

Updated Considerations on 400Gb/s Ethernet SMF PMDs

Peter Stassar

SMF Ad Hoc, 30 September 2014

Contents

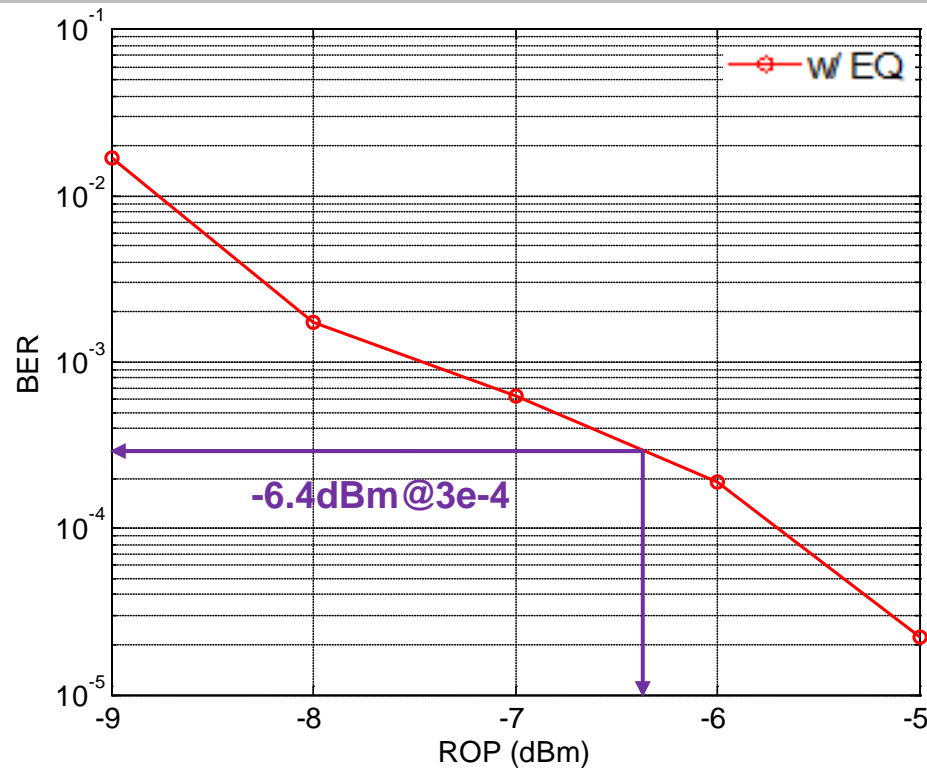
- ❑ Introduction
- ❑ Recap of “stassar_3bs_01_0714”, San Diego, July 2014
- ❑ Is PAM4 a showstopper?
- ❑ What do we need to verify?
- ❑ Suggestions for follow-up
- ❑ Q&A

Introduction

- ❑ Over past 400GE Study Group and P802.3bs meetings a lot of material has been presented (considerations, simulations and test results)
- ❑ Many people have expressed their preference for 100G serial solutions at the Ottawa meeting in September, but many others stated that 50G serial solutions would be a more robust approach
- ❑ What can we learn from this material?
- ❑ What additional material will be necessary?

Recap of “stassar_3bs_01_0714”, San Diego, July 2014

“Updated Considerations on a 4x12Gb/s PAM4 Configuration for the 2km SMF PMD”



**Average power
After demux
PRBS 2¹⁵-1
KP4 FEC**

- An ROP (average) of -6.4dBm @ 3×10^{-4} (after demux) has been achieved with equalization.
- In stassar_3bs_01_0714 a mux/demux loss of 1.5 dB was assumed, however following Cole's suggestion of 2 dB loss, PAM4 modulation penalty of 5 dB and perfect extinction ratio, this measured value translates in OMA(01-00) sensitivity of -6.4dBm @ 3×10^{-4} (demux input)

Possible loss budgets (Black & White analysis) from stassar_3bs_01_0714

| | HW test | Manufacturing specification 1 | Manufacturing specification 2 | Unit |
|--|------------|-------------------------------|-------------------------------|------|
| Tx OMA (01-00) min Tested | -0.8 | — | — | dBm |
| Tx OMA (01-00) min Specification Value | — | -1 | -6 | dBm |
| TDP | 1 | 1 | 1 | dB |
| Tx OMA (01-00) – TDP min | -1.8 | -2 | -7 | dBm |
| Channel insertion loss Specification Value | — | 4 | 4 | dB |
| Rx ROP OMA (01-00) with KP4 FEC Specification Value | — | -6 | -11 | dBm |
| Rx ROP OMA (01-00) with KP4 FEC Tested | -6.7 | -6.9 | -12 | dBm |
| Available channel loss | 4.9 | — | — | dB |

Remarks on previous Slide 5

- ❑ It was the intent of “stassar_3bs_01_0714”, that actually neither of the two draft manufacturing specifications are realistic.
- ❑ During Ottawa meeting it appeared that many had interpreted these as realistic proposals
- ❑ Therefore in this presentation we propose one realistic budget, based upon following assumptions:
 - ❑ Mux & Demux loss of 2 dB, PAM4 modulation Penalty of 5 dB and perfect extinction ratio.
 - ❑ “Realistic” Tx average power of -1.5 dBm (before mux, according to Cole), leading to OMA (01-00) min of -5.5 dBm (after mux)
 - ❑ Realistic Receiver sensitivity in OMA (01-00) max of -6 dBm (before demux), which is close to tested value of -6.4 dBm (@ PRBS 2¹⁵-1)

Realistic loss budget (Black & White analysis) for 4x100G PAM4 configuration

| | Realistic specification for 2km duplex SMF | Realistic specification for 500m PSM4 SMF | Unit |
|---|---|--|------|
| Tx OMA (01-00) min Specification Value | -5.5 | -3.5 | dBm |
| TDP | 1 | 1 | dB |
| Tx OMA (01-00) – TDP min | -6.5 | -4.5 | dBm |
| Wanted channel insertion loss, specification Value | 4 | 4 | dB |
| Rx ROP OMA (01-00) with KP4 FEC Specification Value | -6 | -8 | dBm |
| Available channel loss | -0.5 | 3.5 | dB |

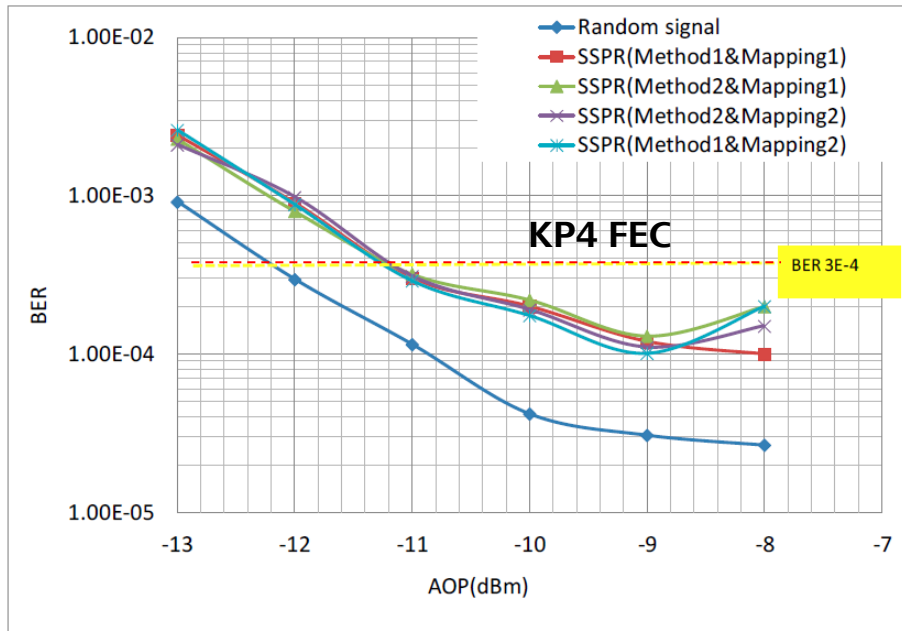
For 2km duplex SMF the “gap” in this budget seems too big to be bridged. If reconfirmed then 4x100G PAM4 may only be useable for 500m PSM4.

Is PAM4 a showstopper?

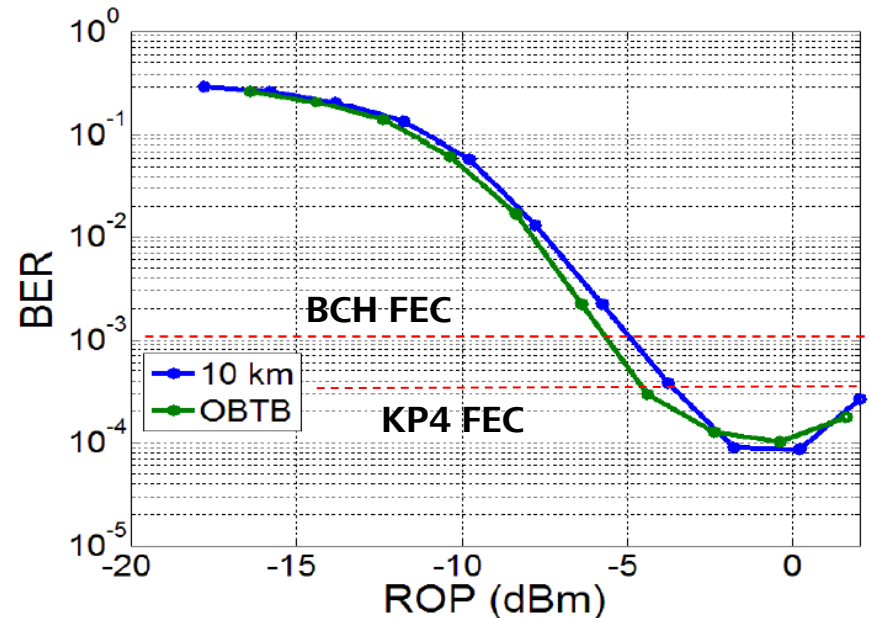
- ❑ During both San Diego (July 2014) and Ottawa (September 2014) many presentations with test results showing BER curves have been given:
 - ❑ *8*50G PAM4:*
 - ❑ xu_3bs_01_0714, San Diego, July 2014
 - ❑ *8*50G NRZ:*
 - ❑ wen_3bs_01_0914, Ottawa, September 2014
 - ❑ *4*100G PAM4:*
 - ❑ way_3bs_01a_0914, Ottawa, September 2014
 - ❑ hirai_3bs_01_0914
 - ❑ mazzini_3bs_01_0914
 - ❑ *4*100G DMT:*
 - ❑ Many presentations (not addressed in this presentation)

Is PAM4 a showstopper? continued

The common denominator of **ALL** PAM4 BER curves is a BER-floor in the range of 10^{-4} to 10^{-6} , even when many presentations are performed for a too short PRBS $2^{15}-1$.



xu_3bs_01_0714

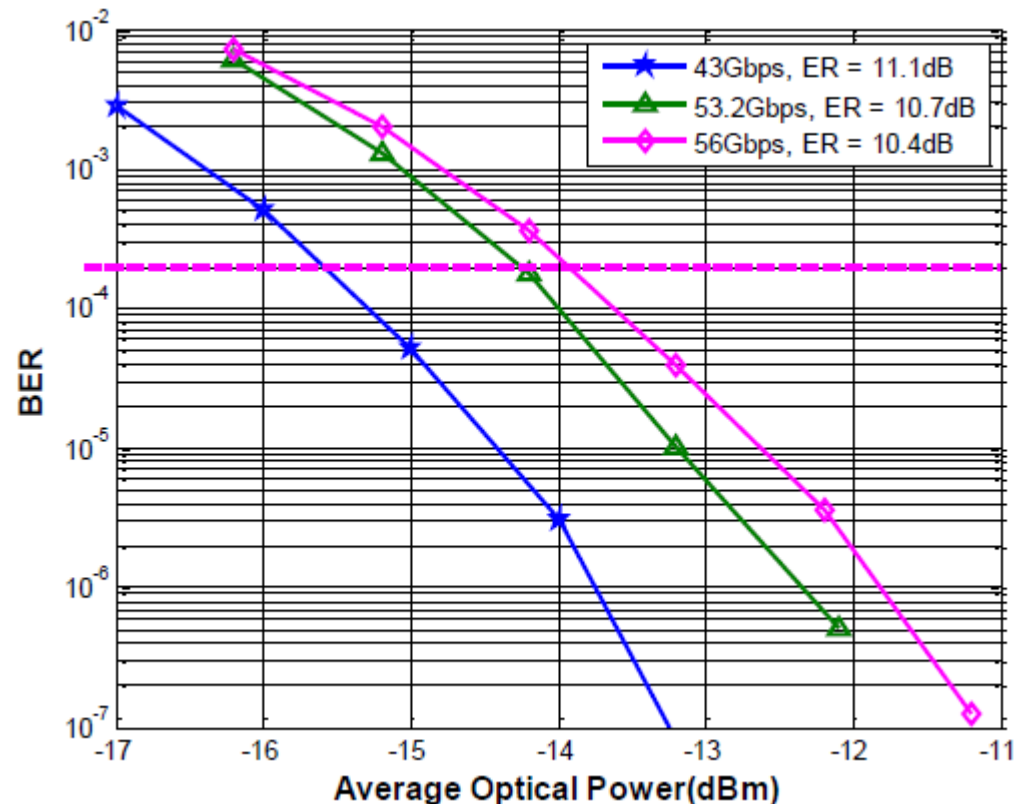


way_3bs_01a_0914

A BER floor that close to the FEC operation point, even under “ideal” laboratory conditions, will certainly lead to unstable performance in the field under practical field conditions

Is PAM4 a showstopper? Continued 2

The BER curves shown in wen_3bs_01_0914 were “nice” waterfall curves with no sign of a BER floor close to the operation point, as we would want to see. Slide 8 of wen_3bs_01_0914 says PRBS31.



PRBS31

wen_3bs_01_0914

Is PAM4 a showstopper? Continued 3

- ❑ Can we now conclude that PAM4 is not usable?
- ❑ ***NO!!!***
- ❑ BUT....., it will be critical to identify the reason for these BER-floors and, when identified, show experimental results where the BER-floor is sufficiently below the operation point.
- ❑ Questions:
 - ❑ Redo both NRZ and PAM4 experiments for SSPR pattern (PRBS $2^{15}-1$ is too short) in b2b configuration (to exclude dispersion effects)
 - ❑ Is there a difference between 25Gb/s, 50Gb/s and 100Gb/s PAM4?
- ❑ Preliminary assessment of PAM4 at Huawei:
 - ❑ It seems that the SNR at the receiver is NOT the limiting factor
 - ❑ It may be pure ISI from the Tx eye, which cannot be addressed by TDP

Some literature references

- [1] Fotini Karinou, Roberto Rodes, Kamau Prince, Ioannis Roudas and Idelfonso Tafur Monroy, “*IM/DD vs. 4-PAM Using a 1550-nm VCSEL over Short-Range SMF/MMF Links for Optical Interconnects*”, OW4A.2 OFC/NFOEC 2013:
 - Even in this experiment @10Gb/s a BER-floor is present for PAM4 and not for NRZ. This may be caused by using a VCSEL as a transmitter.
- [2] Krzysztof Szczerba, Petter Westbergh, Johan Gustavsson, Asa Haglund, Johnny Karout, Magnus Karlsson, Peter Andrekson, Erik Agrell and Anders Larsson, “*30 Gbps 4-PAM transmission over 200m of MMF using an 850 nm VCSEL*”, ECOC2011:
 - In this experiment (using PRBS7!) no error floor is seen even for operation on OM3 MMF.

Suggestions for follow-up

- ❑ Agree on a common test environment with SSPR pattern
- ❑ Agree on working assumptions for mux & demux loss as proposed by Chris Cole:
 - ❑ 1 dB for 1:2, 2 dB for 1:4 and 3 dB for 1:8 mux/demux
- ❑ Identify a working assumption for reasonable transmitter output power
- ❑ Identify a maximum level for a BER-floor under SSPR pattern testing
- ❑ Do we agree that we shouldn't want to see a BER floor in our experiments?
- ❑ What is a reasonable FEC (coding gain versus complexity and power consumption) to be used? KP4? Noting that with BCH FEC there may be issues with power/hardware complexity/latency in the client interface.
- ❑ Can we sufficiently minimize ISI with PAM4 transmitters or will it require exotic technology?
- ❑ What can we gain with FEC, FFE and DSP technologies? And can we afford it?

Q & A

Thank you