



Merits of Selecting CWDM for 10km SMF PMD -  
Impact of Wavelength Grid on Optical MUX/DMUX

*opnext* →



WE *light* IT UP

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- Motivation
  - Enable lowest cost, compact transceiver supporting the 10km SMF PMD in the first and future generations
  - Specify a wavelength grid which minimizes cost, size, & power dissipation both in year 1 and subsequent years
- Migration to Integration within the PMD
- CWDM vs. LAN-WDM Merit/Demerit
  - Wavelength Yield
  - Optical MUX / DMUX
  - Link Budget
  - Power consumption & size
  - Cost

# Transmitter Technology Evolution: OSA



## Discretes



Old LX4  
TOSA

### Merit

- Optimization per device
- Segments repair/rework
- Minimizes crosstalk
- Existing opt. packaging

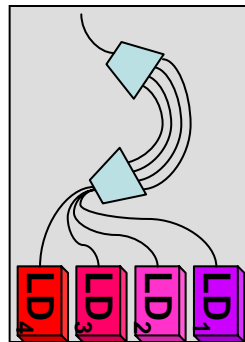
### Demerit

- High packaging costs
- Large size

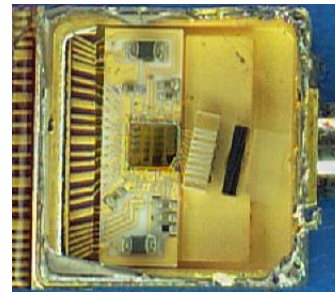
## Hybrid

LDs+ AWG MUX

Lens



LX4 ROSA



### Merit

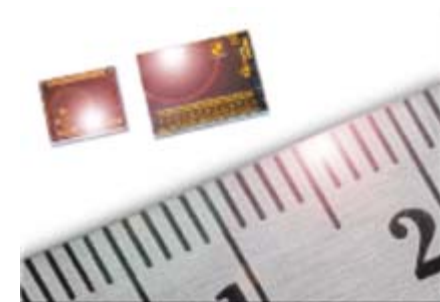
- Reduces size
- Reduces package costs

### Demerit

- All components thermally connected
- Difficult to shield wire bonds
  - Crosstalk = Radio Antenna
- Custom Packaging

## Monolithic

Infinera PIC



### Merit

- Minimum size
- Reduces package costs

### Demerit

- All components thermally connected
- HIGH optical loss
- HIGH economic investment
- Custom Packaging

# Wavelength Grid Comparison



Item		CWDM	LAN WDM
Specification	Grid	1271 - 1331	1312 center
	Pitch	20 nm	2 – 4 nm
	Tolerance	+/- 6.5 nm	+/- 0.36 – 0.8 nm
Laser for 1 <sup>st</sup> generation		Cooled EA-DFB	
Laser development	Technical Issue	25G 1310nm EA-DFB 25G Operation is the major challenge Wavelength grid is very minor challenge	
Laser Manufacturing	Wafer fabrication	4 kinds wafer	
	Wavelength yield	100%	Lower yield
	Wavelength test	No	Required
Laser Availability		Same	
Optical MUX/DMUX		Compact	Large and/or high cost
Link Budget		1~2dB Higher Path Penalty 1~2dB less O-Mux loss	Moderate to Difficult
Future		Cooled DFB, Uncooled EA-DFB, Uncooled DFB	Cooled DFB

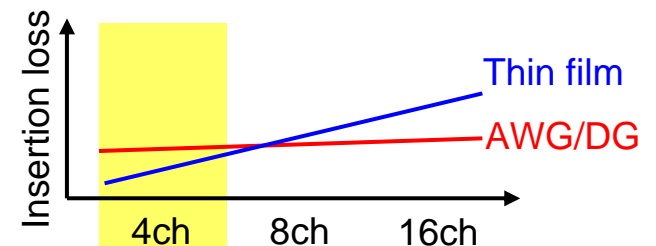
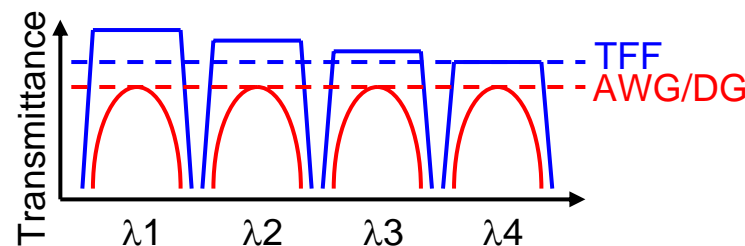
# Optical MUX / DMUX Alternative



- Thin film filter (TFF) : low loss & compact
- Arrayed waveguide grating (AWG) : large loss if using CWDM
- Diffraction grating (DG) : smaller and lower loss than AWG

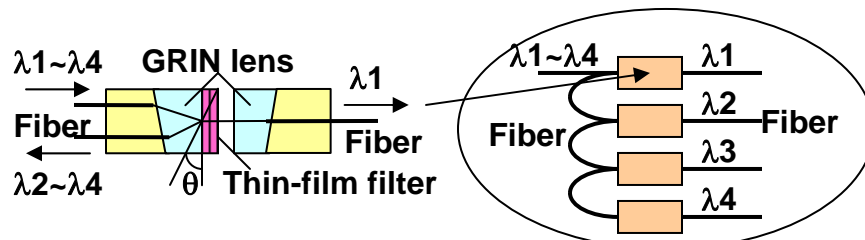
Item	Thin film filter (TFF)	Arrayed waveguide grating (AWG)		Diffraction grating (DG)	
		Silica	Semicon.(*1)	Silica	Semicon.
Pass band shape	<b>Flat top</b>	<b>Gaussian</b>		<b>Gaussian</b>	
4ch Insertion loss	<b>~2.5 dB</b>	<b>~3 dB</b>	<b>~7 dB</b>	<b>0~2dB lower loss than AWG?</b>	
TE-cooler	<b>Not required</b>	<b>Maybe not required</b>	<b>Required</b>	<b>Required</b>	
Notes	<ul style="list-style-type: none"> <li>• Compact / low loss</li> <li>• Loss depends on channel count</li> <li>• <b>Existing CWDM and DWDM</b></li> </ul>	<ul style="list-style-type: none"> <li>• Loss doesn't depend on ch. count</li> <li>• Flat top existing, but large loss</li> <li>• Larger size</li> <li>• <b>Existing DWDM O-MUX/DMUX</b></li> <li>• <b>1300nm type does not exist, need to develop</b></li> </ul>	<ul style="list-style-type: none"> <li>• Smaller than AWG</li> <li>• Polarization-dependant loss issue</li> <li>• <b>Does not exist</b></li> </ul>		

[1] johnson\_01\_0108, Jan, 2008



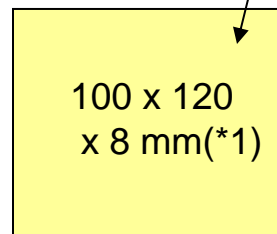
- TFF based O-MUX / DMUX have low loss around 2.5 dB
- Zig-Zag type is very small and preferred for compact transceiver
- Existing LX-4

## 1) 3-port type (1λ add or drop)



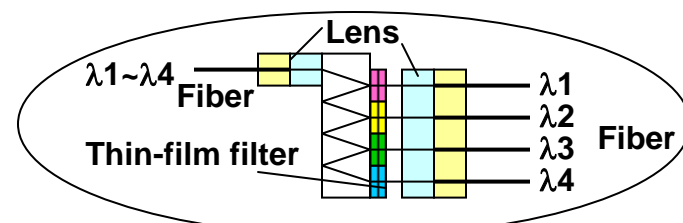
- Allow for tight angle( $\theta$ ) tuning
- Used in DWDM or CWDM
- Large module size due to cascaded connection using fiber

O-MUX/  
DMUX size



3-port type

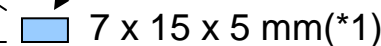
## 2) Zig-Zag type (4λ, very compact)



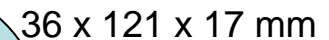
- Very compact with large incident angle
- Used in CWDM

✓ How about LAN WDM ?

x ~1/100

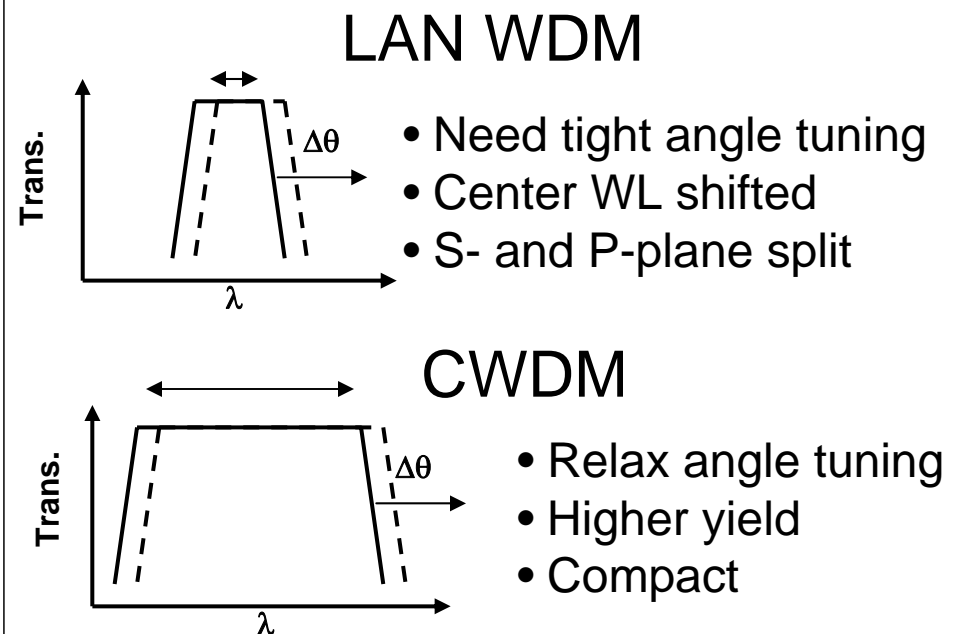
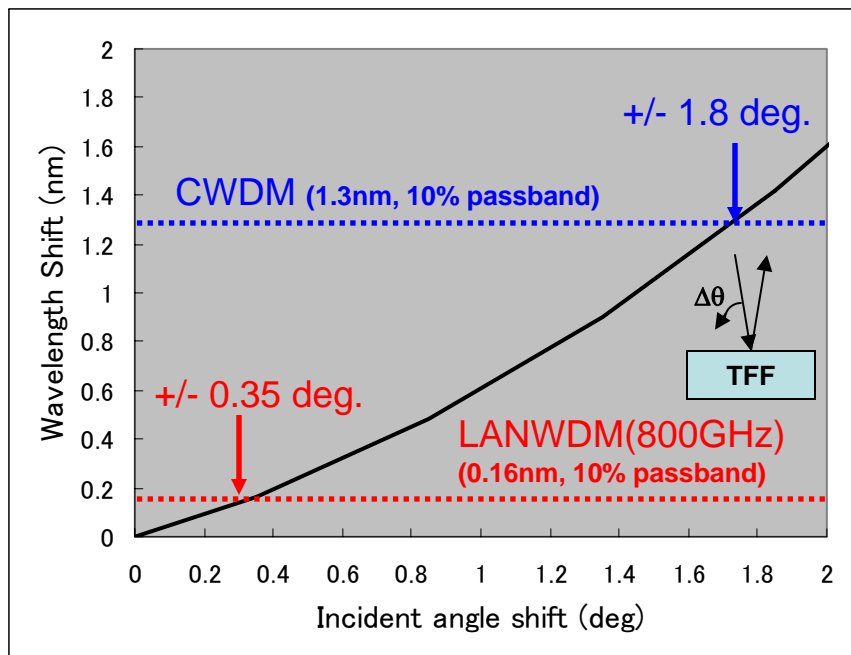


Zig-Zag type



XENPAK

- Estimated TFF incident angle tuning range (within +/- 10% passband wavelength shift, single reflection)
  - CWDM : +/- 1.8 deg (possible)
  - LAN WDM: +/- 0.35 deg (possible but very difficult)
- Zig-Zag O-MUX/DMUX can be applied for CWDM, but very difficult for LAN WDM (even 800GHz) due to tight angle tuning



# TFF based Zig-Zag cost comparison for CWDM and LAN WDM



- CWDM TFF-based Zig-Zag O-MUX / DMUX is lower cost than LAN WDM based on DWDM technology

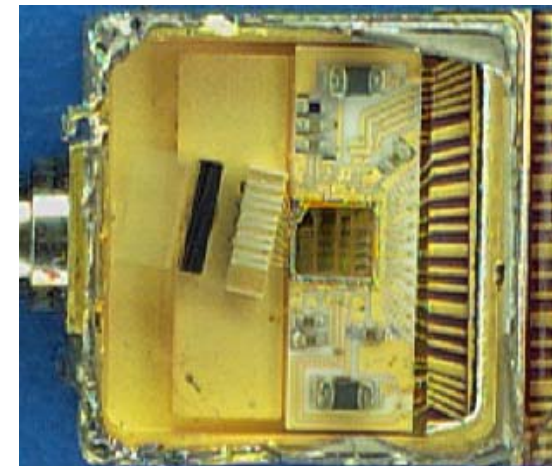
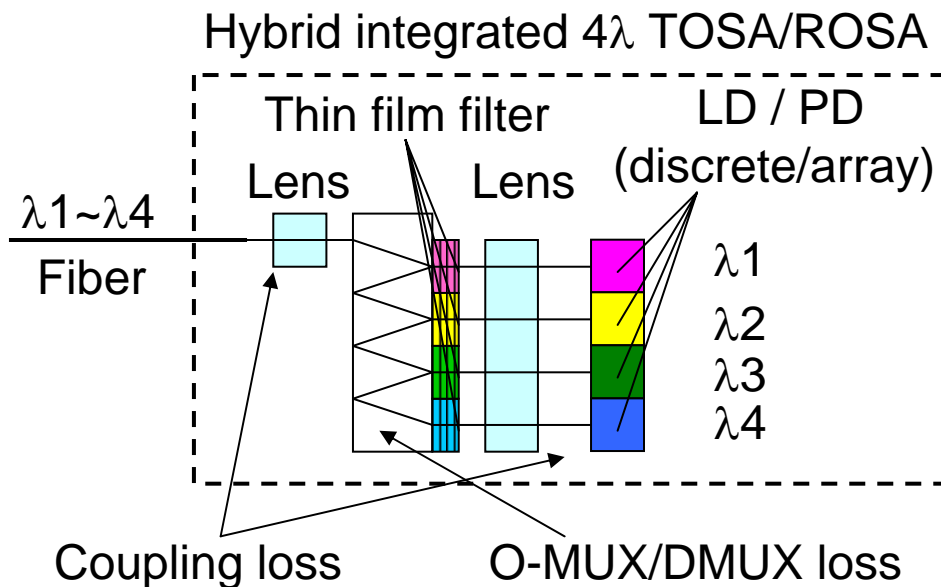
Item	CWDM	LAN WDM	Note
Grid	1271 - 1331	1312 center	
Pitch	20 nm	2 – 4 nm	
Pass band	+/- 6.5 nm	+/- 0.36 – 0.8 nm	
LD linewidth(*1)	+/- 0.1 nm (EML), +/- 0.2 nm (DML)		@25G
Number of layers(*2)	<b>50~100</b>	<b>150~200</b>	TFF
Assembly tolerance(*2)	<b>Relax (+/- 1.8 deg.)</b>	<b>Very tight (+/- 0.35 deg.)</b>	
Zig-Zag type cost	<b>Low</b>	<b>High</b>	
Availability	<b>Existing</b>	<b>Not existing even DWDM</b>	

[1] HSSG, jiang\_01\_0507, May, 2007

[2] [http://www.cubeoptics.com/img/FCKeditor/File/cwdm\\_white\\_paper.pdf](http://www.cubeoptics.com/img/FCKeditor/File/cwdm_white_paper.pdf)



- CWDM can apply Zig-Zag O-MUX/DMUX to hybrid-integrated TOSA/ROSA with collimated optics
- These are compact, low insertion loss and low power consumption
- LAN WDM is hard to apply Zig-Zag O-MUX/DMUX



# Arrayed Waveguide Grating (AWG) and Diffraction Grating (DG) Optical MUX / DMUX



- DG is low insertion loss for discrete LAN WDM O-MUX / DMUX, but TE-cooler is required and it has PDL issue
- Si-based O-MUX/DMUX is lower cost if very high volume
- These are waveguide-based devices, their concerns are PDL and coupling loss to LD in hybrid integration case

Item	Arrayed waveguide grating (AWG)		Diffraction grating (DG)	
	Silica	Semicon.(*1)	Silica (*2)	Semicon.
4ch Insertion loss	~3 dB	~7 dB	0~2dB lower loss than AWG?	
TE-cooler	Maybe not required	Required	Required	
Chip area	x 1(~50x30mm <sup>2</sup> )	~ x1/10	~ x1/4(~20x20mm <sup>2</sup> )	~ x1/20
Module size	(130x65x20mm <sup>3</sup> )	---	---	---
CWDM	N/A (higher loss due to wide pass band )			
LAN WDM	N/A (large size)	YES(higher loss)	YES	YES
Notes	<ul style="list-style-type: none"> <li>• Loss not dependent on ch. count</li> <li>• Larger size</li> <li>• Existing DWDM O-MUX/DMUX</li> </ul>		<ul style="list-style-type: none"> <li>• Smaller than AWG</li> <li>• Polarization-dependant loss issue</li> <li>• Not existing</li> </ul>	

# Hybrid / Monolithic integration using AWG and DG for LAN WDM



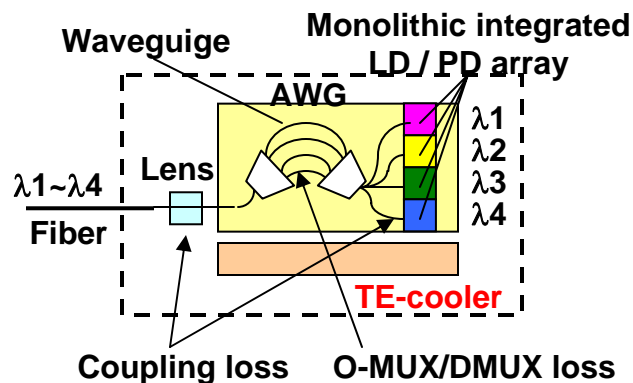
## (1) Hybrid integration case (Silica or Silicon)

- Compact size, but high coupling loss between WG and LD or very tight alignment such as sub-micrometer

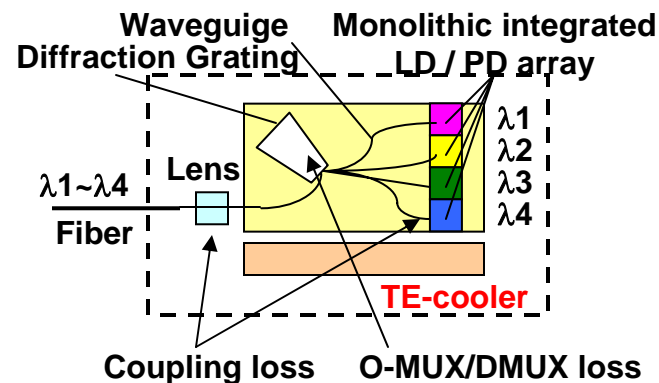
## (2) Monolithic integration case (InP-based)

- More compact size and improved coupling loss, but higher insertion loss and higher investment is needed (\*1)

Hybrid / Monolithic type (AWG)



Hybrid / Monolithic type (DG)



- TFF-based Zig-Zag O-MUX/DMUX for CWDM exists **today**
- O-MUX/DMUX for LAN WDM is waveguide based
  - Difficult to reduce cost
  - Temperature dependent wavelength characteristics
  - Polarization dependent loss (PDL)
- Zig-Zag-based O-MUX/DMUX for CWDM can achieve hybrid packaging and reduced cost with collimated optics
- Monolithic integrated TOSA/ROSA for LAN WDM may have merit of size, but tradeoffs are higher power consumption, higher loss, and higher investment cost
- CWDM is highly preferred from the view point of O-MUX/DMUX cost and availability for both 1<sup>st</sup> Gen. and future Gen.