R < r_{Ns} X^{2/\delta}\} \\
&= \lim_{r_0^2 \rightarrow \infty} r_0^2 \int_0^\infty f_X(x) \int_0^{\min(r_0, r_{Ns} x^{2/\delta})} \frac{2r}{r_0^2} dr dx \\
&= \lim_{r_0^2 \rightarrow \infty} \int_0^\infty f_X(x) \min(r_0^2, r_{Ns}^2 x^{4/\delta}) dx \\
&= r_{Ns}^2 \mathbb{E}_X[X^{4/\delta}]
\end{aligned} \tag{27}$$

As given in [19],  $\mathbb{E}_X[X^{4/\delta}] = \Gamma(1 + 2/\delta)$  for Rayleigh distributed  $X$ , where the gamma function  $\Gamma(\cdot)$  is defined as

$$\Gamma(x) = \int_0^\infty t^{x-1} e^{-t} dt.$$

Then,  $\mu_r = \lim_{r_0 \rightarrow \infty} \mu_r(r_0)$ , the average number of potential relays in the entire plane, is found by substituting (27) in (26),

$$\mu_r = \lambda \pi r_{Ns}^2 \Gamma\left(1 + \frac{2}{\delta}\right) \tag{28}$$

and the pmf of the number of potential relays of the source is

$$N_r \sim Poiss(\lambda \pi r_{Ns}^2 \Gamma(1 + 2/\delta)).$$

#### D. Distance of a Potential Relay to the Source

Let  $R$  denote the distance of an arbitrary node in  $B(0, 0; r_0)$  to the source at  $(0, 0)$  and  $\mathcal{A}(r_0)$  denote the event that this node has a direct connection with the source. In Section 9A of [19, eqn (47)], the pdf of  $R$  given  $\mathcal{A}(r_0)$  as  $r_0 \rightarrow \infty$  is calculated:

$$f_{R|\mathcal{A}}(r) = \frac{2r \exp(-(r/r_{Ns})^\delta)}{r_{Ns}^2 \Gamma(1 + 2/\delta)}. \tag{29}$$

#### E. Distance of a Potential Relay to the Destination

Consider an arbitrary potential relay, node  $i$ , which has connection to the source. Let  $L_i$  denote the distance of this node to the destination at  $(D, 0)$ . Since the locations of such nodes are i.i.d., so are their

distances to the destination,  $L_i \sim f_L(l)$ , where the distribution is defined by

$$F_L(l) = \int_0^\infty \mathbb{P}\{L \leq l \mid R = r\} f_{R|\mathcal{A}}(r) dr.$$

We first calculate the probability that the distance of a potential relay to the destination is below  $l$  given that  $R$ , its distance from the source, is  $r$ . Since the angular distribution of all potential relays around the source is uniform in  $[0, 2\pi]$ , such nodes are located uniformly on the circle  $C(0, 0; r)$ , where  $C(u, v; a)$  is a circle centered at the point  $(u, v)$  with radius  $a$ . If the circle  $C(0, 0; r)$  and the disk  $B(D, 0; l)$  intersect partially, i.e.  $|l - D| < r < l + D$ , then the probability that  $L \leq l$  is equal to the fraction of the length of  $C(0, 0; r)$  that is within  $B(D, 0; l)$ . In the illustration of Fig. 3, this fraction is equal to  $\theta/\pi$ . Using the law of cosines, we can express  $\theta$  as:

$$\theta = \arccos\left(\frac{D^2 - l^2 + r^2}{2Dr}\right)$$

If  $l > r + D$ , then the circle is within the disk and all the points on the circle are within  $l$  of the point  $(D, 0)$ . However, if  $r > l - D$ , none of the nodes on the circle are closer to  $D$  than  $l$ .

Hence, we obtain the conditional cdf  $F_{L|R}(l|r)$  as

$$F_{L|R}(l|r) = \begin{cases} \frac{1}{\pi} \arccos\left(\frac{D^2 - l^2 + r^2}{2Dr}\right), & |l - D| < r < l + D; \\ 1, & 0 < r < l - D; \\ 0, & \text{otherwise.} \end{cases} \quad (30)$$

where  $l, D, r > 0$ . Then, averaging over  $R$ , the cdf of  $L$  is obtained from

$$\begin{aligned} F_L(l) &= \int_0^\infty F_{L|R}(l|r) f_{R|\mathcal{A}}(r) dr \\ &= \underbrace{\int_0^{\max\{0, l-D\}} f_{R|\mathcal{A}}(r) dr}_{I_1} + \underbrace{\frac{1}{\pi} \int_{|l-D|}^{l+D} \arccos\left(\frac{D^2 - l^2 + r^2}{2Dr}\right) f_{R|\mathcal{A}}(r) dr}_{I_2}. \end{aligned} \quad (31)$$

We substitute (29) in the first integral  $I_1$ , use the change of variable  $u = r/r_{Ns}$  and obtain

$$\begin{aligned} I_1 &= \int_0^{\max\{0, l-D\}} f_{R|A}(r) dr = \frac{2}{\Gamma(1+2/\delta)} \int_0^{\max\{0, l-D\}} \frac{r}{r_{Ns}^2} \exp\left(-\left(r/r_{Ns}\right)^\delta\right) dr \\ &= \frac{2}{\Gamma(1+2/\delta)} \int_0^{\max\{0, l/r_{Ns}-D/r_{Ns}\}} u \exp(-u^\delta) du \end{aligned} \quad (32)$$

Note that for  $\delta = 2$ ,

$$I_1 = 2 - \exp\left(-\max\{0, l/r_{Ns} - D/r_{Ns}\}^2\right),$$

and for  $\delta = 4$ ,

$$I_1 = \frac{\sqrt{\pi} \Gamma(3/2)}{4} \operatorname{erf}\left(\max\{0, l/r_{Ns} - D/r_{Ns}\}^2\right),$$

where erf denotes the error function.  $I_2$  has no closed form expression but it can be expressed in terms of  $u$ ,  $D/r_{Ns}$  and  $l/r_{Ns}$ .

$$I_2 = \frac{2}{\Gamma(1+2/\delta)} \int_{|l/r_{Ns}-D/r_{Ns}|}^{l/r_{Ns}+D/r_{Ns}} u \exp(-u^\delta) \arccos\left(\frac{(D/r_{Ns})^2 - (l/r_{Ns})^2 + u^2}{2(D/r_{Ns})u}\right) du \quad (33)$$

Since both (32) and (33) are functions of  $l/r_{Ns}$ ,  $d/r_{Ns}$  and  $\delta$  only, we denote  $F_L(l)$  as

$$F_L(l) = h(l/r_{Ns}, D/r_{Ns}, \delta). \quad (34)$$

### F. Distribution of Averaged Normalized SNR Received at the Destination from an Arbitrary Potential Relay

Since  $i$  is arbitrary,  $g_{rd,i}$  is i.i.d. over the nodes, and we can drop the index and denote this cdf by

$F_{g_{rd,a}}$ . Then, using (2) we obtain

$$F_{g_{rd,a}}(g) = 1 - F_L(r_{Nr} g^{-1/\delta}) \quad (35)$$

$$\begin{aligned} &= 1 - \frac{2}{\Gamma(1+2/\delta)} \left\{ \int_0^{\max\{0, g^{-1/\delta} r_{Nr}/r_{Ns} - D/r_{Ns}\}} u \exp(-u^\delta) du \right. \\ &\quad \left. + \int_{|g^{-1/\delta} r_{Nr}/r_{Ns} - D/r_{Ns}|}^{g^{-1/\delta} r_{Nr}/r_{Ns} + D/r_{Ns}} u \exp(-u^\delta) \arccos\left(\frac{(D/r_{Ns})^2 - (g^{-1/\delta} r_{Nr}/r_{Ns})^2 + u^2}{2(D/r_{Ns})u}\right) du \right\} \\ &= 1 - \frac{2}{\Gamma(1+2/\delta)} \left\{ \int_0^{\max\{0, (\eta/g)^{1/\delta} - \tilde{D}\}} u \exp(-u^\delta) du \right. \\ &\quad \left. + \int_{|(\eta/g)^{1/\delta} - \tilde{D}|}^{(\eta/g)^{1/\delta} + \tilde{D}} \left( u \exp(-u^\delta) \frac{1}{\pi} \arccos\left(\frac{\tilde{D}^2 - (\eta/g)^{2/\delta} + u^2}{2\tilde{D}u}\right) du \right) \right\}, \end{aligned} \quad (36)$$

where we defined  $\eta$  and  $\tilde{D}$  as

$$\eta = (r_{N_r}/r_{N_s})^\delta \quad \text{and} \quad \tilde{D} = D/r_{N_s}.$$

### G. Distribution of the Averaged Normalized SNR of the Selected Potential Relays

Let us consider the case where we have exactly  $k$  potential relays ( $k \geq 1$ ) and we choose the relay with the largest average SNR. The joint cdf of the largest of  $k$  IID random variables is equal to

$$F_{g_{rd}}^{(k)}(g) = (F_{g_{rd,a}}(g))^k. \quad (37)$$

Note that the above distribution is a function of  $k$ .

### H. Average SNR of the Best Potential Relay at the Destination

The number of potential relays is Poisson distributed with parameter  $\mu_r$ , given by (28). When we average (37) over  $N_r$  the number of potential relays, we obtain:

$$\begin{aligned} F_{g_{rd}}(g) &= \mathbb{P}\{g_{rd} < g\} = \mathbb{P}\{N_r = 0\} + \sum_{k=1}^{\infty} \mathbb{P}\{N_r = k\} \mathbb{P}\{g_{rd} < g | N_r = k\} \\ &= \mathbb{P}\{N_r = 0\} + \sum_{k=1}^{\infty} \mathbb{P}\{N_r = k\} F_{g_{rd}}^{(k)}(g) \\ &= \exp(-\mu_r) + \sum_{k=1}^{\infty} \exp(-\mu_r) \frac{\mu_r^k}{k!} (F_{g_{rd,a}}(g))^k \\ &= \exp(-\mu_r) \left( 1 + \sum_{k=1}^{\infty} \frac{(\mu_r F_{g_{rd,a}}(g))^k}{k!} \right) \\ &= \exp(-\mu_r) \exp(\mu_r (F_{g_{rd,a}}(g))) = \exp(-\mu_r (1 - F_{g_{rd,a}}(g))), \end{aligned} \quad (38)$$

$$(39)$$

where  $F_{g_{rd,a}}(g)$  is given by (36). In Fig. 4 we plot  $F_{g_{rd,a}}$  and  $F_{g_{rd}}$  for different  $\mu_r$  values using (36) and (39). It is observed that the average SNR received at the destination from the best relay increases with  $\mu_r$ , i.e., with the density of nodes in the network.  $P_{RARQ}$  can now be computed numerically from (22) using (24), (36), and (39).

## VI. NUMERICAL RESULTS

In this section we validate the analytical results of this paper with simulations. In our simulation study, for each data point 10000 topologies are generated where the source is placed at position  $(-D/2, 0)$  and the destination is placed at position  $(+D/2, 0)$  on a  $K \times K$  square, where  $K$  is chosen depending on the node density  $\lambda$ .  $N = 600$  other nodes are placed randomly and uniformly on the region. The source and relays are assumed to have identical transmission ranges ( $r_{Nr} = r_{Ns}$ ,  $\eta = 1$ ). The path loss exponent  $\delta$  is 4. The Rayleigh fading is generated i.i.d. across all the links. In Fig. 5 we plot the outage probability as a function of  $\tilde{D}$  at  $\mu_r = 3$  for single hop transmission, ARQ protocol, and the relay assisted ARQ protocol. Fig. 6 shows the same curve for  $\mu_r = 8$ . The curves of  $P_{RARQ}$  from the simulations and the analysis (from (22)) agree completely, which validates both our analysis and simulation setup. We see that our protocol can decrease the outage probability significantly.

To observe the effect of the node density on the outage probability of relay RARQ, we vary the number of nodes in the area and in Fig. 7, we plot the outage probability of relay RARQ as a function of  $\mu_r$ . It is seen that as  $\mu_r$  increases, the outage probability of RARQ decreases rapidly.

Finally, to study the effect of shadowing on the performance of RARQ as well as ARQ and the direct transmission, we include lognormal shadowing in the link model of our simulation. The instantaneous SNR expression given in (1) is modified as

$$\gamma_{ij} = \frac{K P_T}{d_{ij}^\delta P_N} 10^{Z_{ij}/10} X_{ij}^2, \quad (40)$$

where  $Z_{ij}$  is a Gaussian random variable with zero mean and variance equal to  $\sigma^2$  and  $Z_{ij}$ s are independent and identically distributed across all links. Fig. 8 shows the outage probability as a function of  $\sigma$ . It is seen that as  $\sigma$  increases the performance of RARQ improves. This could be attributed to the fact that  $\mu_r$  increases with  $\sigma$ , as seen in [19, eqn (12)]:

$$\mu_r = \lambda \pi r_{Ns}^2 \exp(2\alpha^2) \Gamma(1 + 2/\delta), \quad \alpha = \frac{\ln 10 \sigma}{10 \delta}. \quad (41)$$

The performance of direct transmission and ARQ, in contrast does not change significantly.

## VII. CONCLUSIONS

We have proposed a simple protocol implementing two-hop cooperative diversity augmented with ARQ. The protocol requires only limited and slow changing information about the channels between a node and each of its “neighbors”. We have studied analytically and through simulations the improvement in packet outage probability obtained when the protocol is implemented over a large ad-hoc network with node locations following a homogeneous Poisson point process. We have validated our analytic derivations by comparing them to the simulations results. We have shown graphically how increasing the intensity of the nodes reduces the outage probability, or to put it differently, allows a further destination to be reached with acceptable packet delivery ratio.

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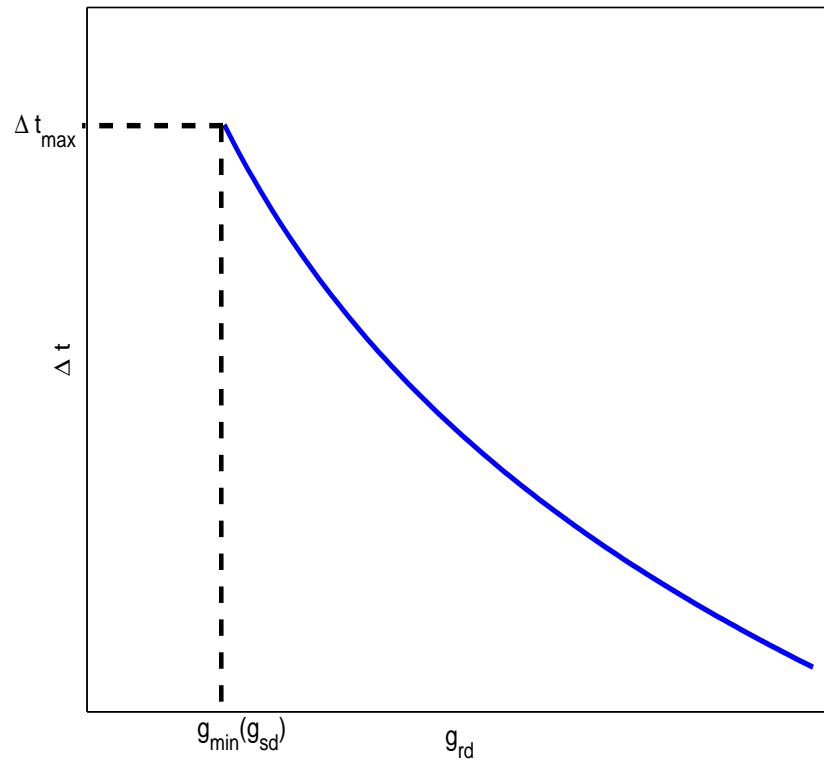


Fig. 1.  $G(g_{rd}, g_{sd})$ .

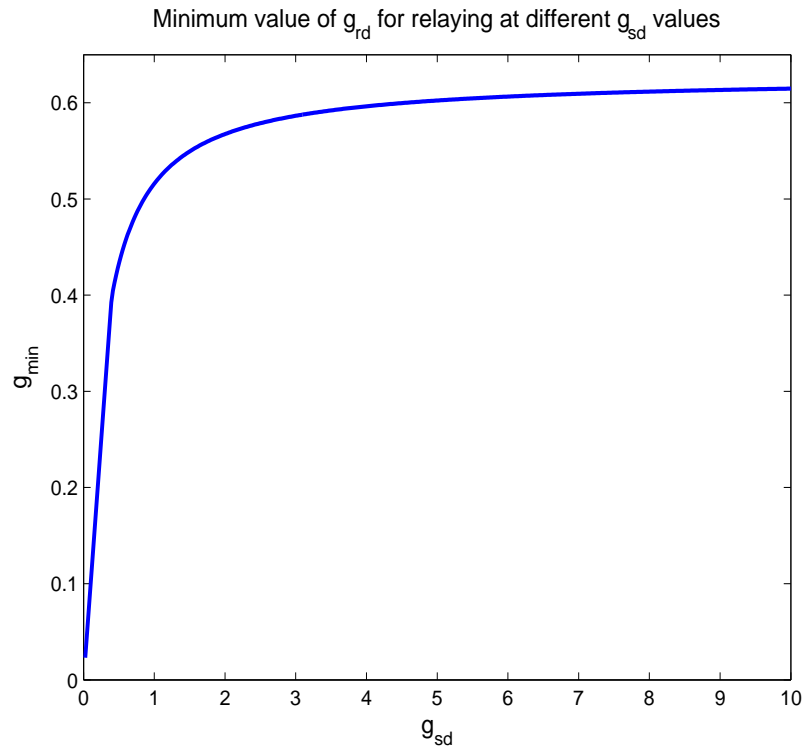


Fig. 2.  $g_{min}$  as a function of  $g_{sd}$ .

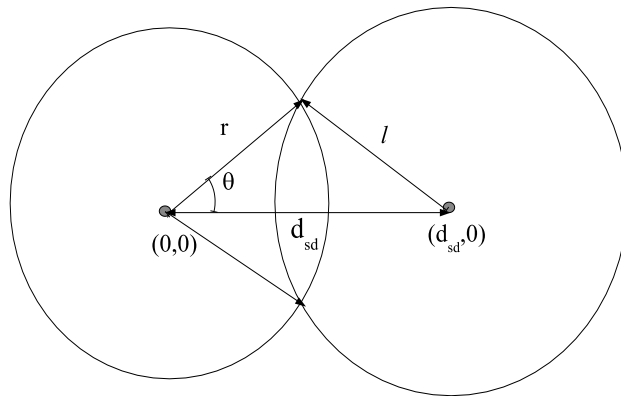


Fig. 3. Illustration for the calculation of  $F_{L|R}(l|r)$  for  $|l - D| < r < |l + D|$

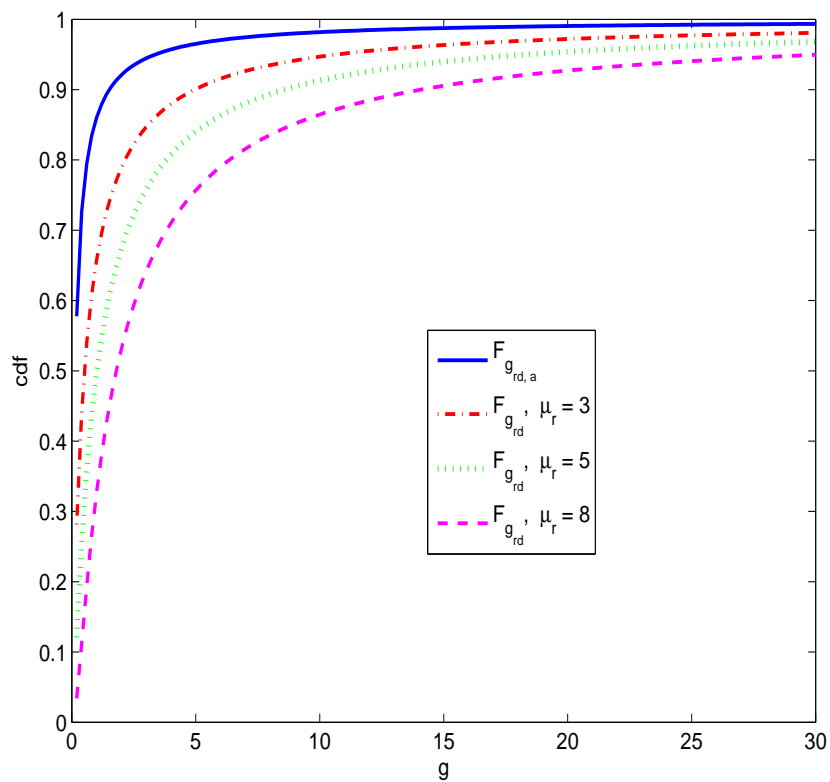


Fig. 4. The cdf of the average SNR of an arbitrary potential relay to the destination ( $F_{g_{rd,a}}$ ) and the average SNR of the best potential relay to the destination ( $F_{g_{rd}}$ ) for different  $\mu_r$  values ( $\mu_r = \{3, 5, 8\}$ ).  $r_{Ns} = r_{Nr}(\eta = 1)$ ,  $D/r_{Ns} = 1.5$  and  $\delta = 4$ .

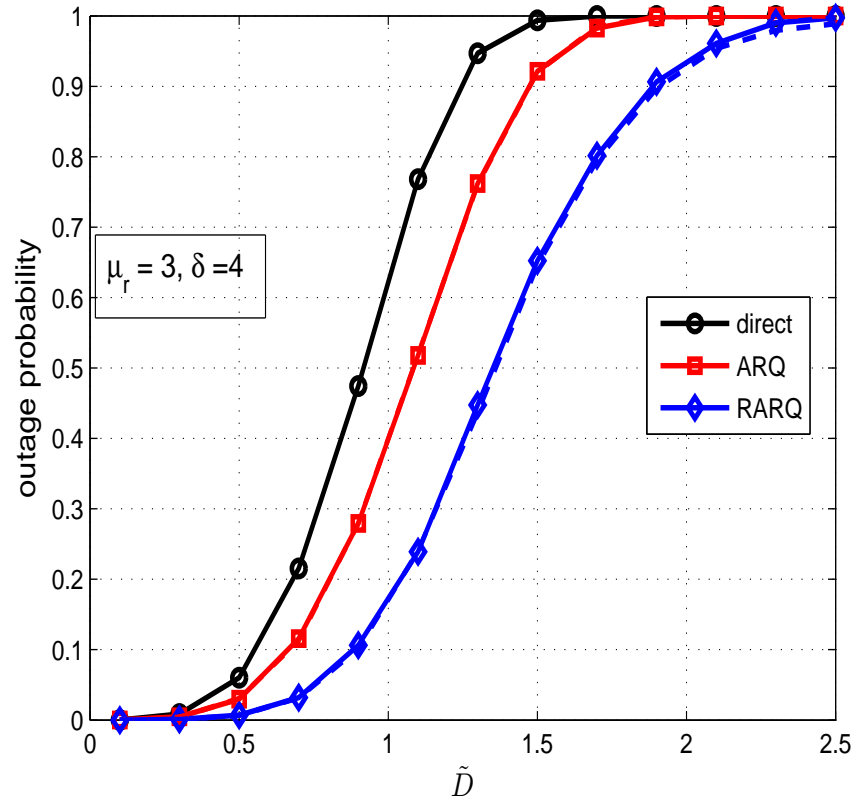


Fig. 5. The outage probabilities of ARQ and relay-assisted ARQ as a function of  $\tilde{D}$  for  $\mu_r = 3$ ,  $\delta = 4$ , and  $r_{N_r} = r_{N_s} = 1$ . Analytical curve for RARQ is shown by dotted lines.

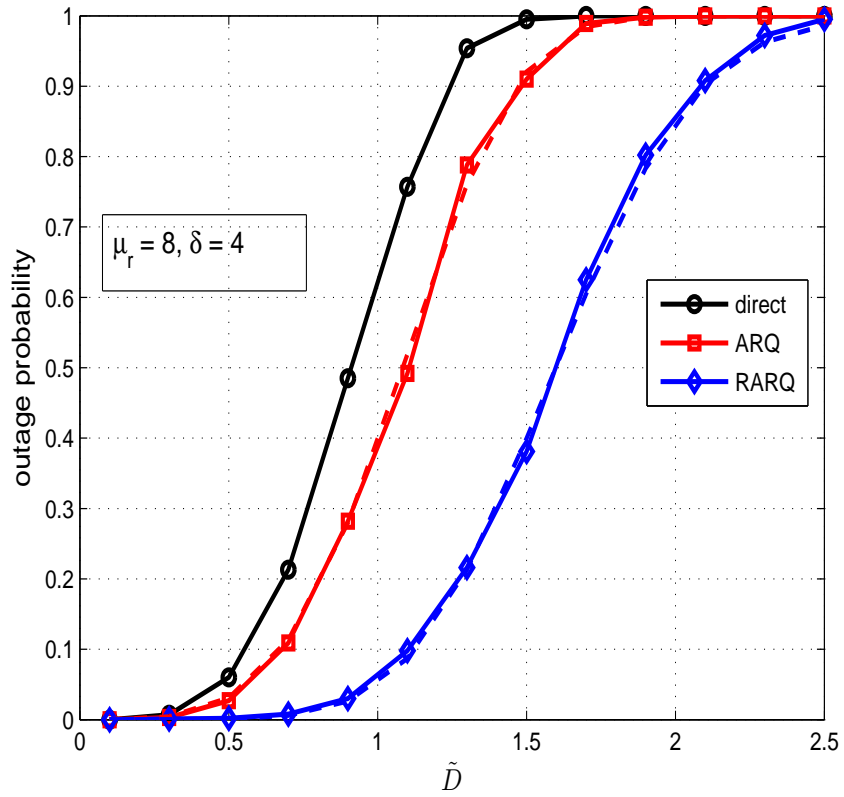


Fig. 6. The outage probabilities of ARQ and relay-assisted ARQ as a function of  $\tilde{D}$  for  $\mu_r = 8$ ,  $\delta = 4$ , and  $r_{N_r} = r_{N_s} = 1$ . Analytical curve for RARQ is shown by dotted lines.

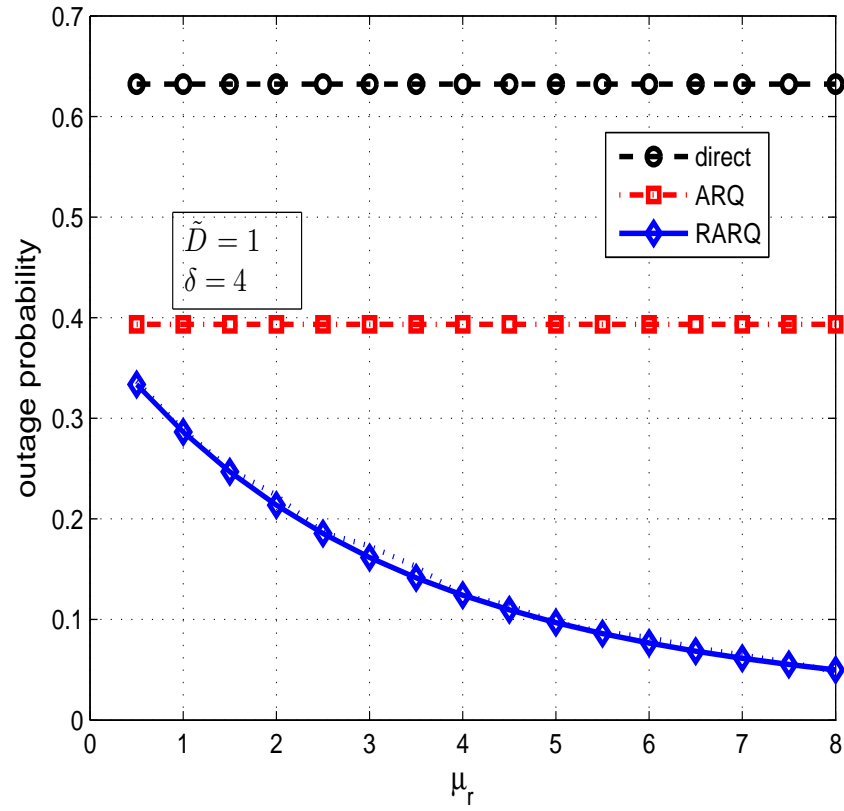


Fig. 7. The outage probabilities of ARQ and relay-assisted ARQ as a function of  $\mu_r$  for  $\tilde{D} = 1$ ,  $\delta = 4$ , and  $r_{Nr} = r_{Ns} = 1$ . Analytical curve for RARQ is shown by dotted lines.

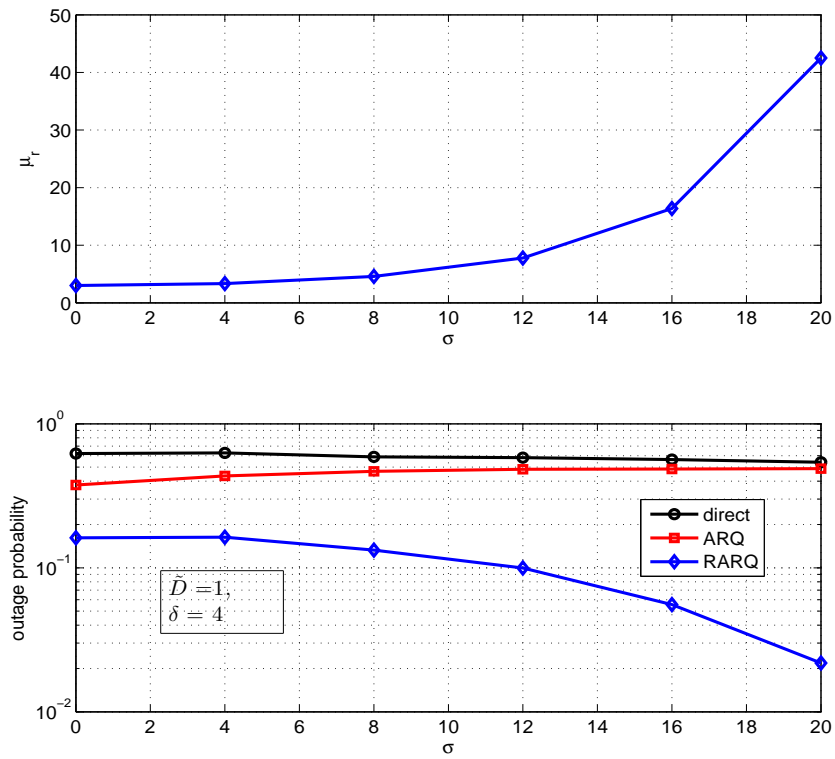


Fig. 8. The outage probabilities of ARQ and relay-assisted ARQ as a function of  $\sigma$  for  $\tilde{D} = 1$ ,  $\delta = 4$ , and  $r_{Nr} = r_{Ns} = 1$ .