

Physical Structure of UL Feedback Channels

IEEE 802.16 Presentation Submission Template (Rev. 9)

Document Number:

IEEE C802.16m-08/982r1

Date Submitted:

2008-09-05

Source:

Hwasun Yoo, Sangheon Kim, Si-Hyun Park,
Jaehye Cho, Hokyu Choi, Heewon Kang
Samsung Electronics Co., Ltd

Voice: +82-31-279-4983

E-mail: hwasun.yoo@samsung.com

Venue:

Session #57, Kobe, Japan

Re:

PHY : text; in response to the TGM Call for Contributions Comments 802.16m-08/033 for Session 57
on topic of 'Uplink Control Structures'

Base Contribution:

None

Purpose:

To be discussed and adopted by TGM for the 802.16m SDD

Notice:

This document does not represent the agreed views of the IEEE 802.16 Working Group or any of its subgroups. It represents only the views of the participants listed in the "Source(s)" field above. It is offered as a basis for discussion. It is not binding on the contributor(s), who reserve(s) the right to add, amend or withdraw material contained herein.

Release:

The contributor grants a free, irrevocable license to the IEEE to incorporate material contained in this contribution, and any modifications thereof, in the creation of an IEEE Standards publication; to copyright in the IEEE's name any IEEE Standards publication even though it may include portions of this contribution; and at the IEEE's sole discretion to permit others to reproduce in whole or in part the resulting IEEE Standards publication. The contributor also acknowledges and accepts that this contribution may be made public by IEEE 802.16.

Patent Policy:

The contributor is familiar with the IEEE-SA Patent Policy and Procedures:

<<http://standards.ieee.org/guides/bylaws/sect6-7.html#6>> and <<http://standards.ieee.org/guides/opman/sect6.html#6.3>>.

Further information is located at <<http://standards.ieee.org/board/pat/pat-material.html>> and <<http://standards.ieee.org/board/pat>>.

Physical Structure of UL Feedback Channels

Sept, 2008

*Hwasun Yoo, Sangheon Kim, Si-Hyun Park,
Jaehee Cho, Hokyuu Choi, Heewon Kang*

Samsung Electronics Co., Ltd

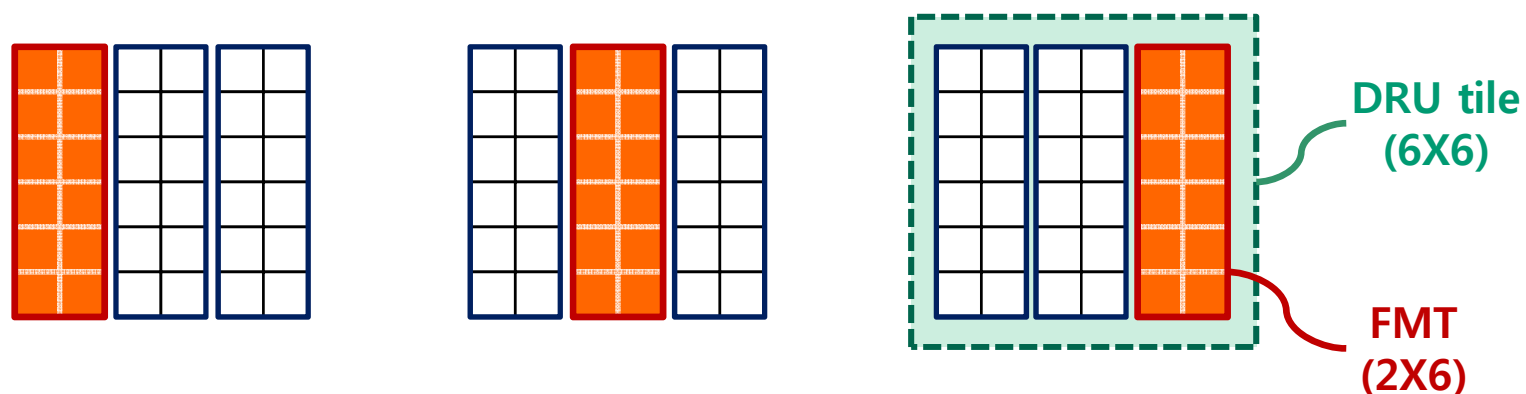
Outline

- **Uplink Feedback Mini-tile (UL FMT)**
- **Semi-orthogonal Sequence for Fast Feedback Channel (UL FBCH)**
- **How to support MIMO feedback**
- **HARQ Feedback Channel (UL ACKCH)**

Feedback Mini-Tile (FMT)

- **Resource Structure of FMT**

- 1 DRU tile can accommodate 3 FMTs
- 1 PRU can accommodate 3 Fast Feedback Channels



- **Advantages of FMT**

- Consists of 12 subcarriers
- Easy to apply various **(semi-) orthogonal sequences** for non-coherent detection
- Occupies only half resources of 16e fast feedback CHs
- Can be used for both Fast feedback CHs and HARQ feedback CHs

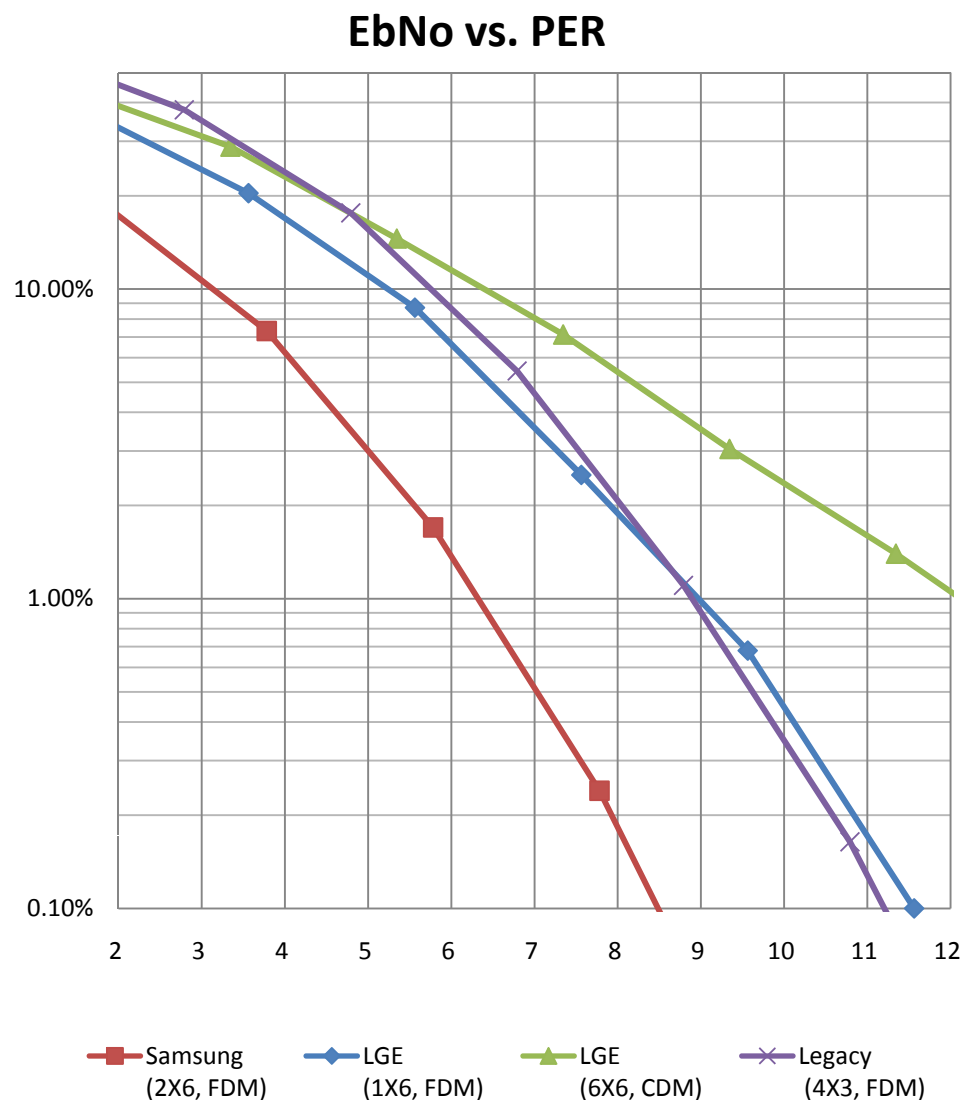
Semi-orthogonal Sequence for UL FBCH

- **Objective**
 - Can be detected **w/o pilots**
 - Minimize cross-correlation between different sequences
- **How to generate semi-orthogonal sequence**
 - Refer to Appendix 1
- **Properties of Proposed Sequence**
 - Maximum cross-correlation ≤ 4 ,
 - Number of Codewords is **64 (= 6 bits)**
- **Benefits of Semi-orthogonal Sequence**
 - Operable at low target CNR w/o CH. estimation error
 - Fully exploiting frequency diversity
 - Optimal ML detector is a bank of binary correlators

Comparison Summary of UL FBCH

	Samsung	LGE^[4]	Legacy 16e
Number of Tile	3	3 or 6	6
Tile size	(2X6)	(1X6) for FDM, (6X6) for CDM	(4X3)
Bits for FBCH	6	5 (or 10)	6
Modulation / Channel Coding	BPSK, semi-orthogonal seq.	QPSK, block code	QPSK, RS mapping with orthogonal seq.
CH. estimation	Non-coherent	2 pilots	Non-coherent
How to support MIMO FBs	Link Adaptation to 12 (or 24) bits	More FBCHs	

Detection Performance of UL FBCH



- **EbNo vs. PER**

- For fair comparison between different tile sizes

- **Simulation Condition**

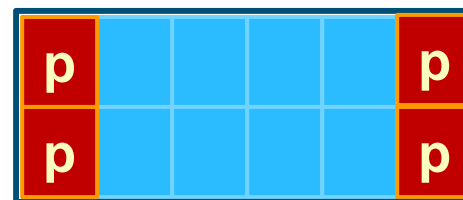
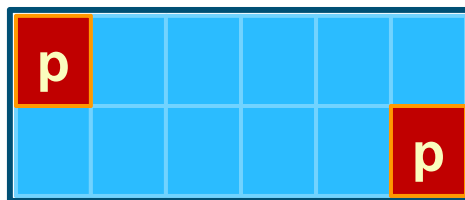
- Ped B 3km, 2Rx
- ML detection, No erasure

- **Analysis**

- Semi-orthogonal sequence on (2X6) is best
- Performance gap : about 2.7dB @1% PER
- **CH estimation error** degrades coherent detection
- CDMed Fast FBCHs suffer from **multi-user interference**

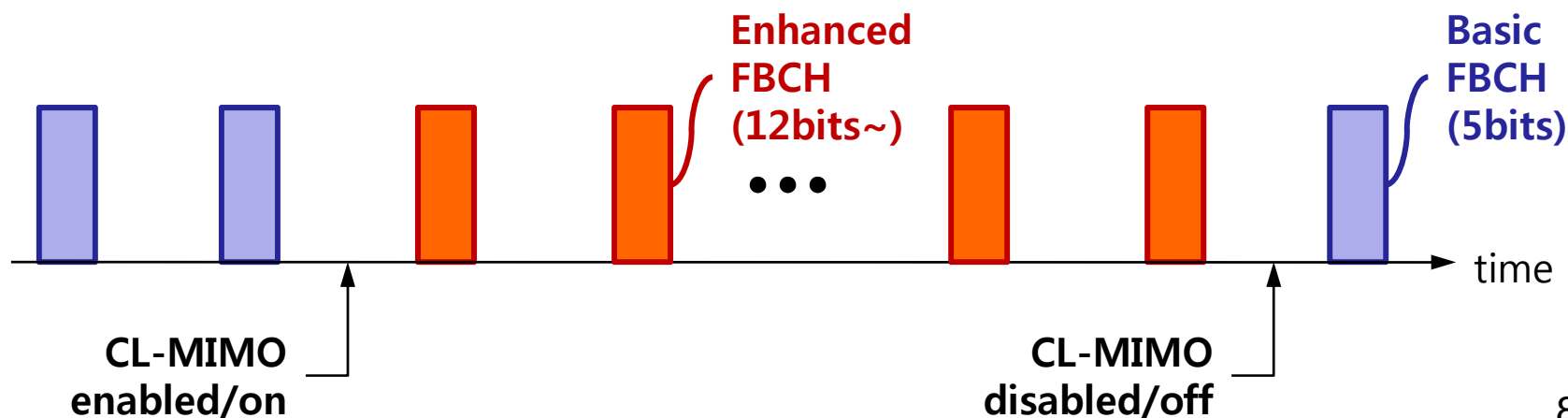
UL Enhanced FBCH

- **Why Enhanced Feedback Channel (Enhanced FBCH)?**
 - More information bits for CL-MIMO feedback
 - [Option 1] Multiple FBCHs
 - [Option 2] Link adaptation of Fast FBCH
 - **Low indication/signaling overhead**
- **Physical Structure of Enhanced FBCH**
 - QPSK modulation on each tile with 2 (or 4) pilots
 - Block Code / Tail-Biting CC
 - Number of Information bits : More than 12 (Max 24 bits)
 - Code rate : $1/5 \sim 1/2$



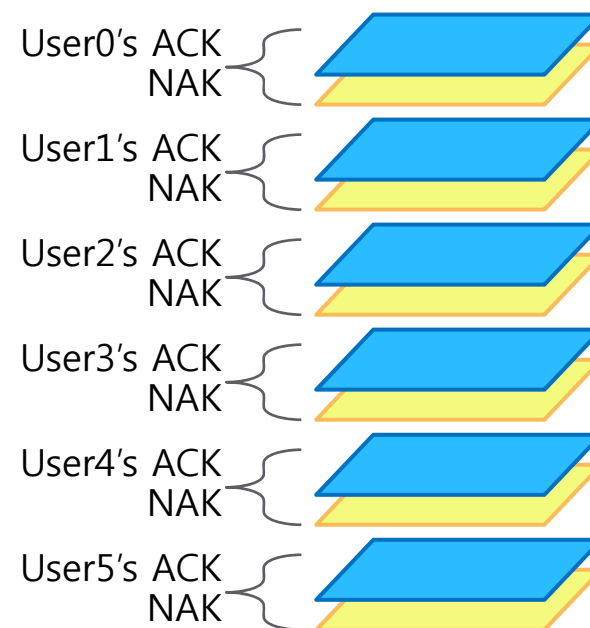
Link Adaptation of Fast FBCH

- **(Basic) Feedback Channel**
 - [6bits] Can support SIMO, OL-MIMO, and Beam-forming
- **Enhanced Feedback Channel**
 - [12bits~] Can fully support CL-MIMO, band selection operation, etc
- **Switch from basic FBCH to Enhanced FBCH**
 - Depend on MS's DL transmission scheme, not on short-term fading
 - Basic FBCH can be regarded as a special MCS of Enhanced FBCH
 - High Bandwidth Efficiency, Low indication/signaling overhead



HARQ Feedback CH (UL ACKCH)

- **Orthogonal Sequence**
 - 12 Orthogonal Sequences
 - 3 times repetition on 3 FMTs
- **Code Division Multiplexing**
 - High spectral efficiency
 - 1 PRU can accommodate 18 ACKCHs
- **Rationale for UL FMT with size (2X6)**
 - Better frequency diversity
 - FMTs are used for both UL FBCHs and UL ACKCH
 - **Low signaling overhead, Little resource waste**
 - A large CDM tile may introduce severe **interference to neighbouring cells**
 - **Multi-user interference** by frequency/time selectivity



Summary

- **UL FMT (2X6)**
 - Suitable for fast FBCH, enhanced FBCH, and HARQ feedback
- **Semi-orthogonal sequence**
 - Best performance for small number of bits at low SNR
- **Multiplexing of multiple feedback Channels**
 - CDM is not suitable for fast FBCH but for UL ACKCH
- **How to support MIMO feedback**
 - Link Adaptation from basic fast FBCH to enhanced FBCH

Feedback CH	Resource	Key Features / Issues	Comment
UL basic FBCH	3 FMTs	- Semi-orthogonal sequences - 6bits information	Non-coherent
UL enhanced FBCH	3 FMTs	- Coherent Detection - Switched from UL basic FBCH	
UL ACKCH	3 FMTs	- CDM for 6 users	

Text Proposal for UL Control Channel (i)

Insert the following text into Physical Layer Clause (i.e. Chapter 11 in [3]):

----- Text Start -----

11.9.2.1 UL Fast Feedback Channel

11.9.2.1.1 Multiplexing with other control channels and data channels

The UL fast feedback channel carries one or more types of fast feedback information. ~~The use of TDM/FDM or CDM to multiplex fast feedback channels from one or more users is FFS.~~ The UL fast feedback channel is FDM with other control and data channels.

11.9.2.1.2 PHY structure

The transmission format of the fast feedback channel can be adaptive. The transmission format depends on feedback information type.

----- Text End -----

Text Proposal for UL Control Channel (ii)

Insert the following text into Physical Layer Clause (i.e. Chapter 11 in [3]):

----- Text Start -----

11.9.2.1 UL Fast Feedback Channel

11.9.2.1.2 PHY structure

~~The structure of the resource blocks, pilots and resource mapping for the UL fast feedback channel are TBD.~~

A fast feedback channel occupies 3 UL feedback mini-tiles (UL FMTs), which are chosen from different UL DRUs for frequency diversity. Each UL FMT is defined as 2 contiguous subcarriers by 6 OFDM symbols.

Twelve tones on each FMT are BPSK modulated using semi-orthogonal sequence in Table X.

[add the table in appendix 2 of this contribution]

----- Text End -----

Text Proposal for UL Control Channel (iii)

Insert the following text into Physical Layer Clause (i.e. Chapter 11 in [3]):

----- Text Start -----

11.9.2.2 UL HARQ Feedback Channel

11.9.2.1.2 PHY structure

~~The structure of the resource blocks, pilots and resource mapping for the UL fast feedback channel are TBD.~~

UL HARQ feedback channel consists 3 UL feedback mini-tiles (UL FMTs), which are chosen from different UL DRUs for frequency diversity. Each UL FMT is defined as 2 contiguous subcarriers by 6 OFDM symbols.

Six UL HARQ feedback channels are multiplexed onto the same UL FMTs using orthogonal spreading sequences. The sequences for orthogonal spreading are FFS.

----- Text End -----

References

- [1] IEEE 802.16m-07/002r5, "TGm System Requirements Document (SRD)"
- [2] IEEE 802.16m-08/003r4, "Draft IEEE 802.16m System Description Document"
- [3] IEEE 802.16m-08/004r2, "Project 802.16m Evaluation Methodology Document(EMD)"
- [4]
- [4] IEEE C802.16m-08/840, "UL Control Physical Structure" (LGE)

[Appendix 1]

How to generate Semi-Orthogonal Sequence

[Step 1] Subsequence : Hadamard sequence with length 4

$$\mathbf{u}_0 = \{+1, +1, +1, +1\}, \quad \mathbf{u}_1 = \{+1, +1, -1, -1\}, \quad \mathbf{u}_2 = \{+1, -1, +1, -1\} \quad \mathbf{u}_3 = \{+1, -1, -1, +1\}$$

[Step 2] Combination of Subsequences (by Reed-Solomon)

$$\left\{ \begin{array}{l} \mathbf{u}_0\mathbf{u}_0\mathbf{u}_0, \mathbf{u}_0\mathbf{u}_1\mathbf{u}_2, \mathbf{u}_0\mathbf{u}_2\mathbf{u}_3, \mathbf{u}_0\mathbf{u}_3\mathbf{u}_1, \mathbf{u}_1\mathbf{u}_2\mathbf{u}_0, \mathbf{u}_2\mathbf{u}_3\mathbf{u}_0, \mathbf{u}_3\mathbf{u}_1\mathbf{u}_0, \mathbf{u}_2\mathbf{u}_0\mathbf{u}_1, \\ \mathbf{u}_3\mathbf{u}_0\mathbf{u}_2, \mathbf{u}_1\mathbf{u}_0\mathbf{u}_3, \mathbf{u}_1\mathbf{u}_3\mathbf{u}_2, \mathbf{u}_2\mathbf{u}_1\mathbf{u}_3, \mathbf{u}_3\mathbf{u}_2\mathbf{u}_1, \mathbf{u}_1\mathbf{u}_1\mathbf{u}_1, \mathbf{u}_2\mathbf{u}_2\mathbf{u}_2, \mathbf{u}_3\mathbf{u}_3\mathbf{u}_3 \end{array} \right\}$$

[Step 3] Phase-difference vector : Extension to Bi-orthogonal sets

$$\mathbf{u}_0\mathbf{u}_0\mathbf{u}_0 \Rightarrow \begin{cases} +\mathbf{u}_0, +\mathbf{u}_0, +\mathbf{u}_0 \\ +\mathbf{u}_0, -\mathbf{u}_0, +\mathbf{u}_0 \\ +\mathbf{u}_0, +\mathbf{u}_0, -\mathbf{u}_0 \\ +\mathbf{u}_0, -\mathbf{u}_0, -\mathbf{u}_0 \end{cases}, \quad \mathbf{u}_0\mathbf{u}_1\mathbf{u}_2 \Rightarrow \begin{cases} +\mathbf{u}_0, +\mathbf{u}_1, +\mathbf{u}_2 \\ +\mathbf{u}_0, -\mathbf{u}_1, +\mathbf{u}_2 \\ +\mathbf{u}_0, +\mathbf{u}_1, -\mathbf{u}_2 \\ +\mathbf{u}_0, -\mathbf{u}_1, -\mathbf{u}_2 \end{cases}, \quad \dots$$

Total Number of CWs : 4(step1)X4(step2)X4(step3) = 64 (6bits)

[Appendix 2]

Amount of MIMO Feedback Information

- **Assumptions on Information Contents**
 - DL transmission scheme : LLRU CL-MIMO
 - Absolute CQI: 5 bits, Differential CQI: 2bits
 - The number of reported subbands : 3

			SU-SCW	SU-MCW (2layer)	MU	
Contents	Long period	Subband indication		12	12	12
		Subband CQI	Type	abs	abs + diff	abs
			Bit	5	5 + 3	5
		rank		2	2	x
		Total bits		33	42	27
	Short period	Subband CQI	Type	diff	diff	diff
			Bit	2	2 x 2	2
		PMI		2~4	2~4	2~3
		Total bits		12~18	18~24	12~15