

Compliance metrics for DWDM objectives

Pete Anslow, Ciena

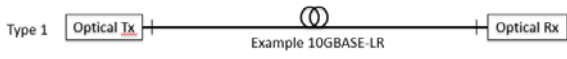
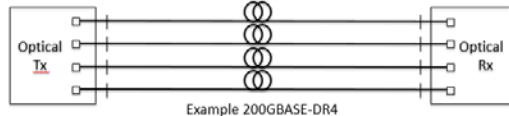

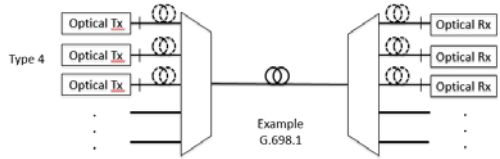
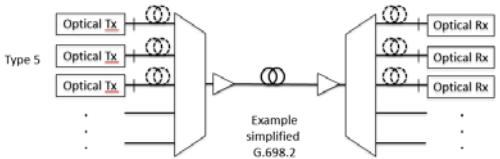
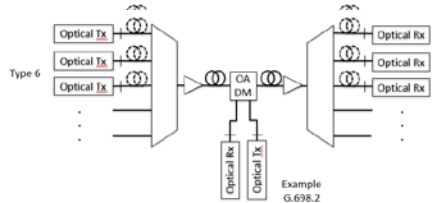
IEEE P802.3cn Task Force, Ad Hoc, 25 October 2018

Introduction

As was discussed in [stassar_b10k_01a_1117](#), there are some new compliance metrics that will be needed to specify multi-vendor interoperable DWDM systems to satisfy the two objectives:

- Provide a physical layer specification supporting 100 Gb/s operation on a single wavelength capable of at least 80 km over a DWDM system
- Provide a physical layer specification supporting 400 Gb/s operation on a single wavelength capable of at least 80 km over a DWDM system

Optical link types vs organization

Type		IEEE 802.3	ITU-T SG15
1	<p>Type 1</p>  <p>Example 10GBASE-LR</p>	✓	✓
2	<p>Type 2</p>  <p>Example 200GBASE-DR4</p>	✓	
3	<p>Type 3</p>  <p>Example 100GBASE-ER4</p>	✓	✓
4	<p>Type 4</p>  <p>Example G.698.1</p>		✓
5	<p>Type 5</p>  <p>Example simplified G.698.2</p>		✓
6	<p>Type 6</p>  <p>Example G.698.2</p>		✓

P802.3cn

Compliance issues

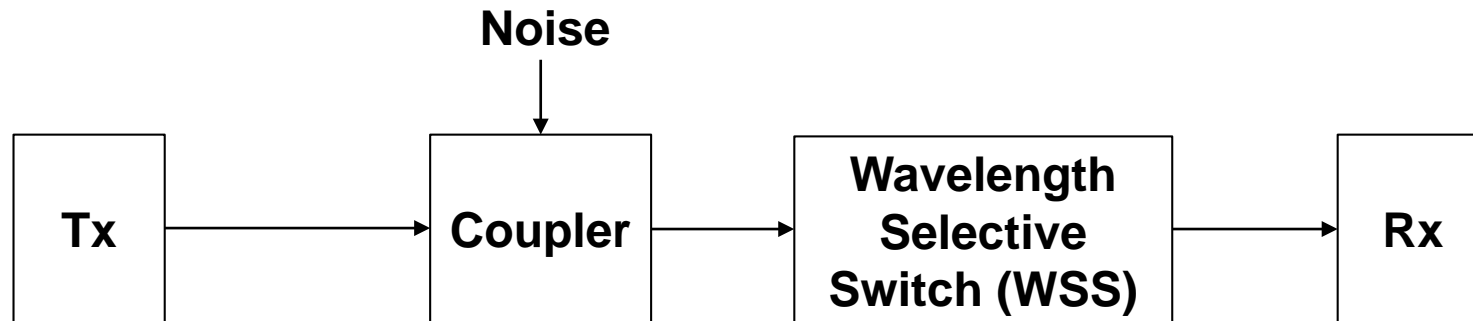
Two compliance issues that have been identified previously are:

- Ensuring that the spectral characteristics of the optical source are compatible with the end-to-end filter function of the link
 - Candidate – Spectral excursion
- Transmitter quality metric
 - Candidate – Error Vector Magnitude EVM_{RMS}

Spectral excursion

Spectral excursion investigation

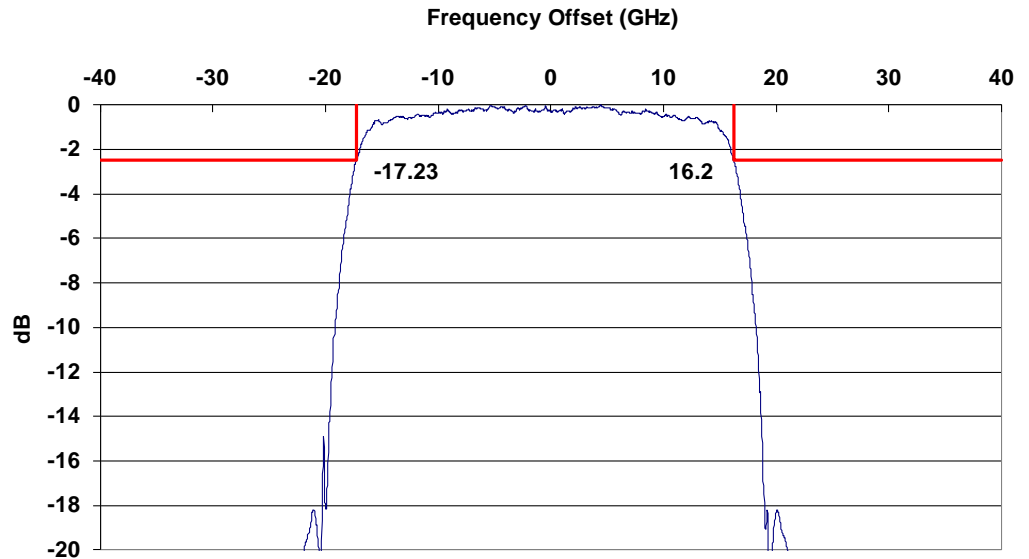
To investigate the effect of a mismatch between the transmitter spectrum and the end-to-end filter function of the link, measurements have been performed where the transmitter wavelength is deliberately offset with respect to the filter function.



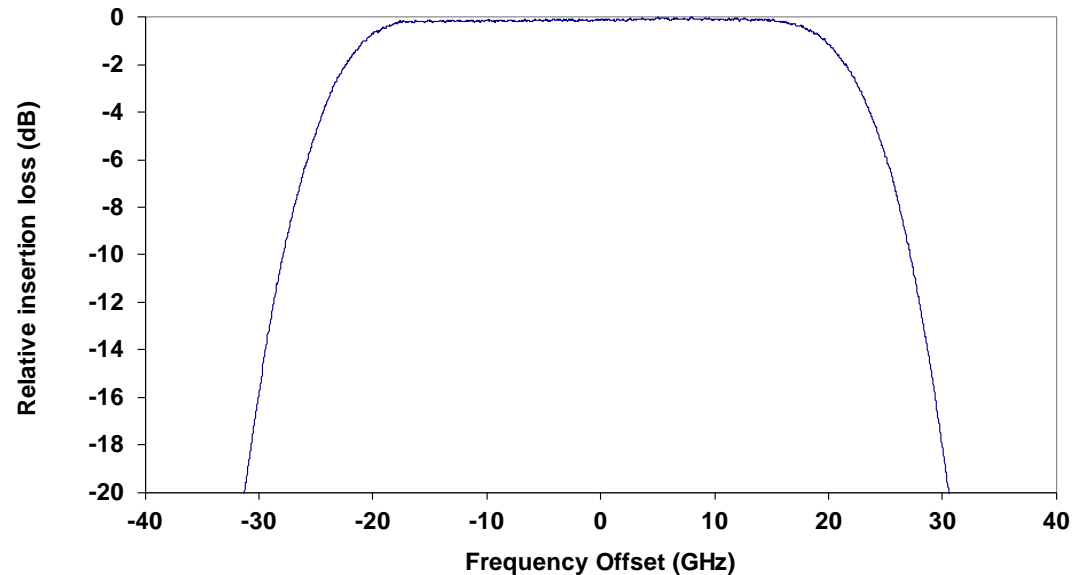
One example of this measurement for DP-QPSK is shown on the following slides.

DP-QPSK Tx spectrum and filter function

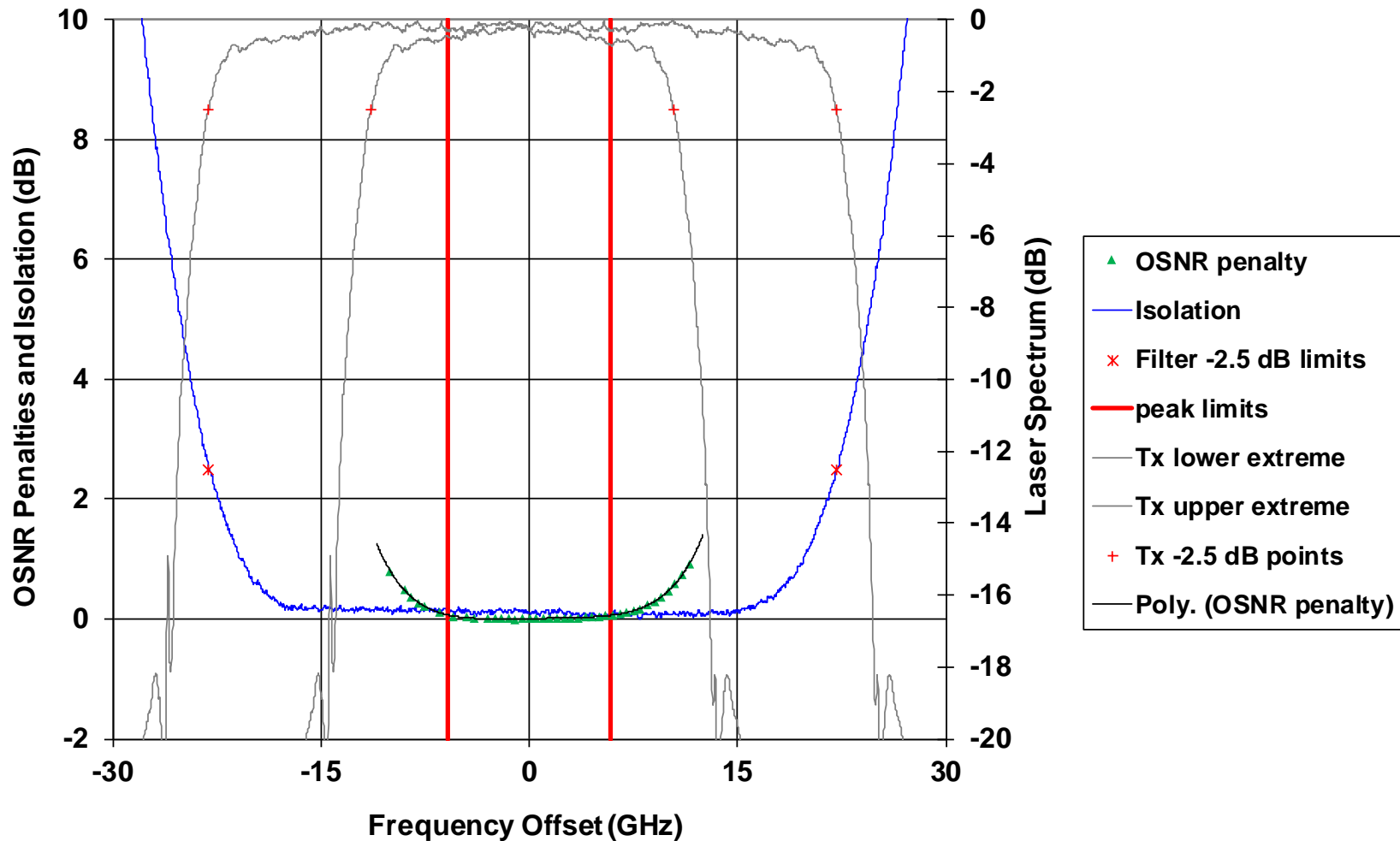
**DP-QPSK
transmitter
spectrum**



**WSS filter
function**

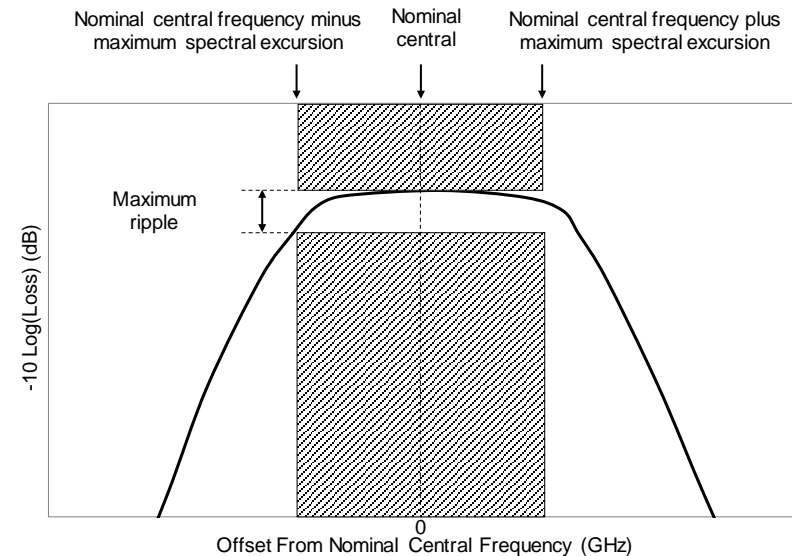
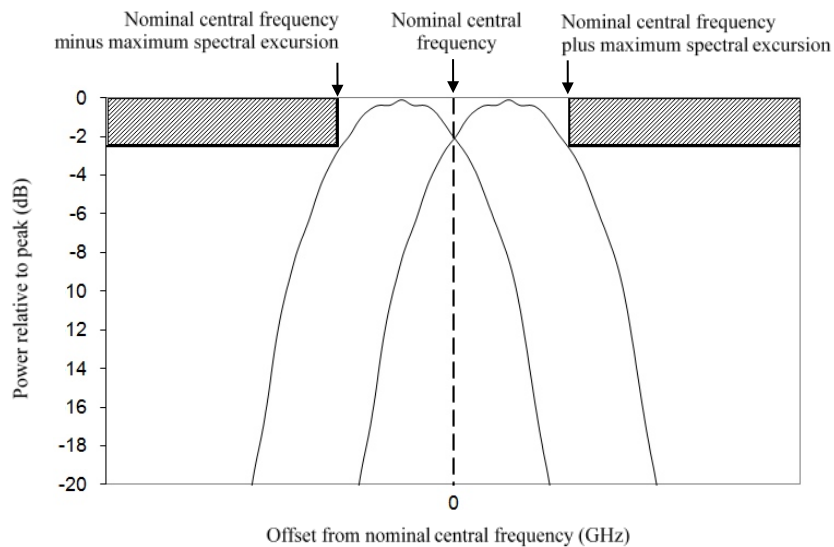


DP-QPSK Spectral excursion result



Spectral excursion criterion

This investigation was carried out with a variety of DP-QPSK implementations with the result that the spectral excursion criterion was defined to be that the -2.5 dB points of the transmitter spectrum have to remain within the same frequency bounds as the -2.5 dB points of the end-to-end filter function.



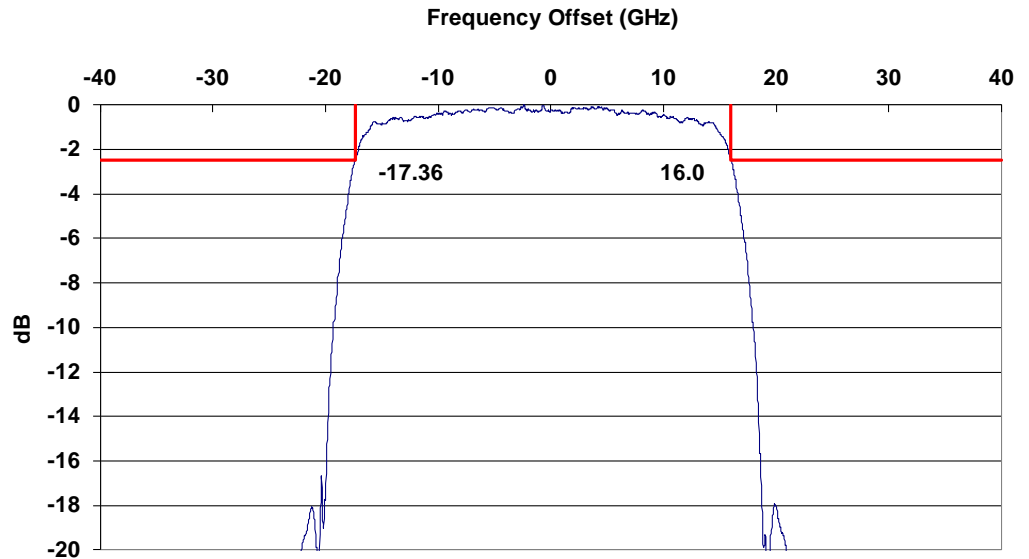
DP-16QAM spectral excursion

Having established this criterion for DP-QPSK signals, work has now started to confirm whether the same criterion can be used for DP-16QAM signals.

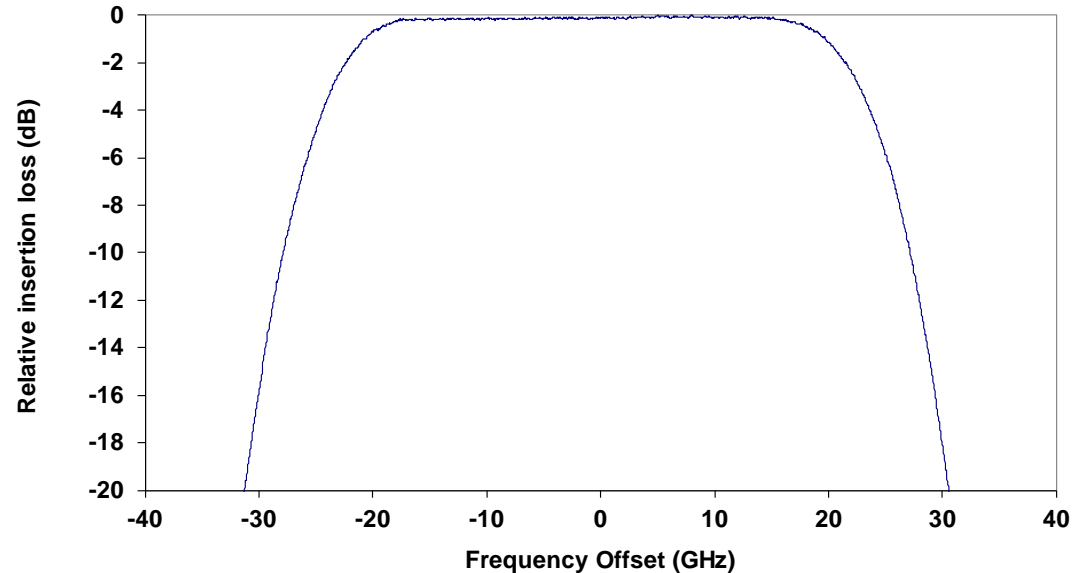
One example of this measurement for DP-16QAM is shown on the following slides.

DP-16QAM Tx spectrum and filter function

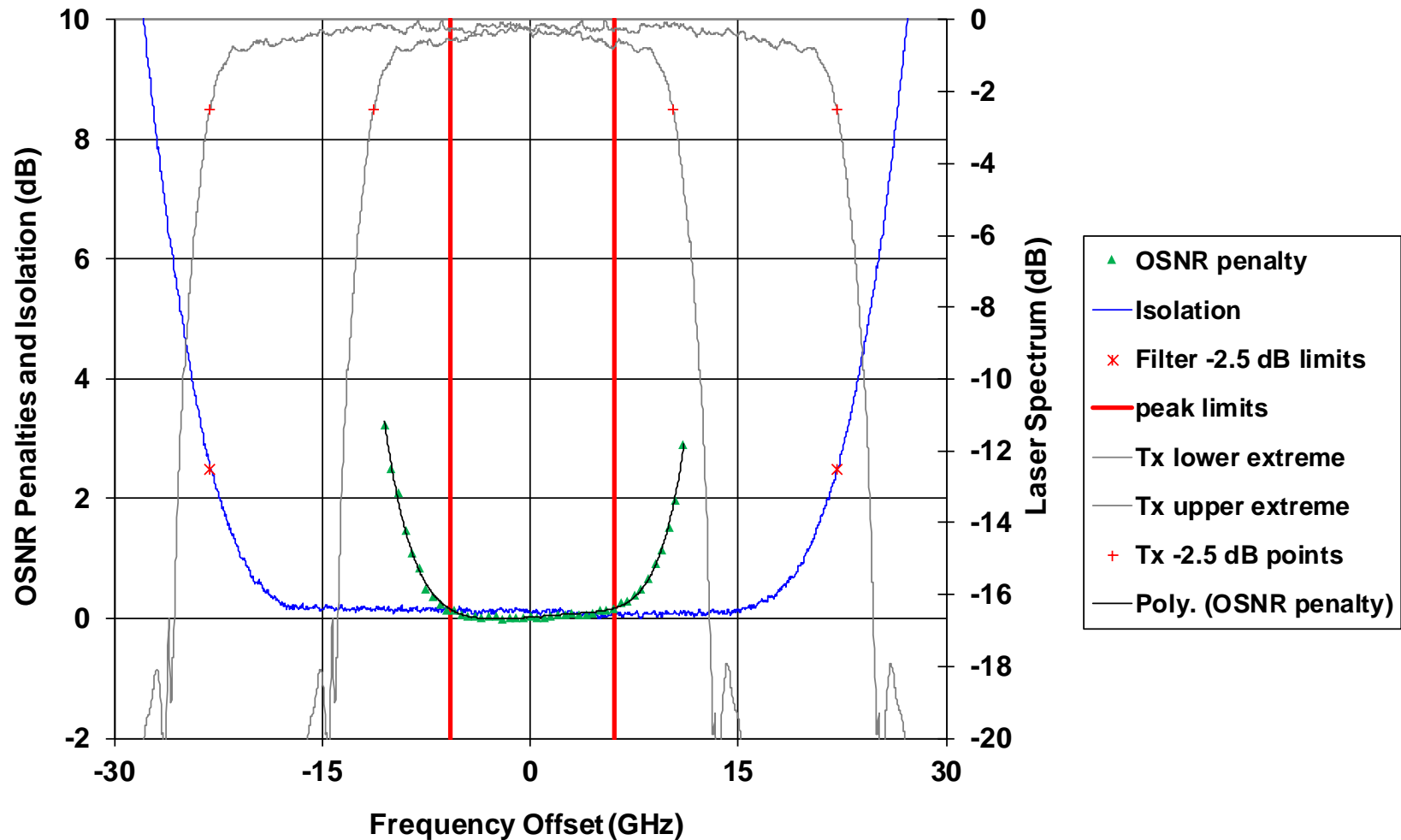
**DP-16QAM
transmitter
spectrum**



**WSS filter
function**



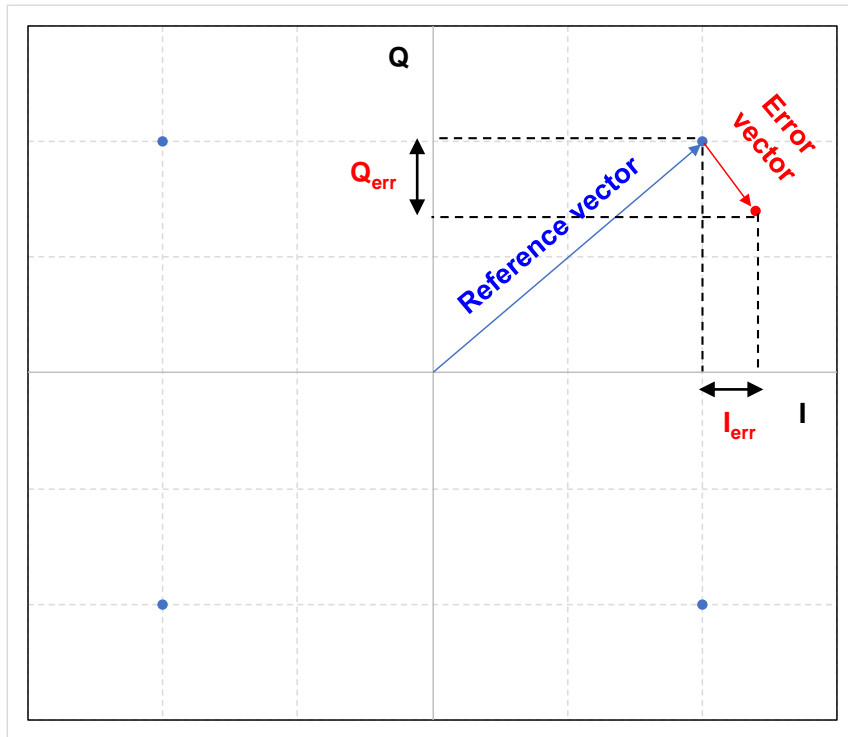
DP-16QAM Spectral excursion result



Error Vector Magnitude (EVM_{RMS})

Error vector magnitude

Error vector magnitude is a measure of how far each transmitted constellation point is away from the ideal reference position.



$$EVM(x) = \sqrt{I_{err}(x)^2 + Q_{err}(x)^2}$$

$$EVM_{RMS} = \frac{\sqrt{\frac{1}{N} \sum_{x=1}^N EVM(x)^2}}{|Ref\ vector|}$$

EVM_{RMS} validation

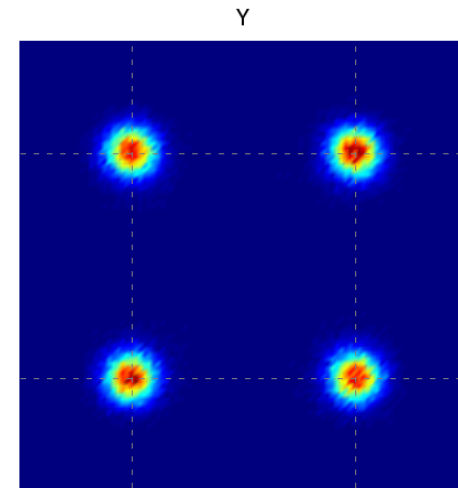
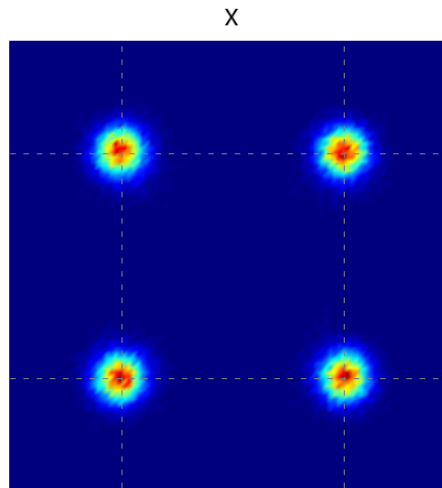
A variety of measurements have been performed by ITU-T SG15 Q6 members to try to establish that there is a reasonable correlation between the EVM_{RMS} metric being developed by Q6 and the OSNR penalty measured by a coherent system receiver for a variety of different impairments.

The following slides show example constellations for DP-QPSK with the following impairments:

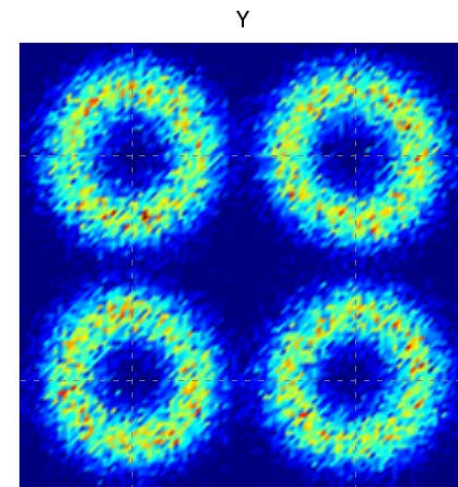
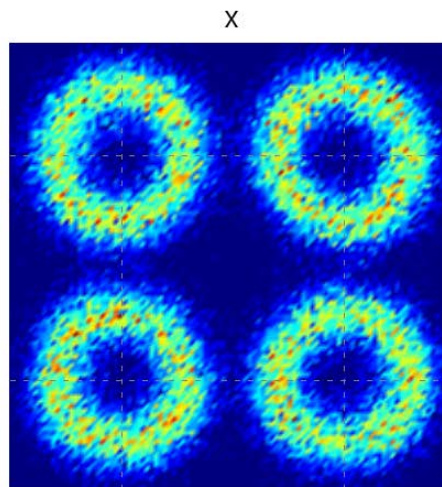
- Circle
- Noise
- Quadrature error
- I-Q imbalance
- I-Q offset

DP-QPSK Circle impairment

No added
impairment

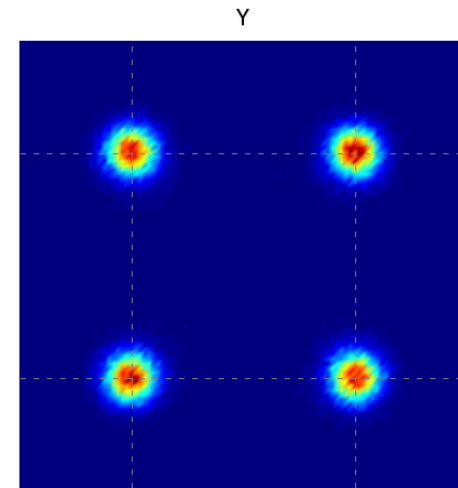
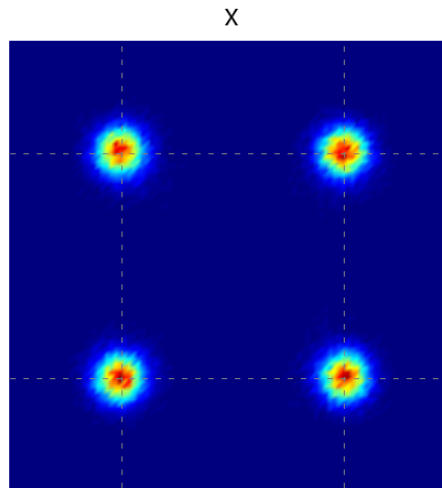


Circle
impairment

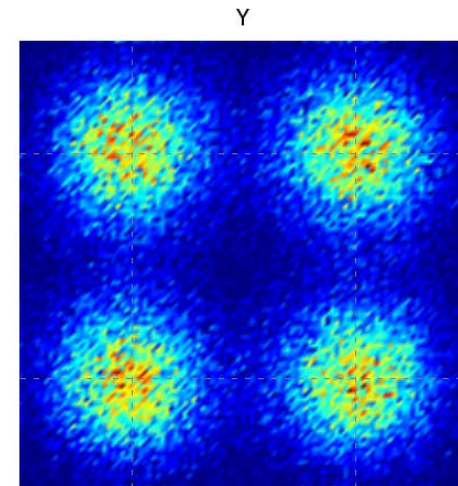
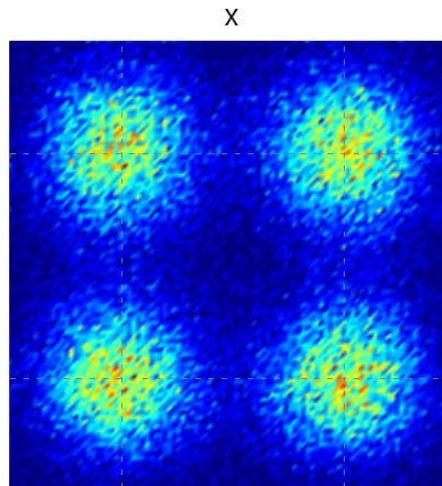


DP-QPSK Noise impairment

No added
impairment

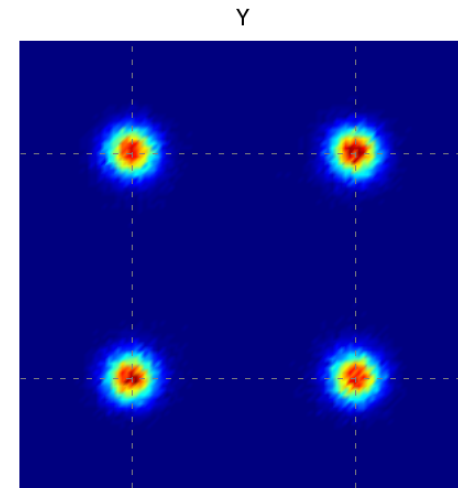
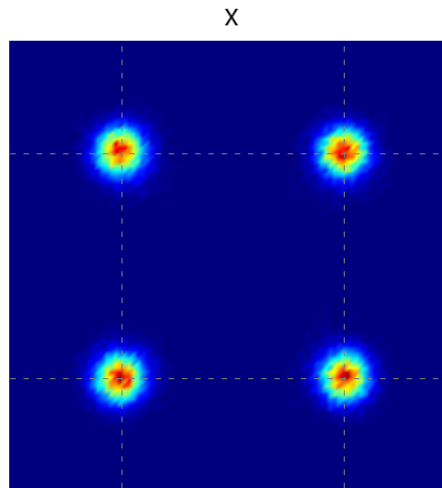


Noise
impairment

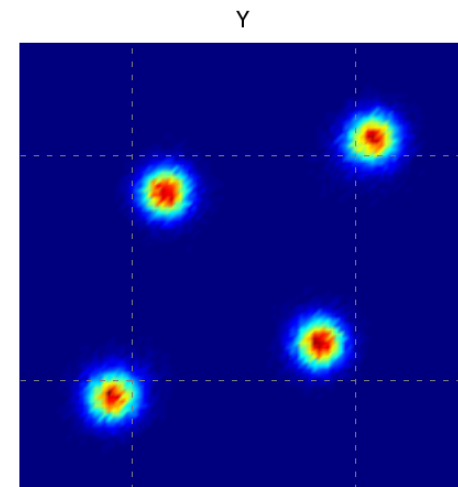
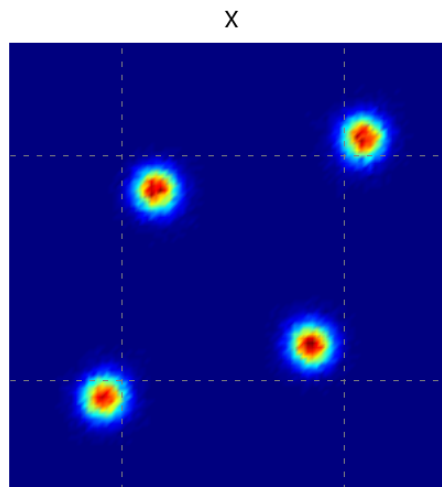


DP-QPSK Quadrature error impairment

No added
impairment

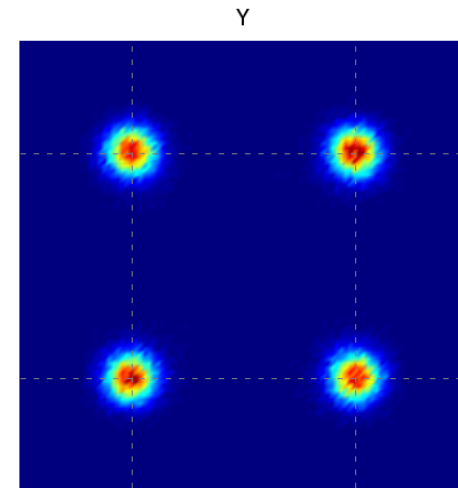
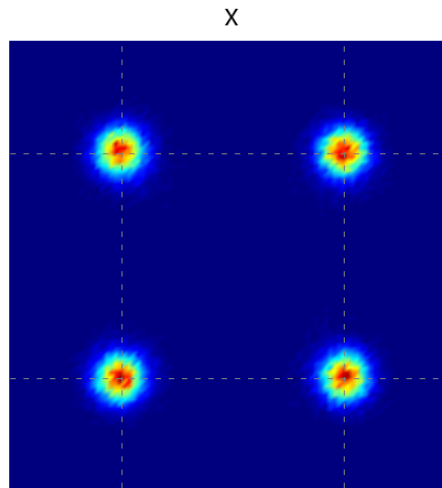


Quadrature
error
impairment

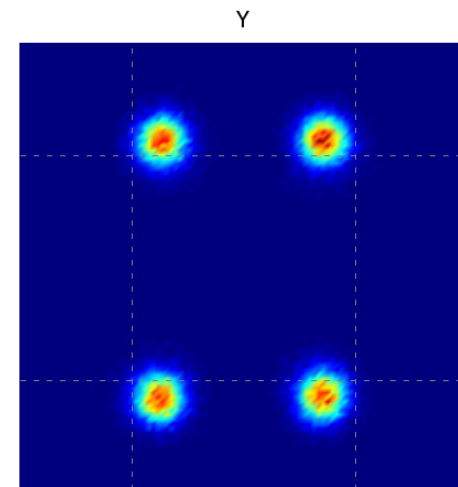
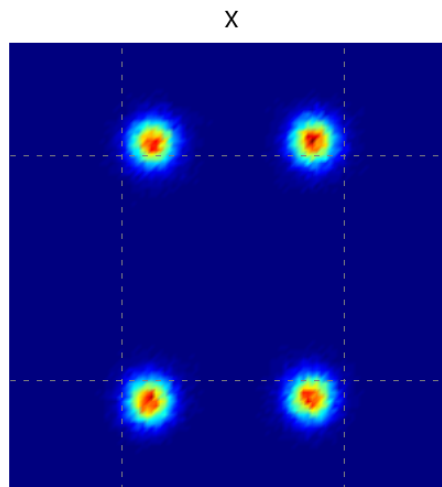


DP-QPSK I-Q imbalance impairment

No added
impairment

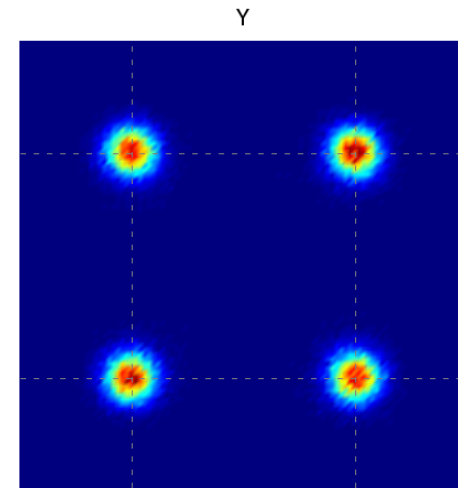
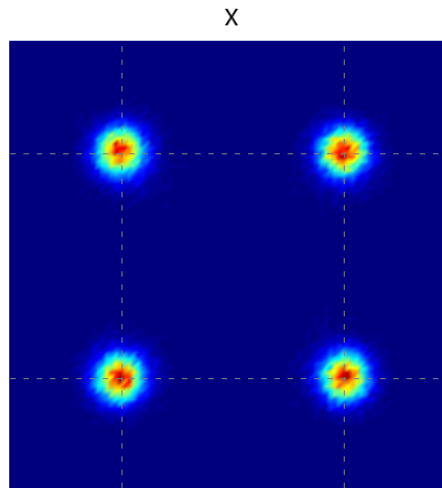


I-Q
imbalance
impairment

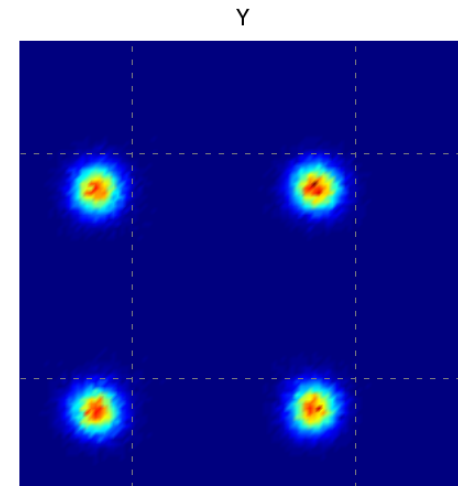
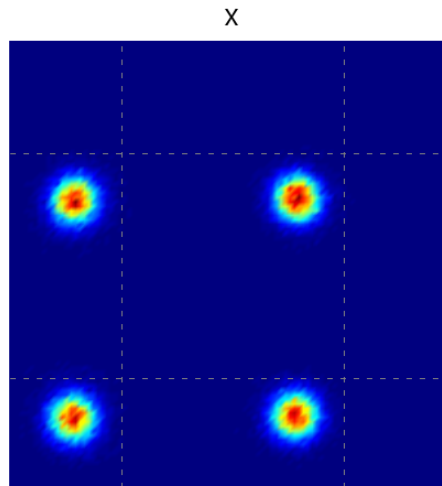


DP-QPSK I-Q offset impairment

No added
impairment



I-Q offset
impairment

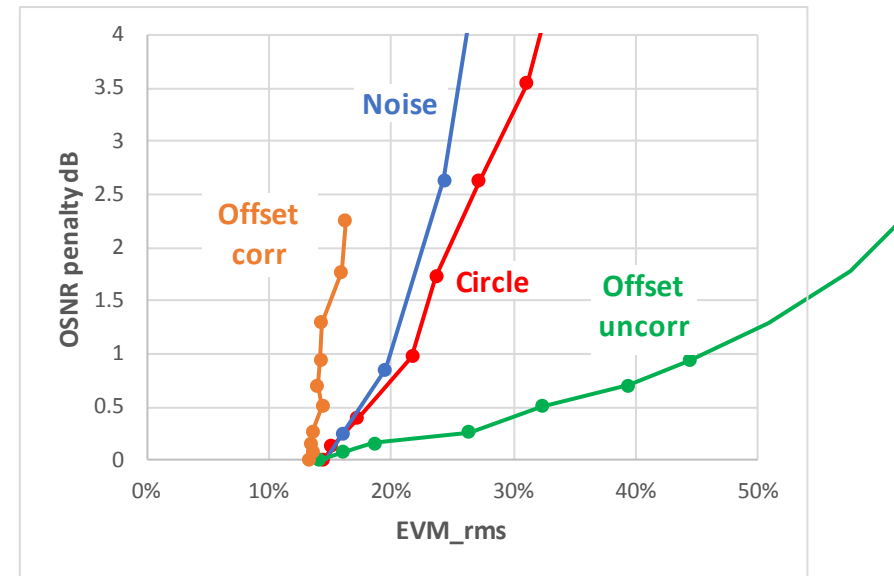


DP-QPSK I-Q offset result

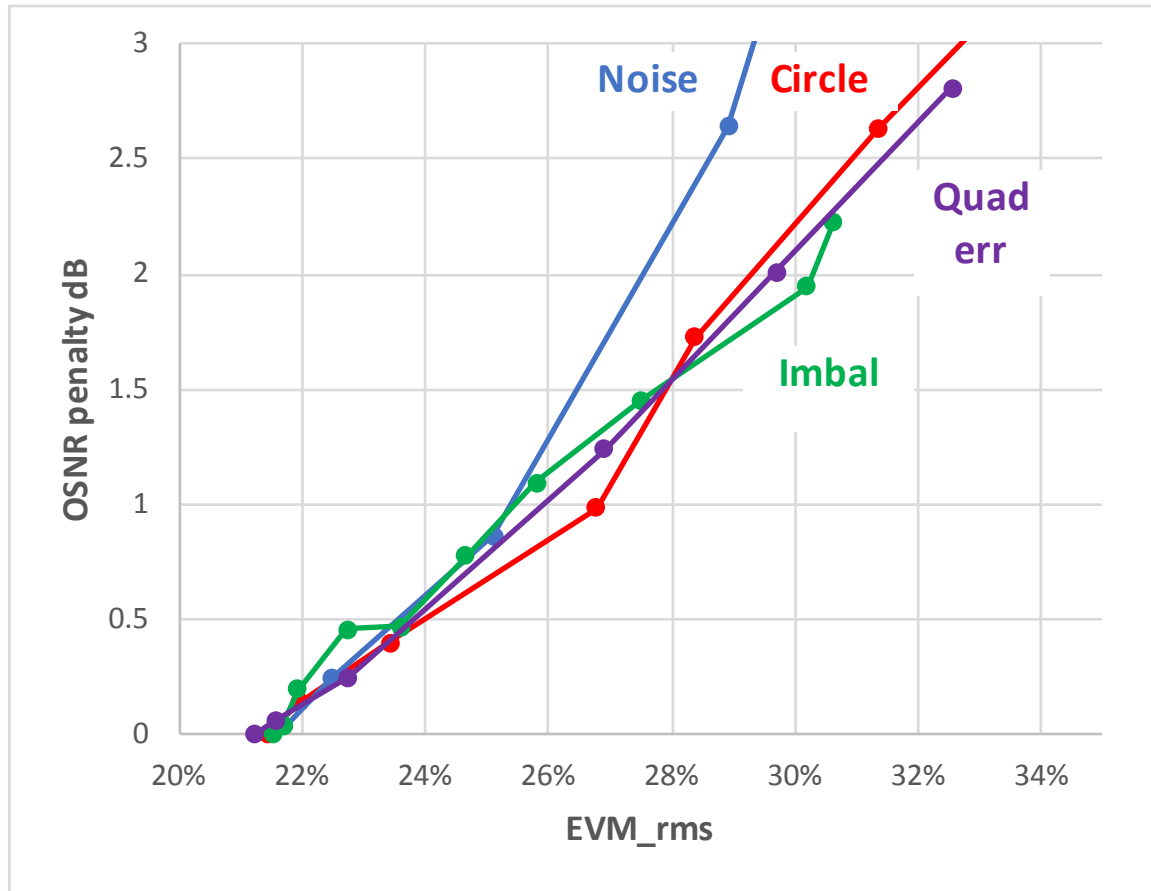
While most of the impairments show a similar curve when OSNR penalty is plotted vs EVM_{RMS} the curve for I-Q offset was found to be significantly different.

Consequently, any I-Q offset is removed from the measured data prior to the calculation of EVM_{RMS} and a separate limit for I-Q offset is applied.

All of the other impairments are plotted on the next slide.



DP-QPSK OSNR Penalty vs. EVM_{RMS}



16QAM validation

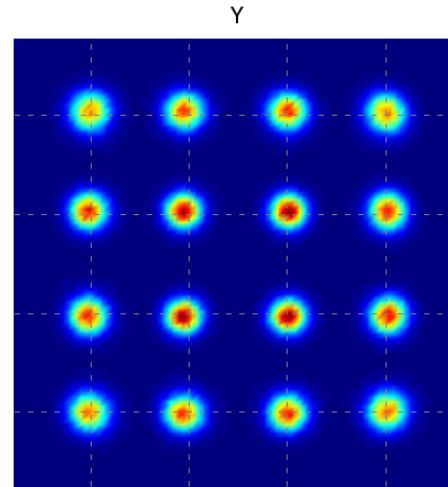
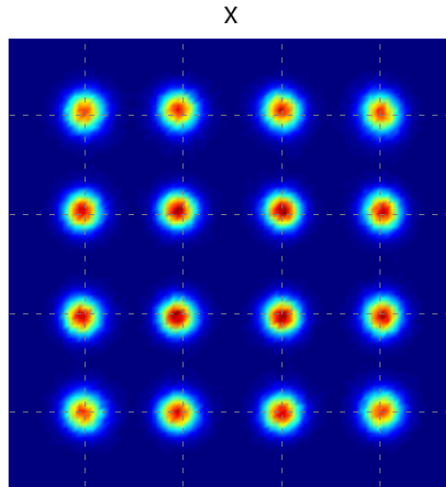
Recently, work has started to try to extend this validation to a similar set of impairments for the DP-16QAM modulation format.

The following slides show the constellations for DP-16QAM with the following impairments:

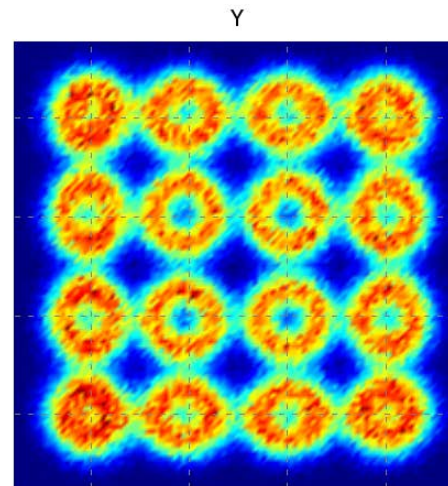
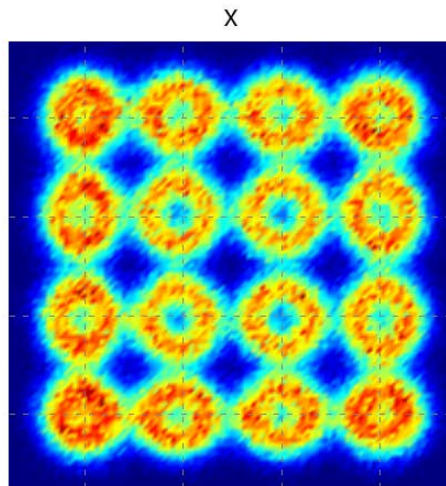
- Circle
- Noise

DP-16QAM Circle impairment

No added
impairment

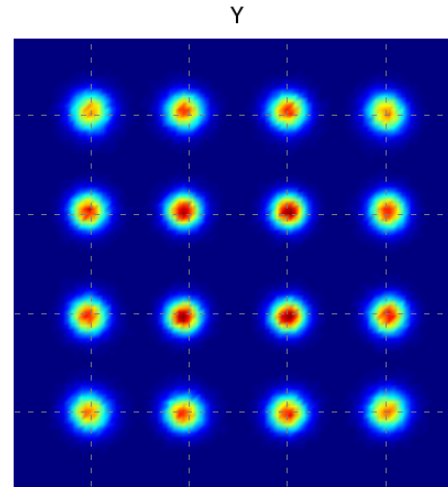
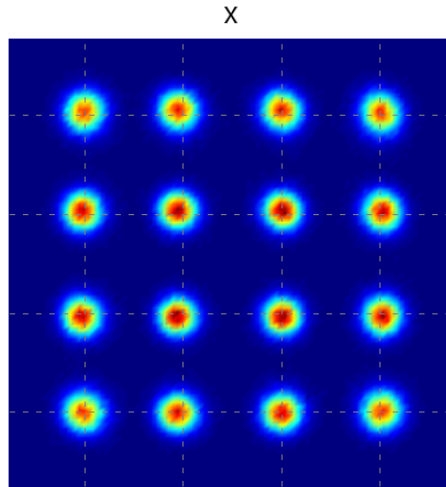


Circle
impairment

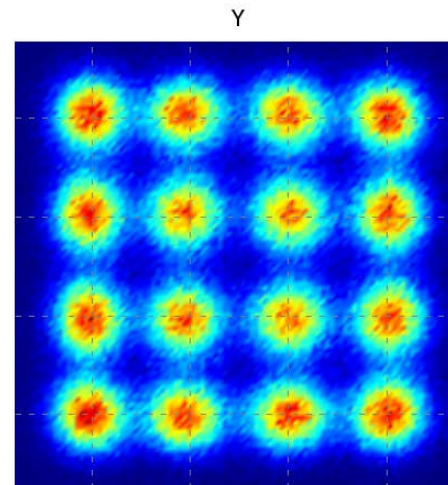
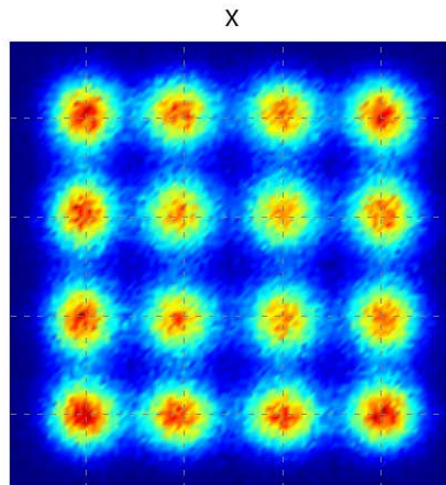


DP-16QAM Noise impairment

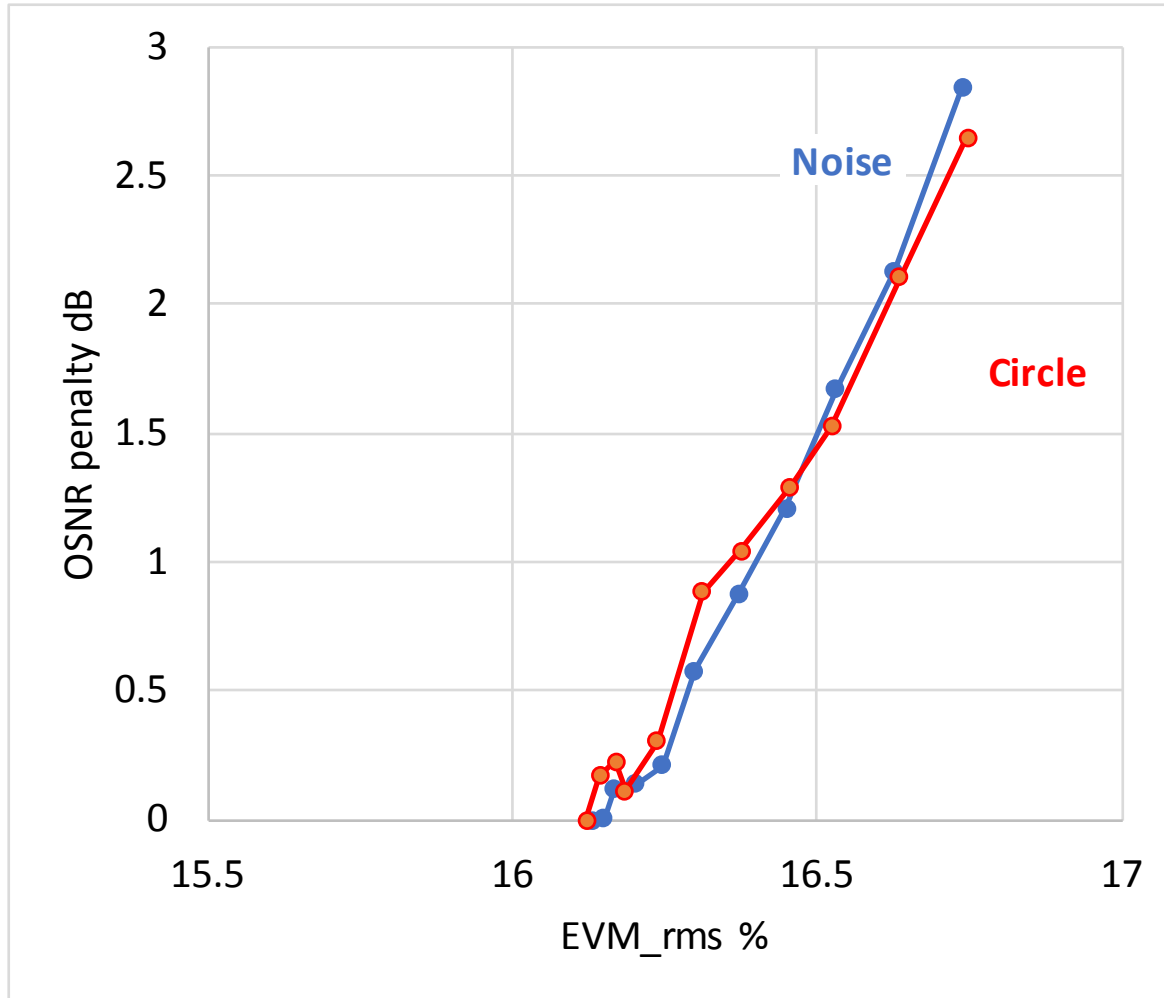
No added
impairment



Circle
impairment



DP-16QAM OSNR Penalty vs. EVM_{RMS}



Thanks!