

# TDECQ map and interpretation

P802.3cd plenary, San Diego, July 2018

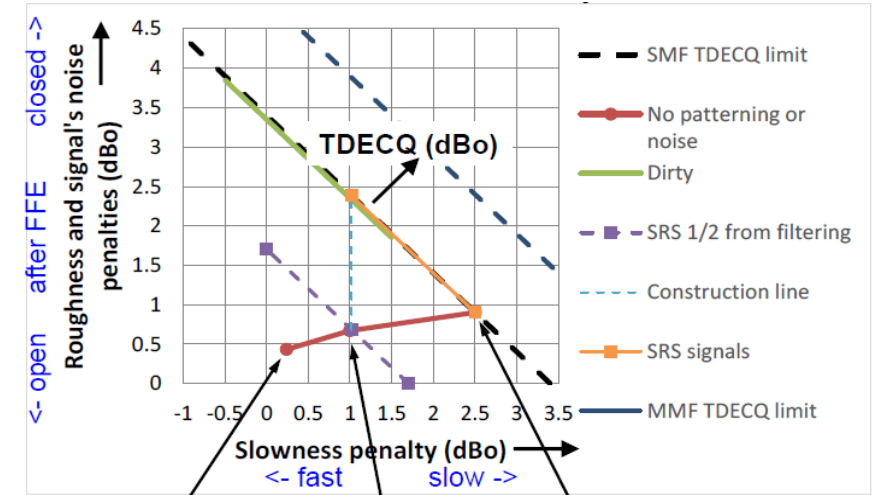
Jonathan King, Finisar

# TDECQ map

- Plots  $C_{eq}$  on horizontal axis
- Plots  $(TDECQ - C_{eq})$  on vertical axis

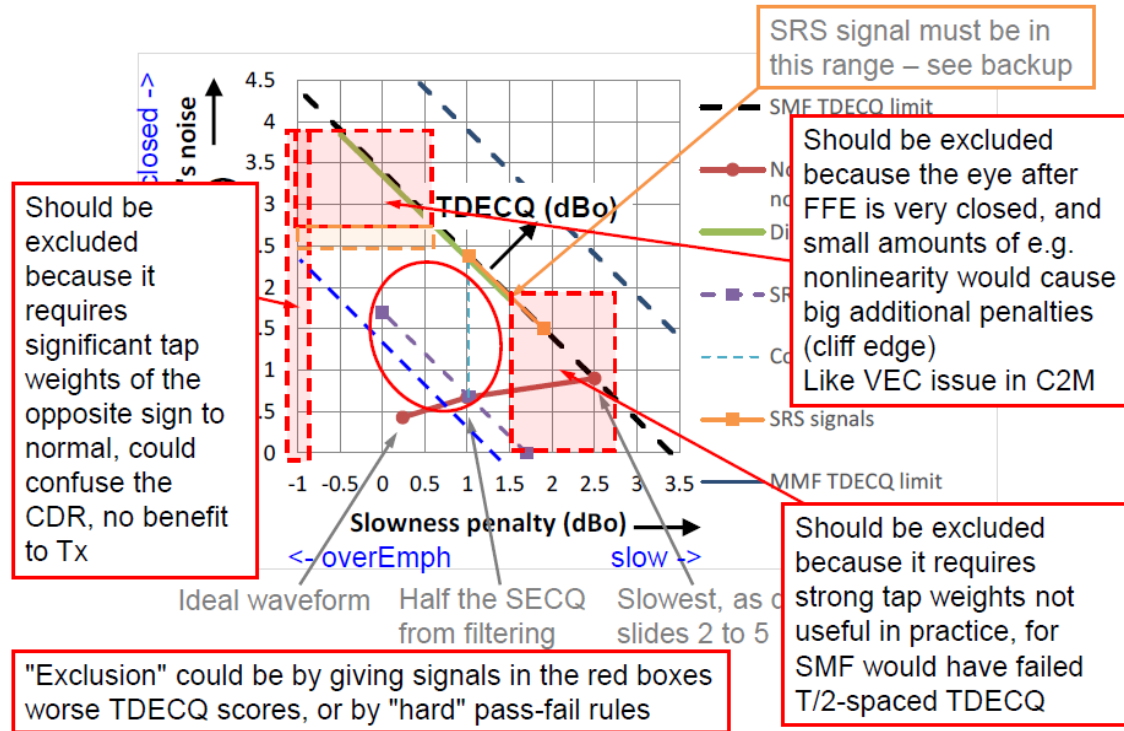
[http://ieee802.org/3/cd/public/Mar18/dawe\\_3cd\\_01a\\_0318.pdf](http://ieee802.org/3/cd/public/Mar18/dawe_3cd_01a_0318.pdf)

- $C_{eq}$  is the equalizer's noise enhancement factor
  - for white noise filtered by a 13.28125 GHz fourth-order Bessel-Thompson filter
  - $C_{eq} = 1$  for signals which are unequalized
  - $C_{eq} > 1$  for an equalized bandwidth limited signal
  - $C_{eq} < 1$  for pre-emphasized signals (at TP3), it's equivalent to equalizable noise
- TDECQ is the dB ratio of the noise that can be added to the Tx signal as compared to an ideal unequalized transmitter
  - It's the sensitivity penalty you'd expect to see for a receiver and reference equalizer combination
  - It's made up of noise multiplication factor  $C_{eq}$  and unequalizable signal penalties
- $TDECQ - 10 \cdot \log(C_{eq})$  is the unequalizable penalty of the signal
  - It doesn't take into account SNR changes due to noise filtering
- Neither of these tell the whole story of how difficult a signal is to equalize



# TDECQ Map – frequent sightings

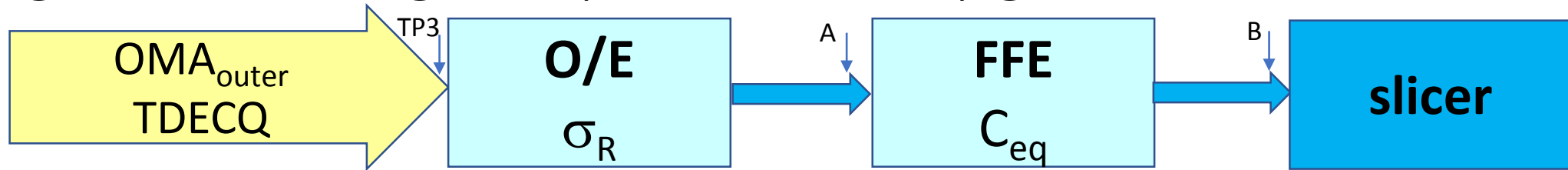
- Usually surrounded by warnings of what is bad for a receiver
  - But without supporting data from real receivers, or analysis to back up the claims



- **Note:** Since  $C_{eq}$  and  $TDECQ - C_{eq}$  sum to TDECQ, the compliant transmitter region is a triangle – which always looks like it could do with trimming at the corners

# Analysis of signal and noise amplitude for max TDECQ transmitters

Imagine a PAM4 signal input into a unity gain receiver chain: Noise



- TDECQ calculates how much noise can be added by an ideal receiver to the signal compared to an ideal (unequalized, noiseless) transmitter

- For an ideal unequalized transmitter, the noise the receiver can add is  $\frac{OMA_{outer}}{6.Qt}$

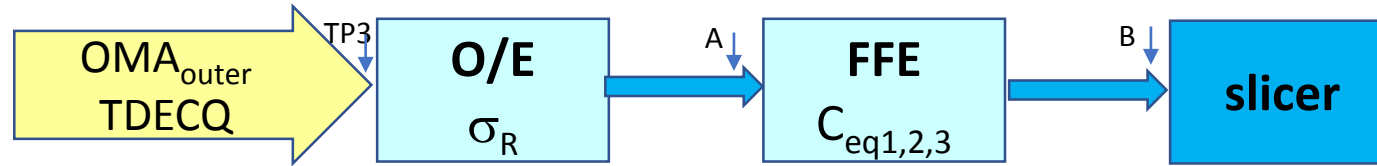
- where  $Q_t = 3.414$ , consistent with a target BER of  $2.4 \times 10^{-4}$  for Gray coded PAM4

- For an equalized transmitter, the noise the receiver can add,  $\sigma_R$ , (ref. point A) is

$$\sigma_R = \frac{OMA_{outer}}{6.Qt} \cdot 10^{-TDECQ/10}$$

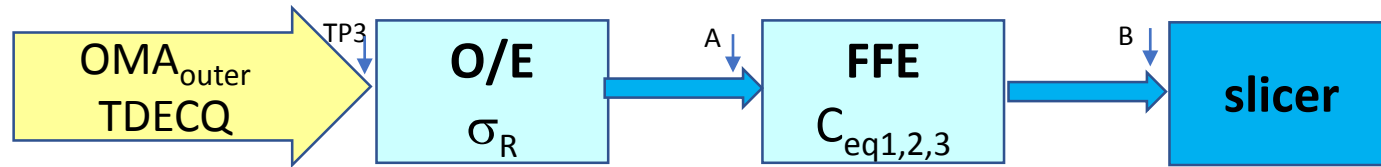
- Alternatively, the receiver sensitivity,  $S$ , in  $OMA_{outer}$ , is:  $S = 6.Qt \cdot \sigma_R \cdot 10^{TDECQ/10}$
- After the equalizer (ref. point B), the noise amplitude is multiplied by  $C_{eq}$
- This *must* be compensated by the EQ, with an equal increase in effective eye-opening, by a factor  $C_{eq}$  (without altering the  $OMA_{outer}$ )

# Imagine a PAM4 signal input into a unity gain receiver chain: Signal



- For an ideal unequalized Tx, the signal amplitude (half the sub-eye OMA) is  $\frac{OMA_{outer}}{6}$
- For a transmitter with max TDECQ, the effective signal amplitude after the FFE is  $C_{eq} \cdot \frac{OMA_{outer}}{6} \cdot 10^{-TDECQ/10}$ 
  - because the signal amplitude/noise amplitude after the EQ must equal  $Q_t$
- Before the equalizer, the effective signal amplitude is  $\frac{OMA_{outer}}{6} \cdot 10^{-TDECQ/10} \cdot k_s$ 
  - $k_s$  is an effective eye closure factor, and may represent equalizable and unequalizable components
    - $k_s$  must be less than 1 if  $C_{eq}$  is greater than 1
      - If  $k_s$  was 1 for  $C_{eq} > 1$ , then the signal amplitude/noise amplitude before the EQ would also equal  $Q_t$ , and there would be no need for equalization, so  $C_{eq}$  would equal 1....
    - $k_s$  must be less than 1 if  $C_{eq}$  is less than 1
      - If  $k_s$  was 1 for  $C_{eq} < 1$ , then the signal amplitude/noise amplitude before the EQ would also equal  $Q_t$ , and there would be no need for equalization, so  $C_{eq}$  would equal 1....
      - If  $k_s$  was  $> 1$  for  $C_{eq} < 1$ , then the signal amplitude/noise amplitude before the EQ would exceed  $Q_t$ , and the TDECQ of the transmitter could not be at its maximum value.
      - *Pre-emphasis closes the eye for PAM4 (but makes the FFE work less hard)*
- The equalizer signal gain  $G_{seq}$  (improvement in effective eye-opening) is the ratio of the signal before and after the EQ:  $G_{seq} = \frac{C_{eq}}{k_s}$ , which is greater than  $C_{eq}$  when  $C_{eq} > 1$  or  $C_{eq} < 1$

# For a receiver with fixed input referred noise



- Consider three transmitter inputs all with max TDECQ:
  - Tx1 with unequalizable eye closure ( $C_{eq1}=1$ )
  - Tx2 with equalizable eye-closure e.g. a low pass response ( $C_{eq2}>1$ )
  - Tx3 with higher unequalizable eye closure and some pre-emphasis ( $C_{eq3}=0.8$ )
- After the O/E (point A)
  - For all transmitters Tx1, Tx2, Tx3, the Rx sensitivity is:  $S_{Tx1} = S_{Tx2} = S_{Tx3} = 6 \cdot Q_t \cdot \sigma_R \cdot 10^{TDECQ/10}$
  - For DSP based receivers, sampling takes place after the O/E. For all 3 transmitters, receiver degradation due to non-linearity, timing inaccuracy and quantization are all operating with the same  $OMA_{outer}$ , and the same receiver noise. The difference between the transmitters stems from the effective eye-closure at this point.
- After the FFE (point B)
  - For the unequalizable Tx1, the noise and signal are the same as before the FFE
  - For the equalizable Tx2, the noise has increased (by  $C_{eq2}$ ), but the effective eye opening is increased by  $C_{eq2}/k_{s2}$
  - For the unequalizable Tx3 with pre-emphasis, the noise is decreased (multiplied by  $C_{eq3}$  which is 0.8), and the effective eye-opening is multiplied by  $C_{eq3}/k_{s3}$ , which is greater than  $C_{eq3}$

Right side  
of Piers map

Left side  
of Piers map

# Summary of signal and noise terms analysis

Comparing transmitters with maximum TDECQ, at the O/E output:

- A transmitter with unequalizable eye closure has a normalized effective eye opening at the receiver of  $-TDECQ$  dB
- A transmitter with equalizable eye closure (low pass filtered) has a normalized effective eye opening at the receiver of  $-TDECQ - 10 \cdot \log(C_{eq}/k_s)$  dB
  - It is more closed than the unequalizable Tx, because  $C_{eq} > 1, k_s < 1$
- A transmitter with unequalizable eye closure and some pre-emphasis has a normalized effective eye opening at the receiver of  $-TDECQ - 10 \cdot \log(C_{eq}/k_s)$  dB
  - It is more closed than the unequalizable Tx, because  $C_{eq} < 1$  and  $k_s < 1$  ; but not as closed as the equalizable Tx for reasonable values of pre-emphasis
- **TDECQ-10.log(Ceq) is not a good indicator of how hard the EQ has to work, nor of it's likely resilience to receiver impairments**
- **The transmitter most likely to be affected by receiver non-linearity, quantization, or other sampling errors, is the Tx with the most severe effective eye-closure out of the O/E**
- **i.e. Tx with maximum equalizable eye-closure (low pass filtered)**



# Recommendations

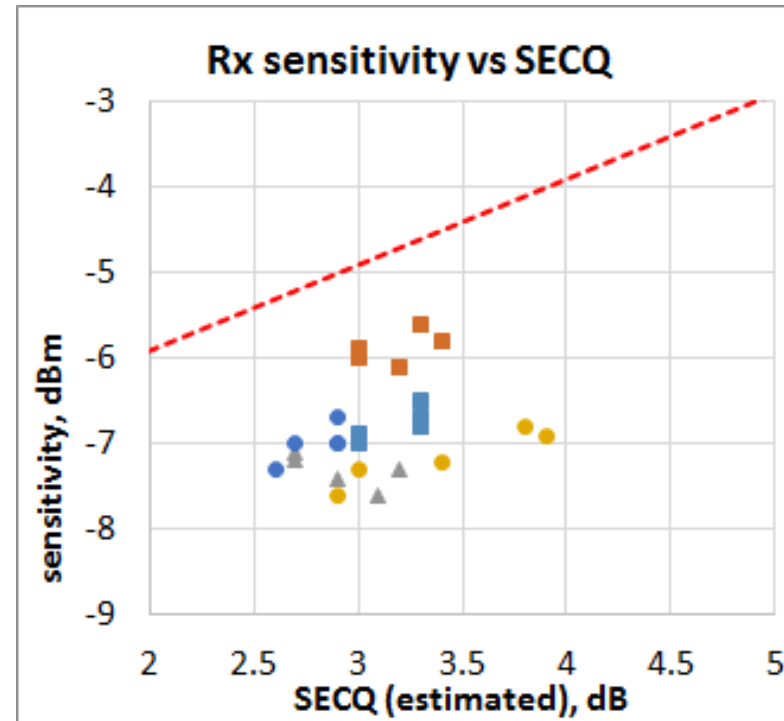
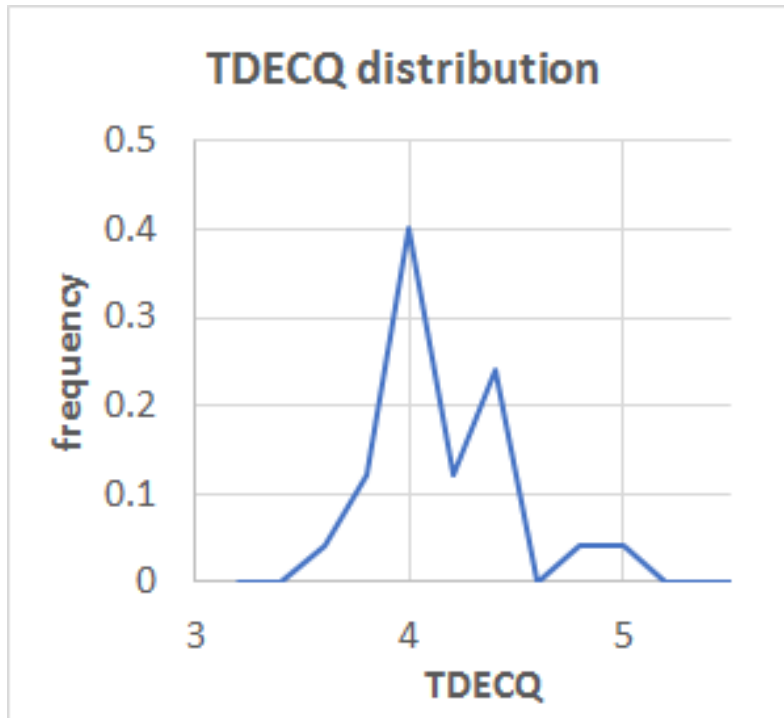
- The toughest receiver test condition is for a low pass filtered test source
  - as specified in 802.3cd D3.3
  - Suggests we should keep the current SRS test point
- The constraint on minimum main tap value of 0.8, limits the amount of pre-emphasis that gets TDECQ credit to  $\sim 1$  dB
- This means that max TDECQ pre-emphasized transmitter has a bigger effective eye opening than the max TDECQ low-pass filtered test source.
- There is no value in adding a  $TDECQ - 10 \cdot \log(C_{eq})$  limit
  - Adding one unnecessarily limits the use of a tool (transmitter pre-emphasis) which can improve transmitter yield and cost, and link margins

Back up

# Reference equalizer noise multiplication

- Assuming least mean square convergence, sum of taps = 1
  - The OMA outer will remain constant
  - The sequence that corresponds to the most closed eye before equalization will get an increase in its eye opening equal to the sum of the absolute tap coefficients.
- The noise multiplication factor is:  $C_{eq} < \sqrt{\sum_1^5 (tap\ coefficient)^2}$ 
  - Noise is distributed over a spectrum:
    - For low frequencies ( $\ll$  Nyquist ) are substantially correlated across the EQ time span and so see no noise multiplication (i.e. the EQ gain is 1 at low frequencies)
    - For high frequencies ( $>$  Nyquist) the noise is uncorrelated between taps and the RSS of the taps gives the noise multiplication factor
    - In between, it's complicated

# A few more VCSEL results: TDECQ and sensitivity



- TDECQ centered around 4 dB (consistent with previous results)
- Rx sensitivity vs SECQ is a plot of transmitters looped back to their own receivers – thus represents performance of several receivers