

Project	IEEE 802.20 Working Group on Mobile Broadband Wireless Access < http://grouper.ieee.org/groups/802/20/ >	
Title	IEEE C802.20-11/80 Multi-antenna Support for Air Interface Specifications in 802.20	
Date Submitted	October 28, 2005	
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Re:		
Abstract	This partial proposal proposes the use of physical and MAC layer concepts and functionality within the 802.20 air interface in order to facilitate the use of multi-antenna systems (MAS) within a TDD 802.20 air interface.	
Purpose	An 802.20 Partial Proposal	
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1. Introduction & Scope

2 This partial proposal proposes the use of physical and MAC layer concepts and
3 functionality within the 802.20 air interface in order to facilitate the use of multi-antenna
4 systems (MAS) within an 802.20 air interface.

5
6 The proposal provides an integrated set of proposed elements for an air interface design
7 wherein multi-antenna operation at the base station and subscriber terminal can be
8 employed to maximal gain. Because the approach taken affects all layers of the air
9 interface, the elements presented herein are intended to be carefully merged as a whole
10 with other air interface proposals. We therefore welcome the opportunity to work with
11 other proponents.

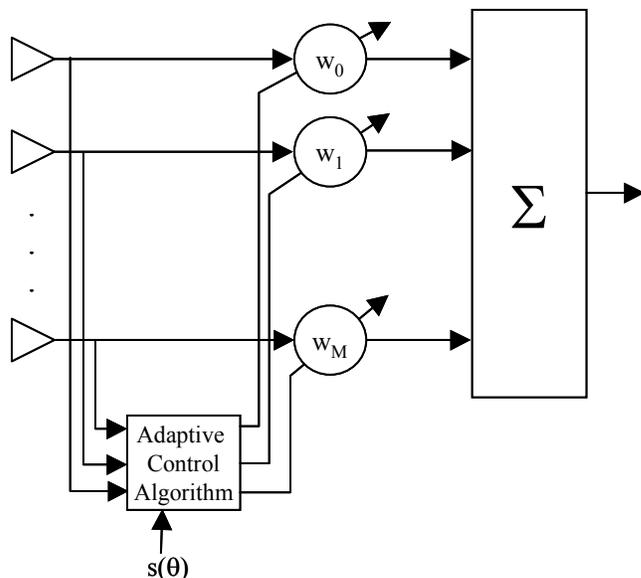
12
13 Furthermore, for clarity, normative language is used to describe the individual proposal
14 items. This normative text should not be interpreted as the text to be incorporated into the
15 air interface specification. Rather the text to be incorporated into the air interface
16 specification is to be developed based on how this proposal is implemented in the context
17 of the other specific characteristics of the air interface.

18
19 This proposal is a mutliantenna solution for TDD air interfaces. The elements described in
20 this proposal can also serve solutions for FDD receive operation. FDD multi-antenna
21 transmit operation would then be implemented through channel state feedback mechanisms
22 or blind transmission techniques.

24 1.1. Overview of Multi-antenna System Operation

25 Multi-antenna systems utilize multiple antennas at the base station and optionally at the
26 subscriber terminal.

27
28 At either the base station or subscriber terminal, baseband received signals from each of the
29 spatially separate antenna elements are filtered by spatial/temporal filters with complex
30 delay tap adjustments. These signals are combined to yield the array output. Figure 1
31 illustrates a simple example of such a receiver wherein an adaptive algorithm controls
32 weight filters according to predefined objectives such as maximizing a particular user's
33 SINR.



1 **Figure 1 Multi-antenna Spatial Processing**

2
3
4 Similarly on the transmit side signals to be transmitted are multiplied by filter banks with
5 complex delay tap adjustments for each of the array elements. The weighting factors are
6 chosen dynamically to ensure that the transmitted signals meet predefined criteria such as
7 constructive combining at the location/user of interest while at the same time mitigating
8 interference to other co-channel users in the same and other cells.

9
10 The processes of combining signals on the receive side or transmit side are called “receive
11 spatial processing” and “transmit spatial processing” respectively. The benefits of multi-
12 antenna spatial processing are well known and shown in Table 1.

13
14 Significant system gains can be achieved with 4-12 antenna base stations. Consistent with the
15 802.20 SRD, in this partial proposal, multiple antennas at the subscriber terminal are
16 considered optional. This enables significant system gains to be achieved while affording
17 maximal flexibility in the cost and form factors of terminals.

18
19 In the case where multiple antennas at the subscriber terminal are available, the guidelines
20 presented here-in (for example the availability of training data for channel state
21 information) are equally applicable. This leads to further system level gains using standard
22 multiple input-multiple output (MIMO) techniques.

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1 **Table 1 System Level Benefits of Multi-antenna Processing**

Gain	System-Level Significance
User Selective Uplink Gain <ul style="list-style-type: none"> • Receive processing at base station 	Increased Range, Improved Coverage, Increased Link Margin <ul style="list-style-type: none"> • Coherent Combining gain • Spatial Diversity gain • Lower terminal transmit power •
Uplink Interference Mitigation <ul style="list-style-type: none"> • Receive processing at base station 	Improved Signal Quality <ul style="list-style-type: none"> • Robust to interference from multiple co-channel uplink interferers • Higher spectral efficiency
Selective Downlink Gain <ul style="list-style-type: none"> • Transmit strategy based on uplink information and feedback from terminal 	Increased Range, Coverage, Link budget <ul style="list-style-type: none"> • Coherent Combining gain • Spatial Diversity gain • Reduced base station PA sizing •
Downlink Interference Mitigation <ul style="list-style-type: none"> • Transmit strategy based on uplink information and feedback from terminal 	Improved Signal Quality <ul style="list-style-type: none"> • Interference immunity • Higher Spectral Efficiency

2

3

4 Obtaining accurate channel state information (CSI) is fundamental to the effective
 5 operation of multi-antenna systems. In the uplink direction, the base station can estimate
 6 CSI through properly designed uplink training sequences. Furthermore, for a suitably
 7 designed air interface, TDD channel reciprocity permits the base station to infer downlink
 8 CSI from the uplink. The principle of inferring downlink CSI from uplink training
 9 sequences is a core concept in this partial proposal.

10

11 Other principles recommended by this partial proposal are as follows:

12

- As required in the 802.20 SRD, the air interface must neither preclude nor require the use of multiple antennas at the subscriber terminal.

13

- The air interface must enable robust multi-antenna processing in typical scattering environments at the base station and subscriber terminal.

14

- The air interface must enable robust multi-antenna processing for mobile users.

15

- The air interface must enable system operation without impractical restrictions on the geometry of either the base station antenna array or the subscriber station antenna structure.

16

- The air interface must provide physical- and MAC-layer support for Spatial

17

- Division Multiple Access (SDMA). For example, it must be possible to schedule

18

19

20

21

1 the same radio resource multiple times in the same sector. In cases of SDMA
 2 operation the base station will rely on the multi-antenna signal processing
 3 algorithms to separate co-channel users.
 4

5 1.2. Implications of Multi-antenna Operation on 6 Air Interface Design

7
 8 As mentioned above, obtaining channel information during uplink transmissions to
 9 determine downlink transmission strategies is a core concept in this partial proposal. Of
 10 course, there may be channels, for example broadcast channels, where such information is
 11 not available. Therefore the air interface must differentiate between channels for which
 12 “directive” spatial processing and “non-directive” spatial processing is used.
 13

14 “Directive” spatial processing will occur on channels in which reciprocal uplink channel
 15 information is readily available for downlink transmission, enabling “directive” downlink
 16 transmission to a user of interest. For example, downlink traffic channels can be readily
 17 organized to be directive by pairing them with reciprocal uplink training transmissions.
 18

19 “Non-directive” spatial processing will occur on channels in which reciprocal uplink
 20 channel information is not readily available for downlink transmission. For example,
 21 downlink broadcast channels are likely to use “non-directive” spatial processing since
 22 recent uplink channel information is likely not to be available for the majority of users in
 23 the system. Similarly in a system with non-continuous uplink polling, paging channels are
 24 likely “non-directive”. Table 2 illustrates certain channel types, their function, and the type
 25 of spatial processing likely to be employed on those channels.
 26
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 28

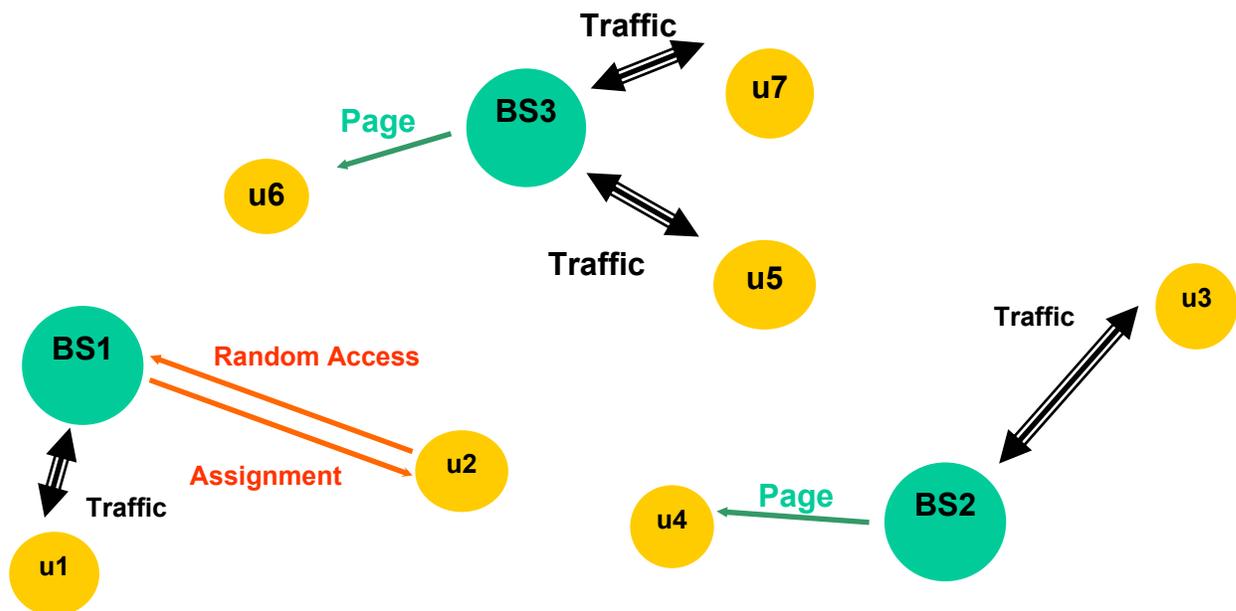
Table 2 Examples of Directive and Non-directive Channels

Channel	Direction	Typical Function	Spatial Processing Type
Broadcast Channel	Downlink	Cell and System information	Non-directive
Paging Channel	Downlink	Paging to initiate downlink data.	Directive or Non-directive
Uplink Resource Request/Assignment	Bidirectional	Request to initiate uplink traffic transfer and subsequent resource assignment	Directive
Uplink Traffic Channel	Uplink	Traffic exchange on uplink	Directive
Downlink Traffic Channel	Downlink	Traffic exchange on downlink (coupled with uplink training)	Directive

29
 30

1 By designing the air interface to leverage multi-antenna systems, interference at the
 2 physical layer is considerably mitigated. As a result interference management in the
 3 network is straightforward enabling operation as shown in Figure 2:

- 4 • SDMA allows multiple traffic channels to share the same physical resource.
- 5 • Similarly, through spatial multiplexing users can be paged on the same physical
 6 resources as traffic channels without the need for dedicated paging resources or
 7 continual polling. See below for further discussion.
- 8 • Random access can occur on the same physical resources as traffic channels
 9 without the need for dedicated random access resources.



12
 13 Figure 2 Air Interface Operation Leveraging Multi-antenna Systems

16 2. Physical Layer Requirements

17 2.1. Uplink Training data

18 Known “training” data is necessary in multi-antenna system in order to facilitate spatial
 19 signature estimation, spatial weight calculation and more sophisticated spatial/temporal
 20 processing.
 21

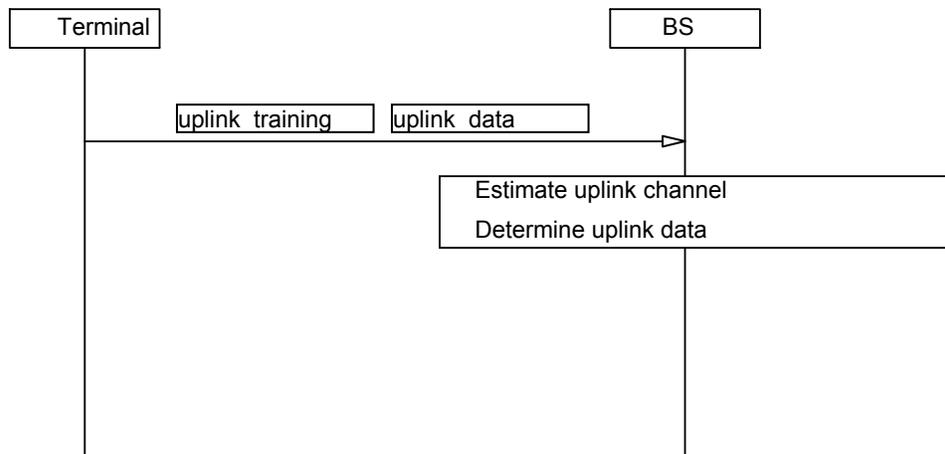
- 22
- 23 1. **Proposal:** For a given set of uplink data resources there shall exist a corresponding set
 24 of uplink training resources. This correspondence shall be one-to-one and shall be
 25 known across all base stations and terminals in the network. Different uplink data
 26 resource sets and corresponding training sets shall all be mutually exclusive and shall

1 not overlap. A subscriber terminal shall transmit on the given uplink data resource if
 2 and only if it transmits on some portion corresponding uplink training resource.¹

3

4 Discussion: This training data will facilitate spatial/temporal channel estimation for all in-
 5 cell and out-of-cell cochannel users. This channel estimation can then be used with **uplink**
 6 data signals received from the antenna array in order to synthesize signals received from
 7 the user of interest while substantially nulling strong interferers. This is shown
 8 schematically in Figure 3.

9



10

11 **Figure 3 Uplink training is used for uplink channel estimation and uplink spatial processing**

12

13 This requirement also establishes a strict correspondence between uplink training resources
 14 and uplink data resources for all cochannel users in the network on the uplink. The
 15 interference environment on uplink training resource is then duplicated on the uplink data
 16 resource.

17

18 Figure 4 illustrates how this scheme could work in an OFDM resource tile:

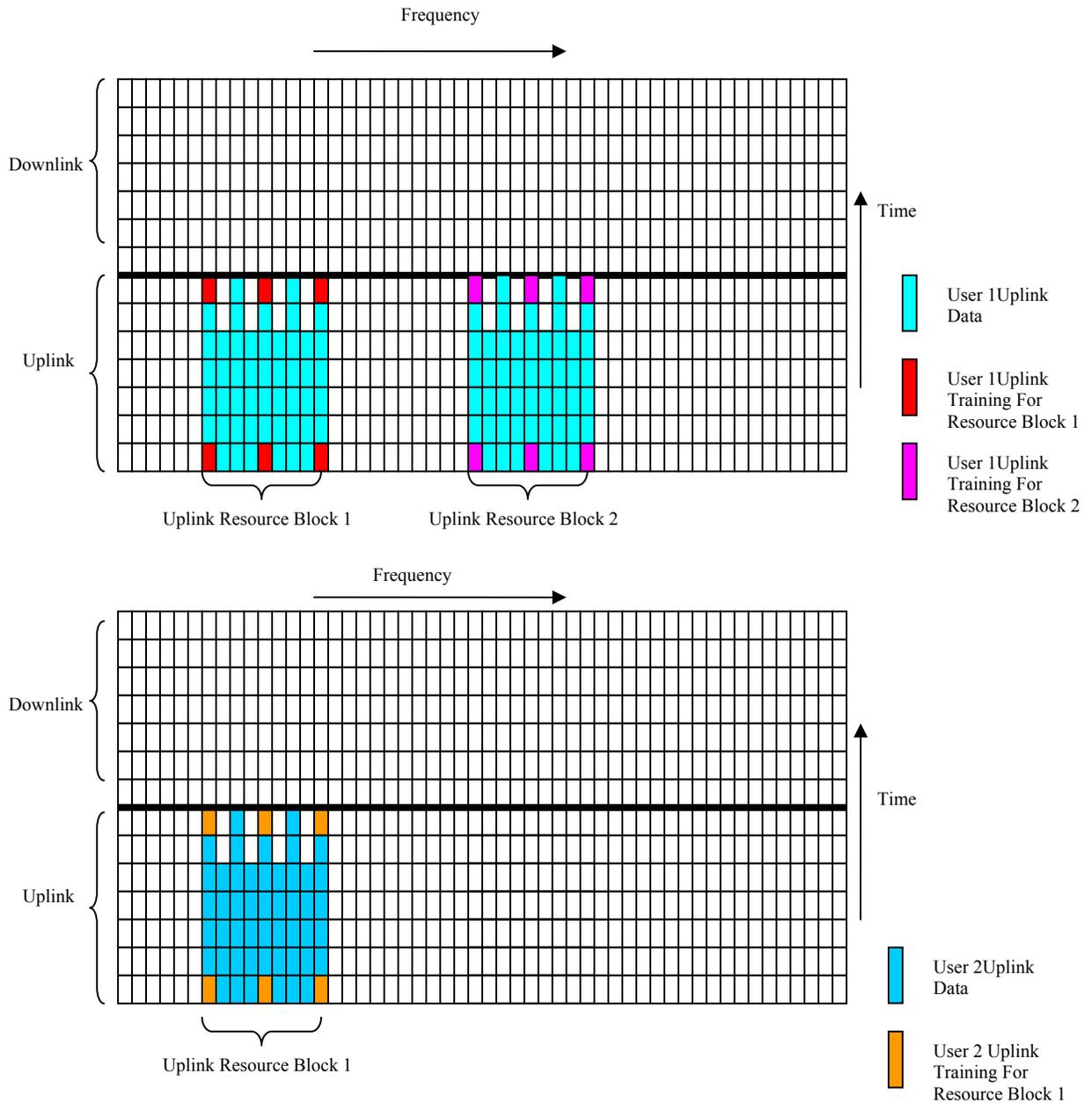
19

- 20 • User 1 and User 2 are both using **uplink** resource block 1.
- 21 • User 1 and User 2 are therefore both required to transmit **uplink** training on the
 corresponding training resources.
- 22 • User 1 is using **uplink** resource block 2.
- 23 • User 1 is then required to transmit **uplink** training on the corresponding training
 resources for block 2.
- 24 • User 2 is NOT using **uplink** resource block 2.
- 25 • User 2 must NOT transmit **uplink** training on the corresponding training
 resources for block 2.

27

¹ For clarity normative language is used to describe the individual proposal items. This normative text should not be interpreted as the text that would be incorporated into the air interface specification. Rather the text that would be incorporated into the air interface specification would be developed based on how this proposal is implemented in the context of the other, specific characteristics of the air interface.

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Figure 4 Uplink Training Resources Corresponding To Uplink Data Resources

4

5

2. **Proposal:** For a given set of downlink data resources (excluding broadcast data and possibly excluding downlink pages), there shall exist a corresponding set of uplink training resources. This correspondence shall be one-to-one and shall be known across all base stations and terminals in the network. Different downlink data resource sets and corresponding uplink training resource sets shall all be mutually exclusive and shall not overlap. A subscriber terminal shall transmit on the given downlink data resource if and only if it has transmitted on a portion of the corresponding uplink training resource.

6

7

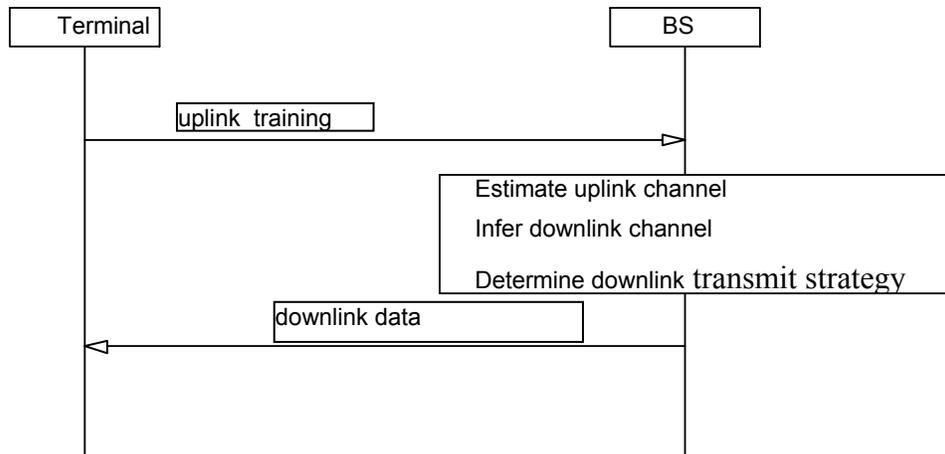
8

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1
2 Discussion: This uplink training enables the inference of downlink channel state
3 information for spatial processing on the base station **downlink**. This is shown
4 schematically in Figure 5.
5



6
7 **Figure 5 Uplink Training Used in Downlink Spatial Processing**

8
9 Two important exceptions to this requirement are base station broadcasts, possibly
10 downlink pages, or other downlink resources where the air interface resources required to
11 provide timely training could be prohibitive. These are discussed in subsequent sections
12 below.

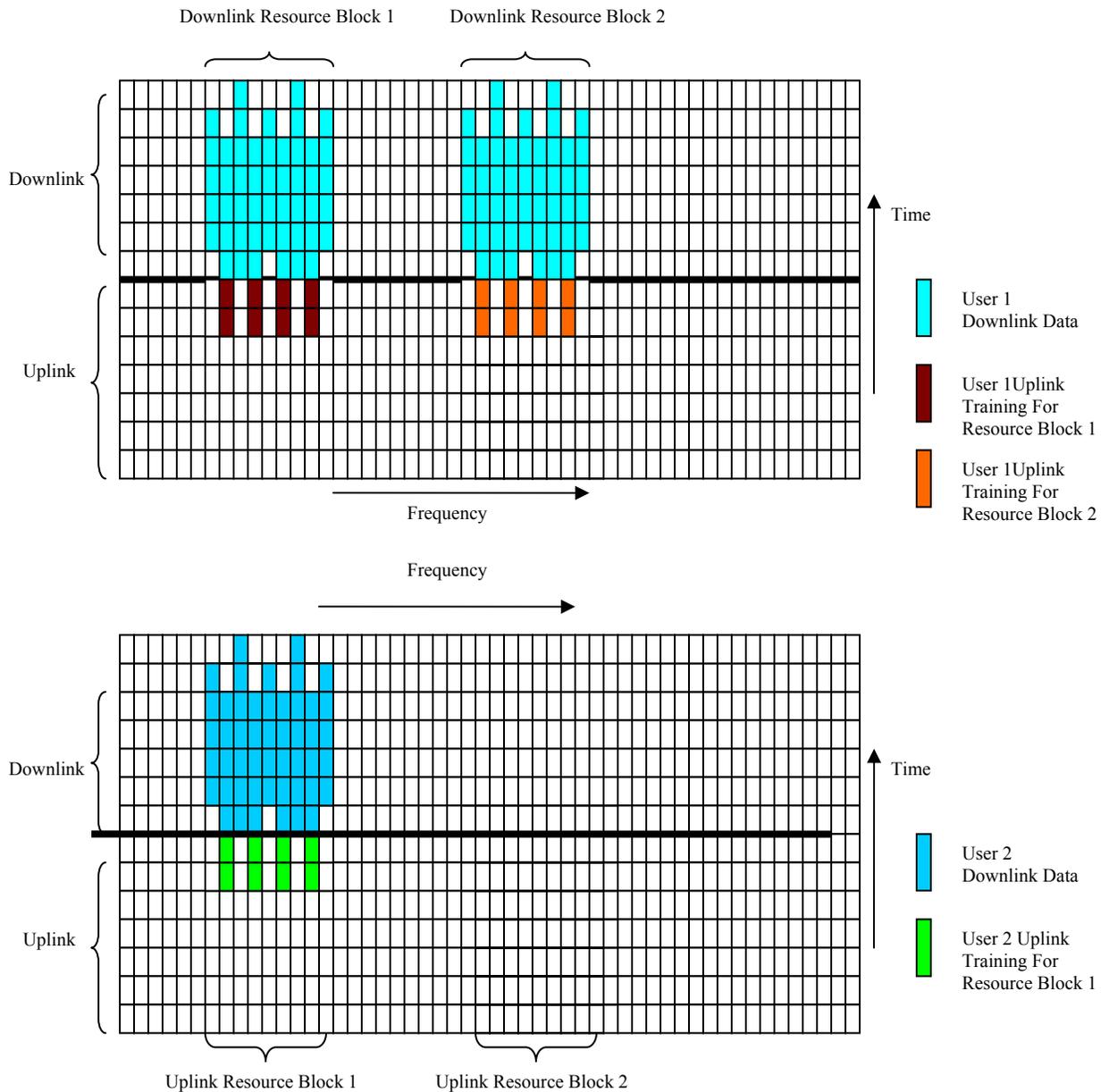
13
14 This requirement also ensures that the group of cochannel users present in the training data
15 is identical to the group of users on the corresponding traffic resource.

16
17 For example, on a given downlink resource, subscriber terminals with downlink data have
18 transmitted on known uplink training resources. Thus the base station can easily infer
19 downlink channel estimates for cochannel users that the base station could potentially
20 interfere with. This requirement is fundamental to achieving tight interference management
21 and high spectral efficiency on the downlink.
22

23 Figure 6 illustrates how this scheme could work in an OFDM resource tile:

- 24 • User 1 and User 2 are both using **downlink** resource block 1.
- 25 • User 1 and User 2 are therefore both required to transmit **uplink** training on the
- 26 corresponding training resources.
- 27 • User 1 is using **downlink** resource block 2.
- 28 • User 1 is then required to transmit **uplink** training on the corresponding training
- 29 resources for block 2.
- 30 • User 2 is NOT using **downlink** resource block 2.
- 31 • User 2 must NOT transmit **uplink** training on the corresponding training
- 32 resources for block 2.
- 33
- 34

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4

5 **Figure 6 Uplink Training Resources Corresponding To Downlink Data Resources**

6

7 The following requirements address the need for uplink training data to arrive in time and
8 frequency so as to be maximally useful in channel estimation.

9

- 10 3. **Proposal:** Uplink spatial training shall be in close temporal proximity to the uplink or
11 downlink transmission to which it corresponds.

12

1 Discussion: The temporal proximity is determined by the coherence time of the channel
2 under consideration.

3
4 4. **Proposal:** The uplink training data shall be in close spectral proximity to the data to
5 which it corresponds.

6
7 5. **Proposal:** The number of independent uplink training symbols shall be enough to
8 maximize nulling ability without using excessive resources for the training.

9
10 Discussion:

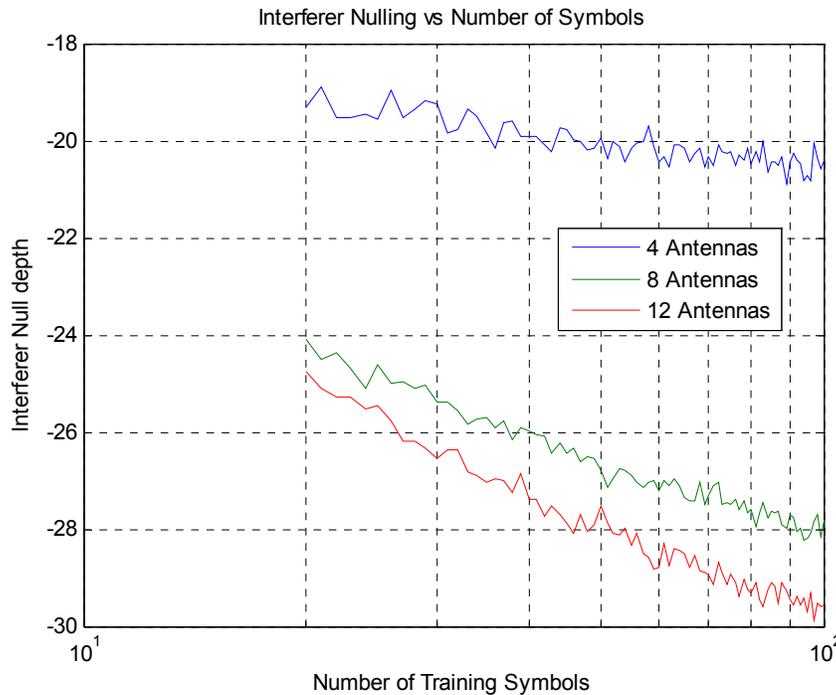
11
12 Figure 7 shows simulation results showing how the number of training symbols impacts
13 nulling performance using a simple MMSE receiver.

14
15 Two signals, one from a user of interest and one from an interferer, are assumed to impinge
16 on the array each at an SNR per antenna of $10\text{db} - 10 \cdot \log_{10}(M)$ where M is the number of
17 antennas ie. $\text{SNR} = 10\text{db}$. Noise samples for each symbol are taken as independent
18 AWGN.

19
20 The null depth is defined as the interferer power after application of unit normalized
21 MMSE weights divided by the incoherent sum of the interference power across the array.
22 i.e. Null depth = $|(\mathbf{W} \cdot \mathbf{A}_2)|^2 / (|\mathbf{A}_2|^2 * |\mathbf{W}|^2)$ where \mathbf{W} is the MMSE weights (taken to be
23 a $1 \times M$ complex vector) at the output of the spatial processor and \mathbf{A}_2 is the spatial signature
24 (taken to be a $M \times 1$ complex vector).

25
26 As seen in the figure (and as can be shown analytically) a general rule of thumb (for an
27 MMSE receiver) with 8-12 antennas and moderately high $\text{SNR} > 3\text{dB}$ (for both the user of
28 interest and the interferer), the achievable null depths are approximately $\text{SNR}_i +$
29 $10 \log_{10}(N_{\text{training}})$ where SNR_i is the interferer's SNR while N_{training} is the number of
30 symbols used as reference for the user of interest. (This equation fails to hold in certain
31 limits and should be taken as a rule of thumb only. For example, with infinite training the
32 equation fails to hold.)

33
34



1

2 **Figure 7 Interferer Null Depth vs Number of Training Symbols. Simulations are performed using a**
 3 **simple MMSE receiver with one user of interest and one interferer each at 10dB SNR. Spatial**
 4 **signatures are taken randomly on complex M dimensional space (where M is the number of BS receive**
 5 **antennas) subject to the constraint of 10db SNR. Null depths shown are averages over 1000 trials for a**
 6 **given number of training symbols.**

7

8 Finally an important implication of this requirement in an OFDMA system is that training
 9 data and therefore corresponding traffic resources should be in close spectral proximity to
 10 one another rather than as isolated subcarriers. This allows channel estimation to be
 11 performed on a local block basis, improving the resulting spatial processing.

12

13 6. **Proposal:** The uplink training data shall have low cross correlation across different
 14 users and different base stations for typical timing and frequency offsets.

15

16 Discussion: This requirement is important for the training data to be useful in spatial
 17 discrimination of multiple users.

18

19 7. **Proposal:** The uplink training data should allow a mode with the same training data at
 20 each of multiple antennas at the same user terminal. The uplink training data should
 21 allow a mode with low cross correlation training across different antennas at the same
 22 user terminal.

23

24 Discussion: This latter case is important for the training data to be useful in spatial
 25 discrimination of a multitude of antennas at the subscriber terminal.

26

27

1 8. **Proposal:** The uplink training data shall allow robust channel estimation in the
2 presence of frequency selective fading.

3
4 Discussion: This requirement ensures the fidelity of training in cases where fading is not
5 flat across the band of interest.
6
7

8 **2.2. Downlink Training data**

9 In a very similar manner to the above discussion downlink training data is required for
10 downlink channel estimation, data synthesis, and corresponding uplink transmission.
11

12 9. **Proposal:** For given downlink reception at the subscriber, training shall be provided on
13 the downlink in order to facilitate downlink reception.

14
15 Discussion: This training data will facilitate temporal equalization and if applicable (e.g.
16 for multi-antenna terminals) spatial processing on the subscriber receive.
17

18 10. **Proposal:** For given uplink data transmission at the subscriber, training data shall be
19 provided on the downlink in order to facilitate uplink transmission
20

21 Discussion: If applicable (e.g. for multi-antenna terminals) this training data will facilitate
22 spatial processing on the subscriber transmit.
23

24 11. **Proposal:** Downlink training shall be in close temporal proximity to the data to which
25 it corresponds.
26

27 Discussion: The temporal proximity is determined by the coherence time of the channel
28 under consideration. This requirement addresses the need to ensure that downlink training
29 data arrives in time so as to be maximally useful in channel estimation
30

31 12. **Proposal:** The downlink training data shall be in close spectral proximity to the data to
32 which it corresponds.
33

34 Discussion: This requirement addresses the need to ensure that downlink training data
35 arrives in frequency so as to be maximally useful in channel estimation. The spectral
36 proximity is determined by spectral coherence of signatures.
37

38 13. **Proposal:** The downlink training data shall have low cross correlation across different
39 users and different base stations for typical timing and frequency offsets.
40

41 Discussion: This requirement is important for the training data to be useful in
42 discrimination of multiple users.
43

44 14. **Proposal:** The downlink training data training data shall allow robust channel
45 estimation in the presence of frequency selective fading.

2.3. Non-spatial Channel Estimation Mechanisms

15. **Proposal:** Non-spatial channel estimation mechanisms required for demodulation of data in the air interface shall be provided for both directive and non-directive channels where applicable.

Discussion: This requirement is especially important in OFDM systems where pilot tones are required for data demodulation. In the case of a traffic channel, for example, it is important for such pilot tones to be transmitted using the same antenna weightings as used for the traffic channel to which they correspond.

2.4. Broadcast channels

Broadcast information sent by a base station to all or a plurality of subscriber terminals requires special handling in multi-antenna systems.

As discussed above broadcast channels must use “non-directive” spatial processing. Broadcast channels will therefore in the typical case be received at much lower SNR compared to directive channels.

From an air interface standpoint this means that the broadcast channel structure design must take into consideration a higher coding rate on the broadcast channel, the need to avoid sending more than the essential amount of information on the broadcast channel, and the ability to exploit temporal and spatial diversity techniques to maximize the probability of reception.

16. **Proposal:** The broadcast channel shall achieve a balanced link when compared to channels that can inherently benefit from the directivity afforded by multi-antenna spatial processing. An example of the latter is typically the traffic channel.

17. **Proposal:** The broadcast channel shall allow configurable coding rates with coding more robust than other directive channels.

18. **Proposal:** The air interface shall broadcast limited amount of information so as to restrict use of spectral resources and allow use of robust coding.

Discussion: A simple technique for restricting broadcast information is to utilize unicast channels for configuration after the subscriber terminal synchronizes to the broadcast channel. Under such a scheme the subscriber terminal sends an uplink configuration request after synchronizing to the broadcast channel. Channel information obtained from this uplink request can then be used to send information to the terminal in a directive fashion.

19. **Proposal:** The broadcast channel structure shall allow the use of spatial/temporal diversity wherein the same information is sent throughout the cell with spatial and temporal diversity for more robust reception.

1
2 Discussion: One example of spatial/temporal diversity is to simply transmit broadcast
3 bursts by using orthogonal weights across multiple broadcast time slots or across slightly
4 delayed versions of the same transmitted symbols.

5
6 **20. Proposal:** The air interface shall not share radio resources for the broadcast channel
7 with those for directive channels such as traffic or paging across a network of base
8 stations.

9
10 Discussion: This requirement is important in controlling downlink interference during
11 broadcast channel reception at terminal.

12 **2.5. Paging**

13 Paging information sent by a base station to a subscriber terminal requires special handling
14 in multi-antenna systems.

15
16 In cases, where the base station is aware of the subscriber's spatial, the air interface should
17 accommodate the use of this information to directive transmit paging channels to a
18 particular user. Directive pages are encouraged whenever training data can be acquired
19 without additional overhead. For example, in a system that allows continual uplink polling
20 the paging structure would allow directive pages immediately following an uplink poll by a
21 particular subscriber terminal.

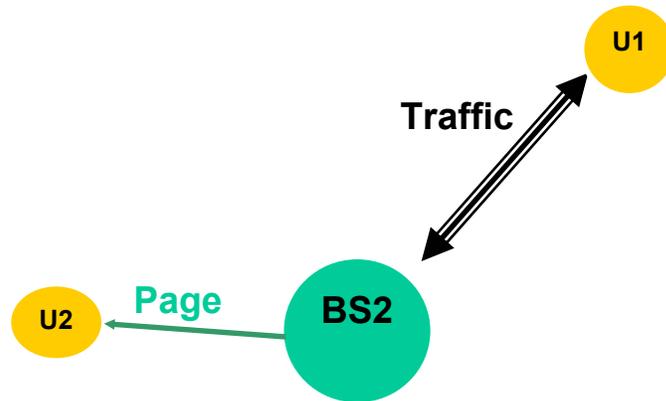
22
23 When training data is not available this proposal recommends utilizing the inherent benefits
24 of a multi-antenna approach. In such an approach, the base station is unaware of the
25 subscriber's spatial signature, and the paging channel will be received at low SNR typically
26 requiring extra compensation just as in the case of the broadcast channel.

27
28 **21. Proposal:** The air interface shall allow pages to be sent on bursts that may be shared
29 with other traffic bursts.

30
31 Discussion: Since spatial multiplexing allows multiple bursts to be sent on the same
32 physical resource, pages can be sent in an SDMA manner on resources shared by traffic
33 channels. This can be accomplished even without prior knowledge of the user of interest's
34 spatial signature.

35
36 For example, given user 1 in active traffic communication with a base station, user 2 can be
37 paged by using transmit weights orthogonal to the spatial signature of user 1. This is
38 shown schematically in Figure 8.

39



1
2 **Figure 8 Downlink page shared can be shared with downlink traffic via SDMA**

3
4
5 **22. Proposal:** The paging burst structure shall achieve a balanced link when compared to
6 channels that can inherently benefit from the directivity afforded by multi-antenna
7 spatial processing.

8
9 Discussion: As an example this can be accomplished per the requirements below by
10 employing additional coding compared to directive channels and by employing
11 spatial/temporal diversity techniques during the page.

12
13 **23. Proposal:** The air interface should restrict information bits in the paging burst so as to
14 restrict use of spectral resources and allow use of robust coding.

15
16 **24. Proposal:** The paging burst structure shall allow the use of spatial/temporal/spectral
17 diversity wherein the same information is sent across the array with spatial and
18 temporal diversity for more robust reception.

19 **2.6. Link quality reporting**

20 **25. Proposal:** Link quality reporting for directive and non-directive channels shall be
21 supported.

22
23 Discussion: Since received signal strengths can vary greatly between non-directive and
24 directive channels it is necessary to report link quality on each independently.
25

26 **2.7. Coding and interleaving**

27 There are no specific requirements in this area.
28

3. MAC

3.1. Bandwidth allocation

26. **Proposal:** The air interface should avoid using broadcast information in order to coordinate bandwidth and resource allocation.

Discussion: This requirement can be met by allowing allocations to persist for short periods of time and by enabling an initial allocation to indicate where and when subsequent allocations may be used. Besides lowering latency this requirement minimizes information required to be transmitted on broadcast channels.

3.2. Resource Allocation

27. **Proposal:** The air interface shall utilize SDMA, shall utilize TDMA, and shall utilize FDMA or OFDM.

Discussion: This requirement ensures maximum flexibility in allocating resources in the spatial, frequency, and time dimensions. This is shown schematically in Figure 9.

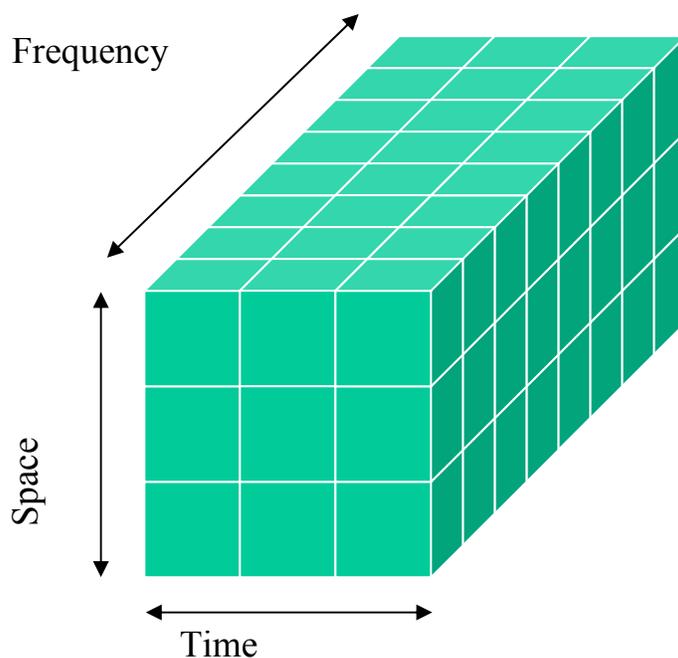


Figure 9 Resource Indexing must include time, frequency, and space

3.3. Uplink Random Access

28. **Proposal:** The air interface shall allow random access bursts to be shared on the same resources as uplink traffic bursts.

Discussion: This requirement ensures a large uplink resource set for random access leading to low latency access and eliminates the need to set aside a large resource pool that could otherwise be used for data transmission. Note that use of SDMA enables the ability to share uplink random access on data bursts otherwise used for traffic channels since such collisions are naturally resolved in the spatial domain. See Figure 10.

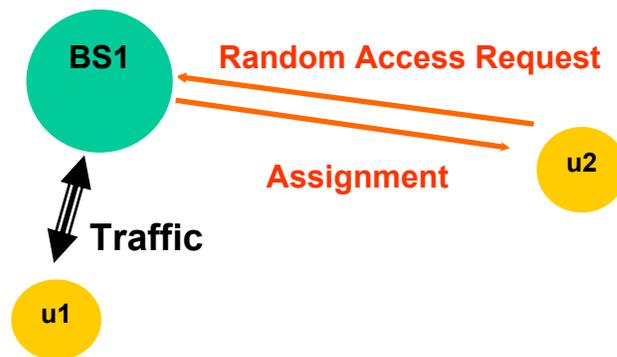


Figure 10 Random access can be shared with traffic channels

29. **Proposal:** The air interface should allow configurable resource sets eligible for random access.

Discussion: This requirement ensures that the random access resource set can be matched against criteria such as random access loading and the BS's effectiveness in spatial resolution.

4. Network

4.1. Synchronization

30. **Proposal:** Base stations and shall be synchronized. Terminals shall synchronize to their corresponding serving base station.

Discussion: This is a natural requirement in a wide area TDD system.

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5. Annex 1: System Requirements Document Compliance Table

#	Requirement	SRD Section #	Requirement Type		Compliance Level	
			Shall	Should	Yes	Notes
4	non-line of sight outdoor to indoor scenarios and indoor coverage	3.1	●			Note 1: Incorporation of this partial proposal into an air interface improves the ability of the air interface to meet this requirement. It is not possible to assess whether this requirement would be fully met in isolation of the specific air interface into which this proposal would be included.
6	Spectral efficiency – DL @ 3 km/hr: 2.0b/s/Hz/sector	4.1.1	●			See note 1.
7	Spectral efficiency – DL @ 120km/hr: 1.5b/s/Hz/sector	4.1.1	●			See note 1.
8	Spectral efficiency – UL @ 3km/hr: 1.0b/s/Hz/sector	4.1.1	●			See note 1.
9	Spectral efficiency – UL @ 120km/hr: .75b/s/Hz/sector	4.1.1	●			See note 1.

#	Requirement	SRD Section #	Requirement Type		Compliance Level	
			Shall	Should	Yes	Notes
11	Duplexing Scheme	4.1.3	●			<i>Can be implemented on TDD or FDD air interfaces. Specifics of implementation differ depending on the specific characteristics of the air interface.</i>
14	Aggregated data rate consistent with item 6	4.1.5	●			See note 1.
15	Aggregated data rate consistent with item 7	4.1.5	●			See note 1.
16	Aggregated data rate consistent with item 8	4.1.5	●			See note 1.
17	Aggregated data rate consistent with item 9	4.1.5	●			See note 1.
18	Peak User Data Rate (DL) of 4.5 Mbps in 1.5 MHz	4.16	●			See note 1.
19	Peak User Data Rate (UL) of 2.25 Mbps in 1.25 MHz	4.16	●			See note 1.
20	Peak User Data Rate (DL) of 18 Mbps in 5.0 MHz	4.16	●			See note 1.
21	Peak User Data Rate (UL) of 9 Mbps in 5.0 MHz	4.16	●			See note 1.

#	Requirement	SRD Section #	Requirement Type		Compliance Level	
			Shall	Should	Yes	Notes
25	MAC/PHY features to support multi-antenna capabilities at the BS	4.1.9	●		●	Specifics of the implementation of this partial proposal within the standard is dependent on the specific characteristics of the air interface.
27	Support coverage enhancing technologies	4.1.11	●			Improves BS coverage and is compatible with the use of other coverage enhancing technologies
50	Not preclude proprietary scheduling algorithms, so long as the standard control messages, data formats, and system constraints are observed.	4.6	●		●	

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2 Note 1: Incorporation of this partial proposal into an air interface improves the ability of
3 the air interface to meet this requirement. It is not possible to assess whether this
4 requirement would be fully met in isolation of the specific air interface into which this
5 proposal would be included.

6