



# **Rapid PHY Selection (RPS): A Performance Evaluation of Control Policies**

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# Acknowledgments

- **Much of the work was done by Chamara Gunaratne as a graduate student at USF**
- **Much of the work was done in collaboration with Bruce Nordman of LBNL**

# Agenda

- **Motivation and introduction**
- **Control policies**
- **Effects on higher layers**
- **Summary and future directions**

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# Motivation

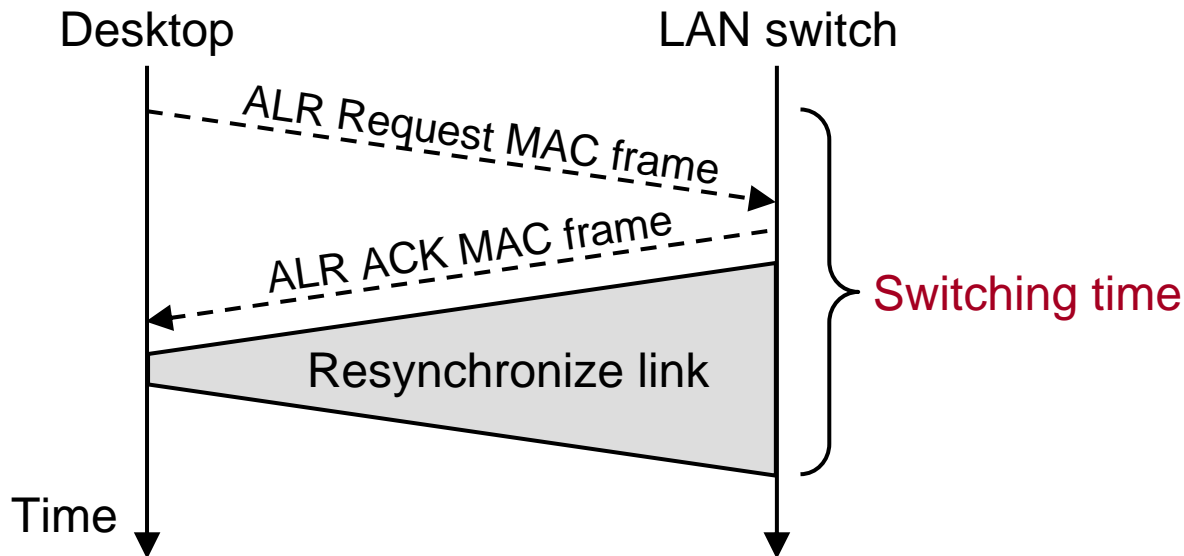
- **Goal is *Energy Efficient Ethernet***
- **Many Ethernet links are idle most of the time**
  - This applies especially to desktop links
  - Does not apply to all Ethernet links
- **Match link rate with link utilization for efficiency**
  - Lower link rate consumes less power
  - Must always have highest link rate available if/when needed
- **Savings potential (at the wall socket)**
  - 2 to 4 W per link for 1 Gb/s versus 100 Mb/s
  - 10 to 20 W per link for 10 Gb/s versus 1 Gb/s

# Motivation continued

- **Existing laptop NICs drop link rate to save power**
  - When entering sleep or off state
  - When on battery power
  
- **Existing auto-negotiation is used**
  - Requires 3 to 4 seconds to switch link data rate
  - Not acceptable for “real time” use
  
- **What is needed**
  - A fast method to switch link rate for real time use

# Introduction to RPS

- **RPS is Rapid PHY Selection**
  - A possible mechanism for fast switching of link rate
  - Supported on both ends of a link
- **RPS mechanism could be a MAC frame handshake**



# Introduction to RPS continued

- RPS is a *mechanism* only
- Need a *control policy* to determine when to switch link rate
  - Outside the scope of any possible RPS standard
  - Multiple control policies are possible
    - This can be a competitive advantage for vendors
- We investigate technical feasibility
  - The control policy determines possible energy savings



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# Control policy trade-off

- **Fundamental trade-off is...**

**Time in low data rate versus packet delay**

- **Want lowest possible packet delay?**
    - Use only highest link rate at all times
  - **Want lowest possible energy use?**
    - Use only lowest link rate at all times
- } Seek a balance

# Control policy goals

- **A good control policy is...**

1) Simple

2) Responsive to changes in link utilization

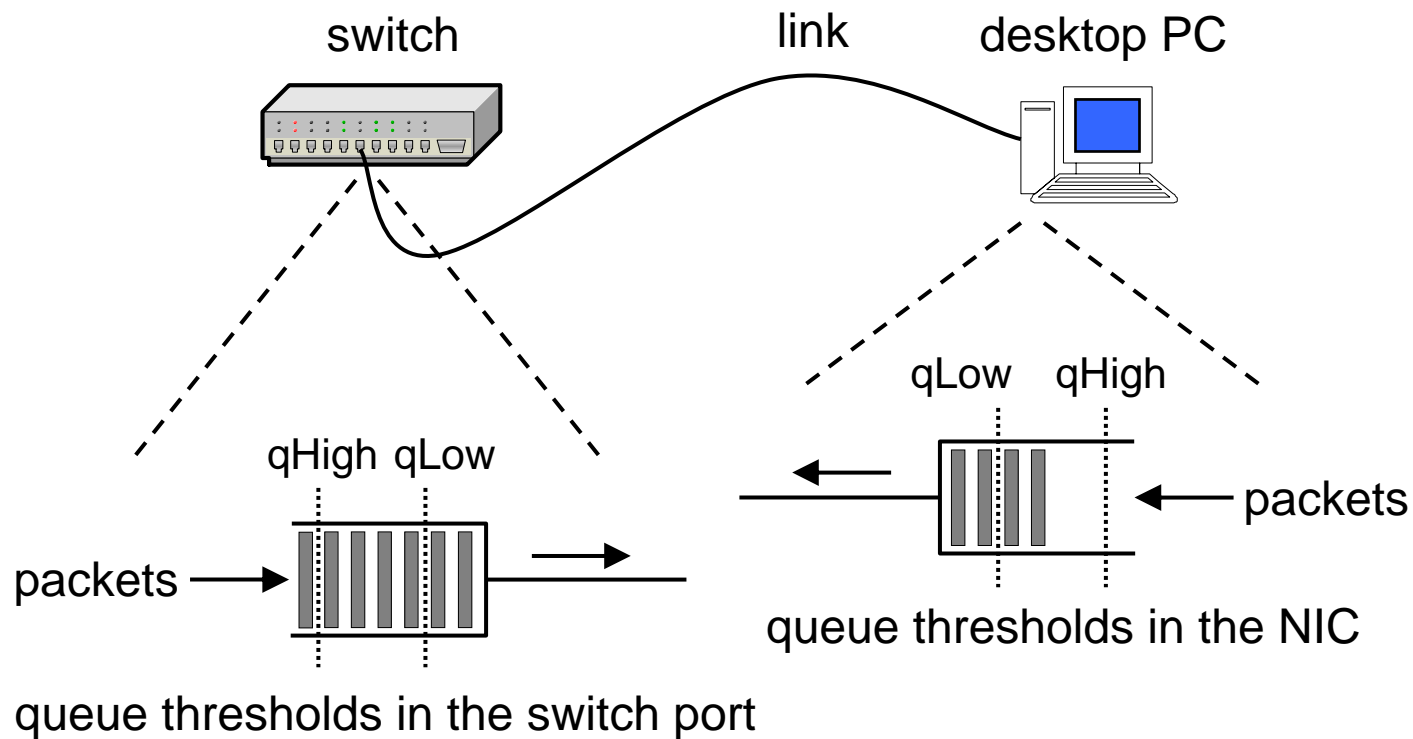
3) Does not cause oscillation of link rate

- **Resulting in...**

- Low and bounded packet delay
- Maximized energy savings

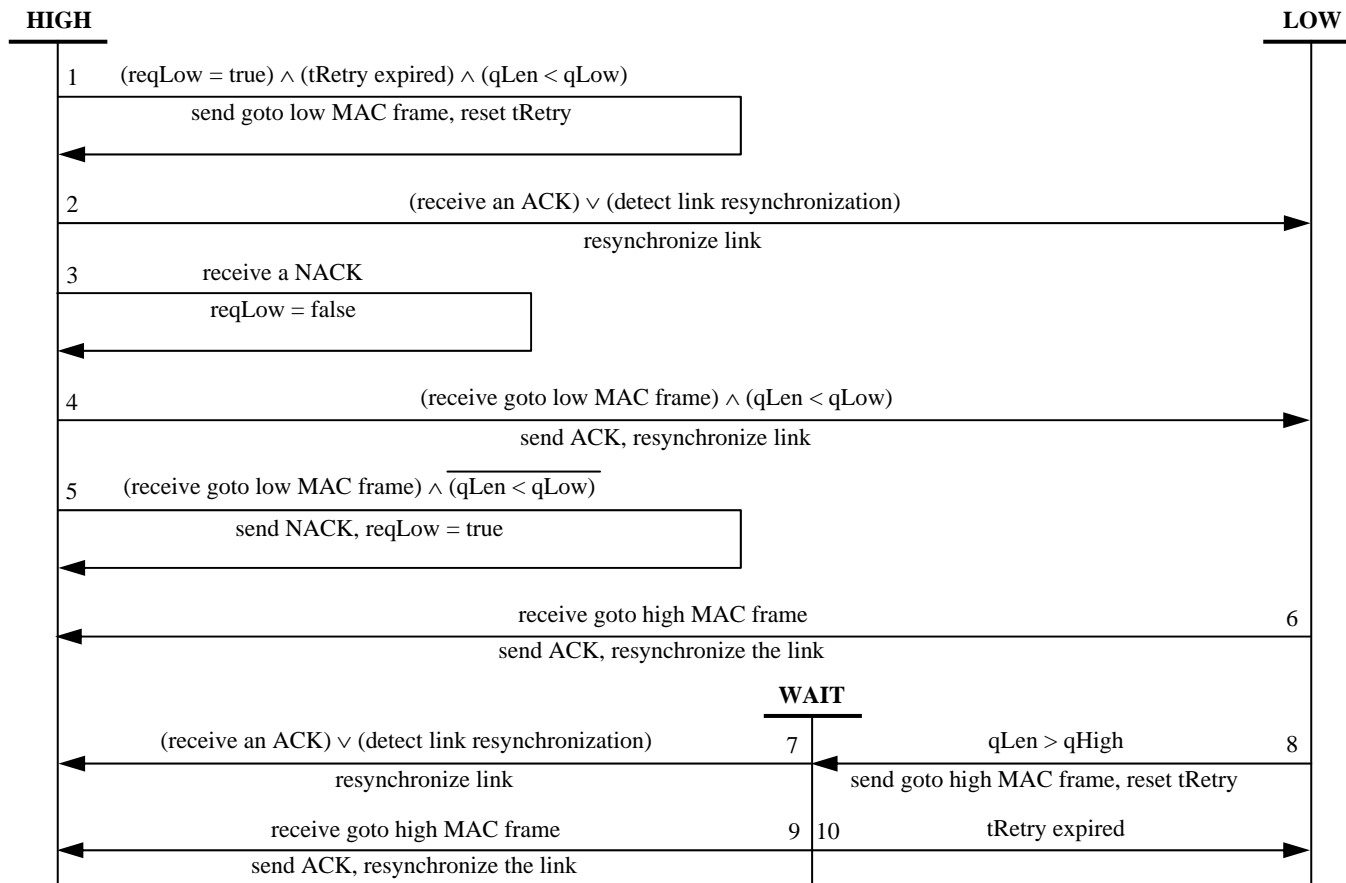
# Dual-threshold policy

- **Use thresholds in output buffers**
  - If queue is above  $q_{High}$  then switch to high rate
  - If queue is below  $q_{Low}$  then switch to low rate



# Dual-threshold policy continued

- FSM for dual-threshold policy



# Dual-threshold policy continued

- **A pseudocode process description**
  - Handshake details omitted

Executes on receiving a frame...

```
if (link rate is low)
  if (buffer length greater than qHigh)
    handshake for high link rate
```

Executes on transmitting a frame...

```
if (link rate is high)
  if (buffer length less than qLow)
    handshake for low link rate
```

# Dual-threshold policy continued

- **This policy can cause oscillation of link rate**
  - Will occur with smooth traffic at medium utilization
- **Can be dampened with timers**
  - “up” timer for high link rate
  - “down” timer for low link rate
- **But, there may be a better policy...**

# Utilization-threshold policy

- **Make explicit utilization measurement part of policy**
  - Prevents drop to low rate when not warranted

Executes on receiving a frame...

```
if (link rate is low)
  if (buffer length greater than qHigh)
    handshake for high link rate
```

Executes on transmitting a frame...

```
if (link rate is high)
  if (buffer length less than qLow)
    if (measured utilization less than low target)
      handshake for low link rate
```



# Performance evaluation

- **Implemented simulation model of RPS and policies**
  - Queuing model built using CSIM19
  - Used trace and synthetic traffic as input
  - Varied the link rate switching time
- **Control variables**
  - Utilization of input traffic
  - **Burstiness of input traffic**
  - Link rate switching time
- **Response variables**
  - Packet (or burst) delay
  - Time in low link rate

# Time scales of interest

- **How long is a link idle between bursts of packets?**
- **Time the user leaves his or her PC**
  - Seconds, minutes, to hours
- **Time between data transfer bursts (active use)**
  - Milliseconds to seconds
    - For example, web surfing

# Trace traffic characteristics

- **Collected packet level traces from 100 Mb/s links**
  - Note very low average utilization

<b>Trace</b>	<b>Duration</b>	<b>Description</b>	<b>Avg util.</b>
USF #1	0.5 hours	Link to “busiest” user in USF	4.11 %
USF #2	0.5	Link to 10th busiest user	2.63
USF #3	0.5	Link to an average user	0.03
PSU #1	2.0	Link to a desktop PC	0.13
PSU #2	2.0	Link connecting two switches	1.01
PSU #3	2.0	Link connecting switch to router	1.03

PSU traces are from Suresh Singh at Portland State University

# Results with trace traffic

- **Simulation results for dual-threshold policy**
  - Use 1 millisecond link rate switching time

For 1 Gb/s the delays would be smaller

Trace	10 Mb/s	100 Mb/s	Delay	Time in low rate
USF #1	7.60 ms	0.09 ms	2.79 ms	99.42 %
USF #2	3.95	0.08	1.81	99.81
USF #3	196.29	0.05	1.48	99.99
PSU #1	33.51	0.18	5.63	99.99
PSU #2	2321.31	0.12	9.55	99.12
PSU #3	1147.83	0.51	4.07	99.83

Time in 10 Mb/s

# Results with trace traffic continued

- **Simulation results for dual-threshold *with timers***
  - Use 1 millisecond link rate switching time

Lower delay is due to less oscillation

Trace	10 Mb/s	100 Mb/s	Delay	Time in low rate
USF #1	7.60 ms	0.09 ms	2.40 ms	96.91 %
USF #2	3.95	0.08	1.67	99.58
USF #3	196.29	0.05	1.25	99.98
PSU #1	33.51	0.18	5.11	99.88
PSU #2	2321.31	0.12	0.98	94.26
PSU #3	1147.83	0.51	3.16	99.45

Time in 10 Mb/s

# Results with trace traffic continued

- **Simulation results for utilization-threshold policy**
  - Use 1 millisecond link rate switching time

Even lower delay, but less time in low rate

Trace	10 Mb/s	100 Mb/s	Delay	Time in low rate
USF #1	7.60 ms	0.09 ms	1.47 ms	78.21 %
USF #2	3.95	0.08	1.48	95.09
USF #3	196.29	0.05	1.11	99.92
PSU #1	33.51	0.18	4.76	99.80
PSU #2	2321.31	0.12	0.50	96.47
PSU #3	1147.83	0.51	2.57	98.54

Time in 10 Mb/s

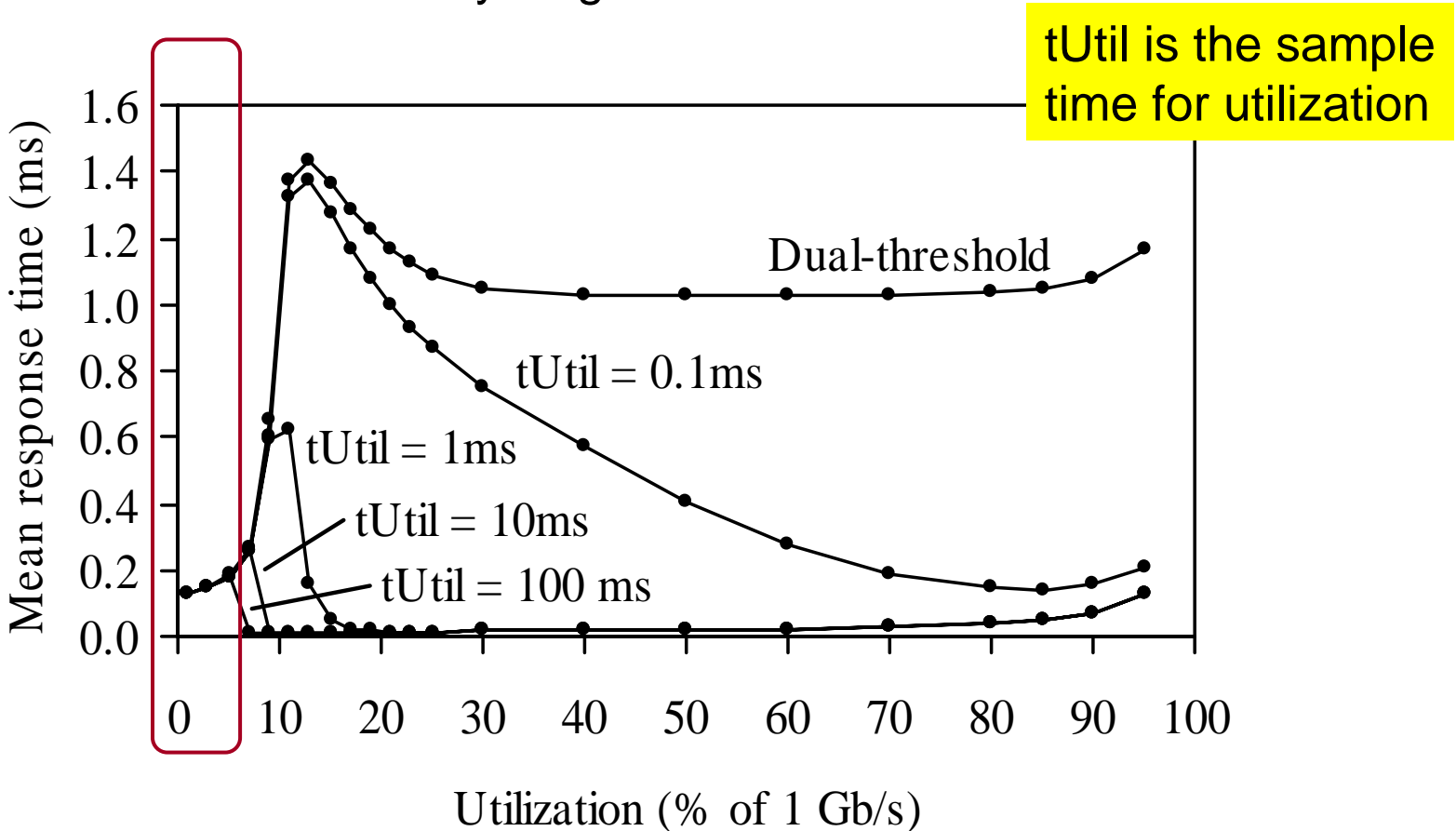
# Simulation with Poisson traffic

- **Use Poisson to model “smooth” traffic**
  - Model a 1 Gb/s link
- **Link switching time is 1 millisecond**
- **For utilization-threshold policy**
  - tUtil is the time period used for sampling utilization
  - Set utilization threshold to be 5% of 1 Gb/s

# Results for Poisson traffic

- **Results for both policies**

- Dual-threshold stays high due to oscillation!





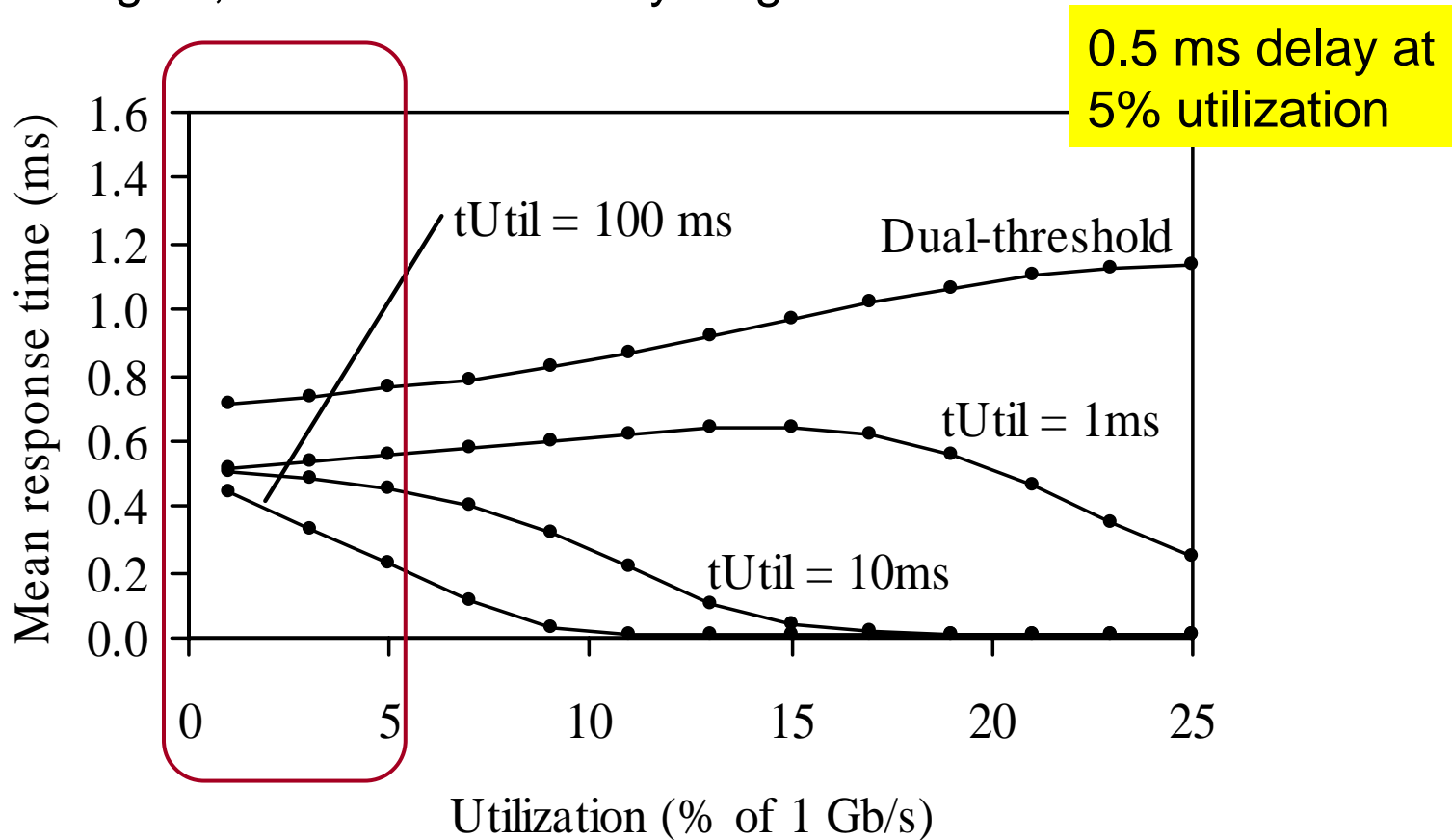
# Simulation with bursty traffic

- **Synthetically generated 1 Gb/s bursty traffic**
  - Pareto burst size, exponential interburst time
  - Mean burst size is small (about 8.4 KB)
    - Small burst size is worst case for energy savings
- **Link switching time set to 1 millisecond**

# Results for bursty traffic

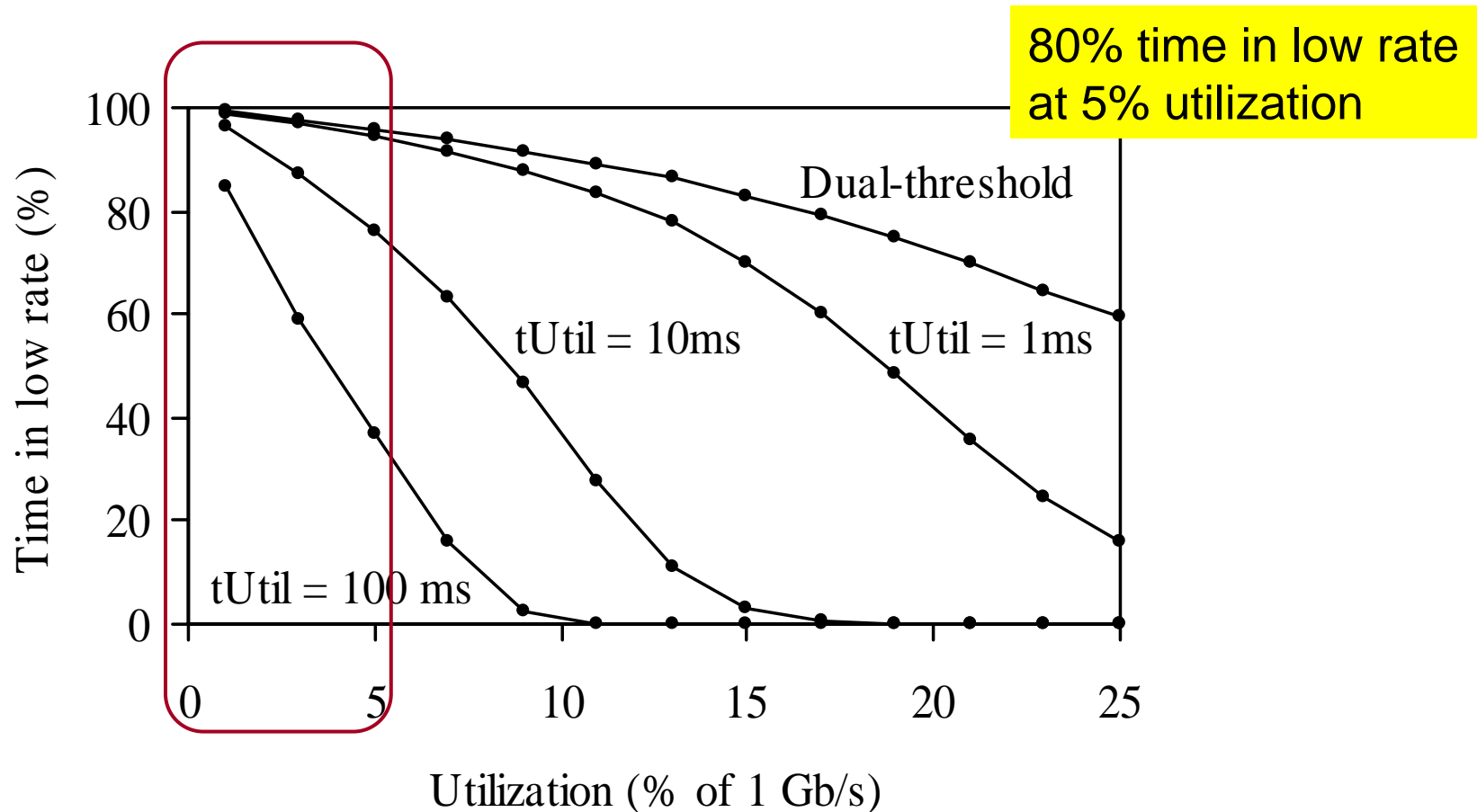
- **Results for mean packet delay**

- Again, dual-threshold stays high due to oscillation!



# Results for bursty traffic continued

- Results for time in low data rate

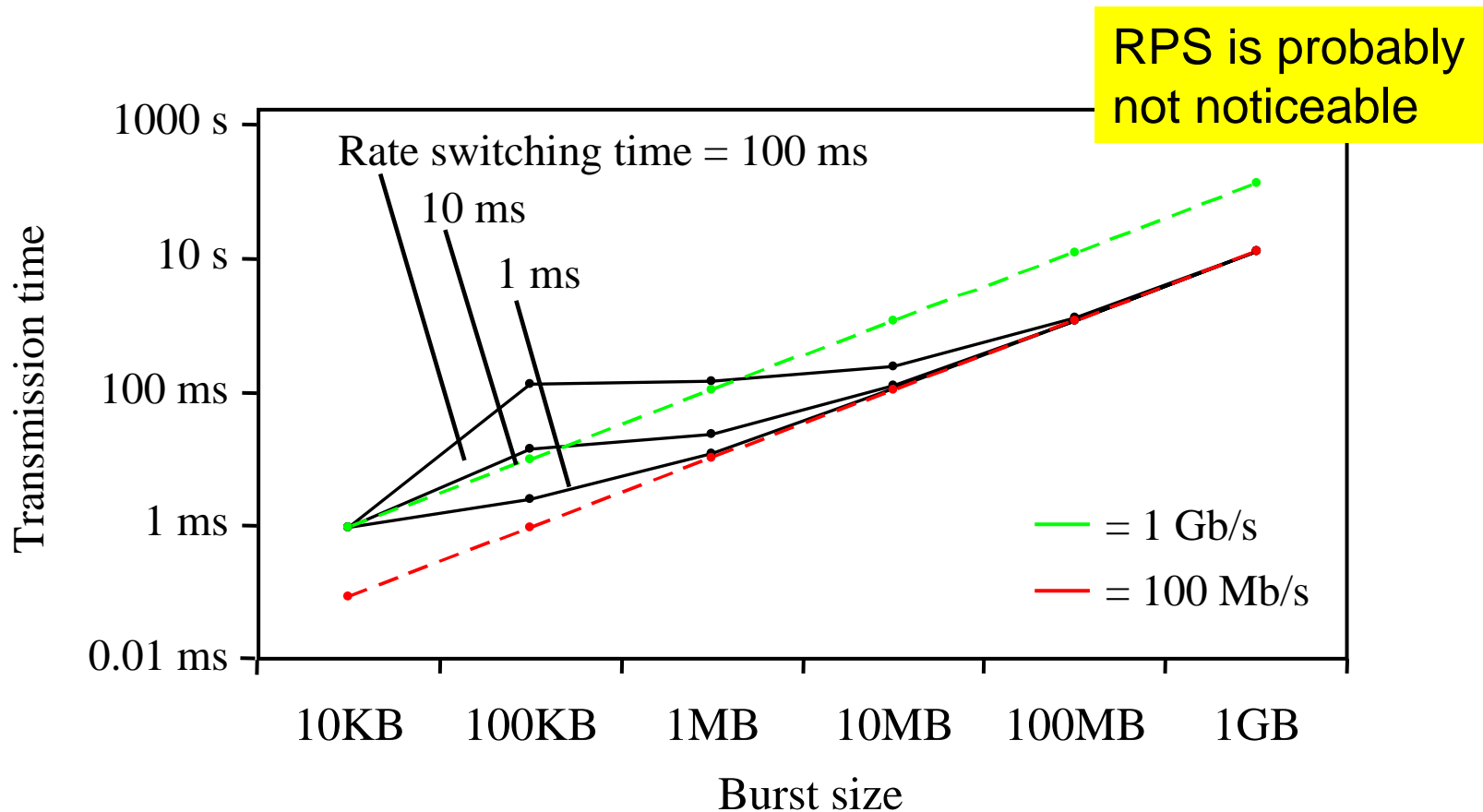


# Simulation of a single burst

- **Transfer time of a single burst**
  - Vary the burst size
  - Vary the link switching time
- **At what point can RPS be noticed by a user?**

# Results for transfer time of a burst

- **Results for transfer time of a single burst**
  - Note that human “just noticeable” time is 100 milliseconds




# Wrap-up of control policy topic

- **Multiple control policies are possible**
  - Three have been shown here
  - Each side is independent and will interoperate
- **Trade-off in packet delay versus energy saved**
- **Performance summary:**
  - About 0.5 millisecond increase in packet delay
  - About 80% time in low data rate



**Is increase in delay noticeable to a user?**

# Agenda

- **Motivation and introduction**
  - **Control policies**
  - **Effects on higher layers**
  - **Summary and future directions**
- Including humans (users)
- 

# Effects of RPS on users

- **Users will disable power management if annoying**
- **Yet... some users may tolerate slight annoyance in order to be “green”**
- **RPS with suitable policy should be entirely invisible**
  - *To most users*



# Effects of RPS on users continued

- **Need to consider**
  - Effect of increased packet delay
  - If packet loss can occur (and its effect) due to buffer overflows
- **Human perception time is about 100 milliseconds**
  - Delays below this threshold are unnoticeable

# Effects of RPS on desktop applications

- **Data transfer applications**
  - Web surfing
  - File downloading
- **Playback streaming application**
  - YouTube and etc.
- **Realtime streaming applications**
  - VoIP telephony

Try it!



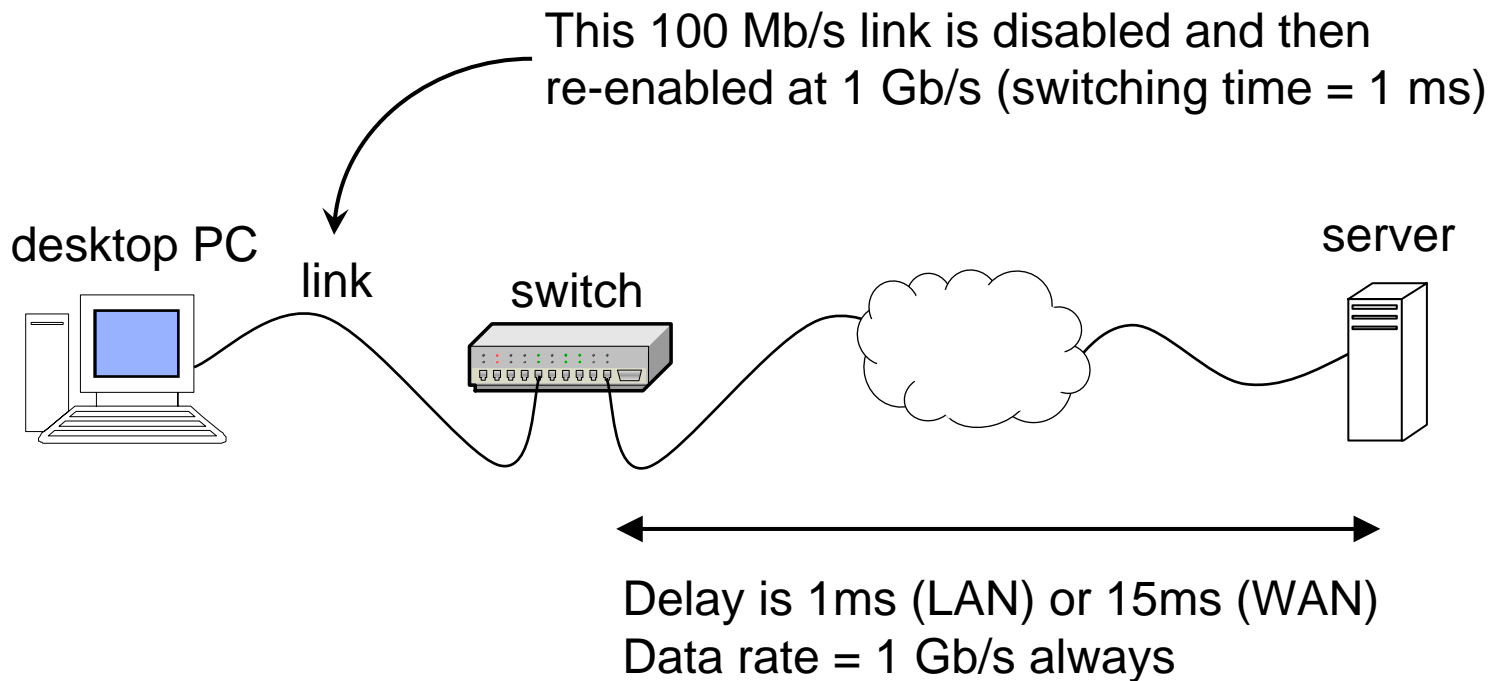
**Surprising result:** Video players can buffer about six seconds of video in the first second of play. So even a four second link outage causes *no* effect.

# Effects of RPS on TCP/IP

- **Will a switching delay cause packet loss?**
- **What is the effect of packet loss?**
- **We have some preliminary work**
  - Using the ns-2 simulator

# Simulation of TCP/IP

- **Use ns2 simulator to evaluate effects of RPS on TCP**
  - Study LAN and WAN environments
  - FTP between two nodes via an intermediate node
  - All default except `window_` set to 1000 (default is 20)



# Simulation of TCP/IP continued

Preliminary  
results

- Important note on ns2

**All buffers are destroyed in an ns2 model when a link is disabled/enabled. So, results here are much worse than realistic.**

**We plan to develop a realistic model.**

# Simulation of TCP/IP continued

- ns2 LAN environment
  - Shows TCP congestion window (transition at Time = 5 s)

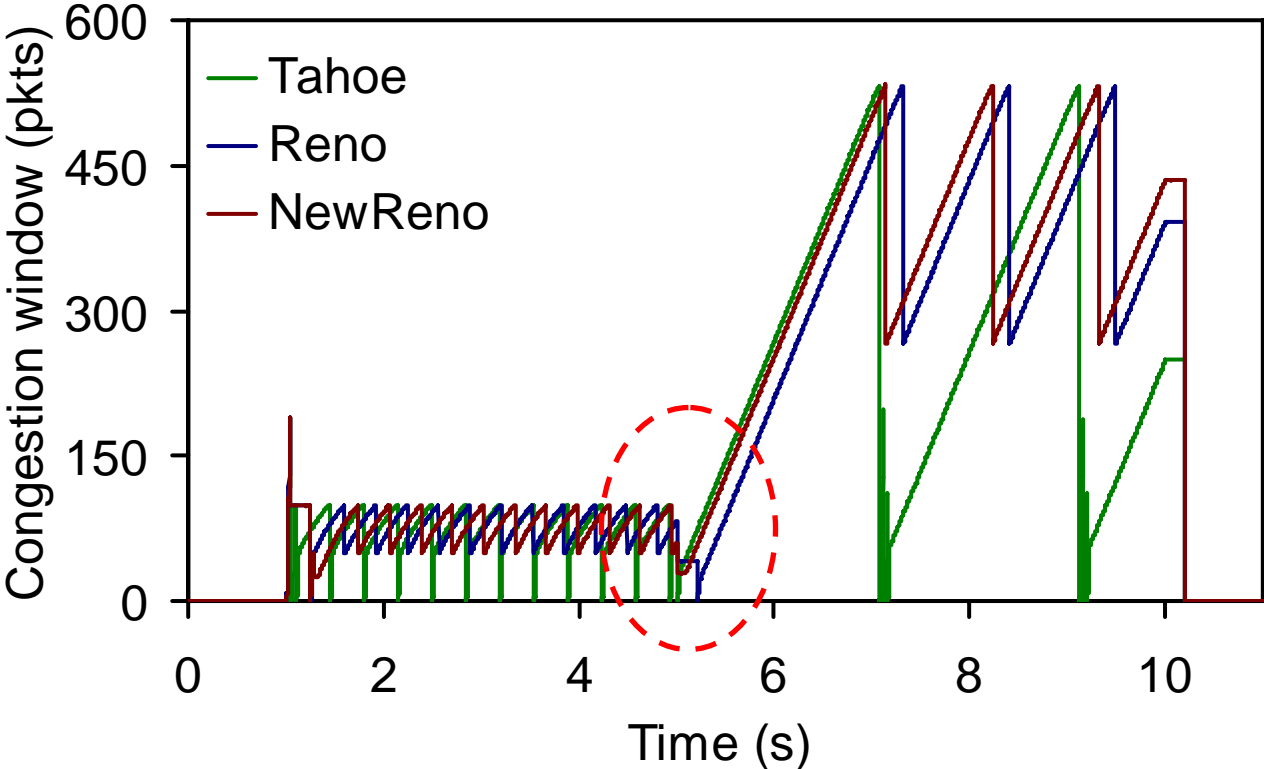


fig7.xls

# Simulation of TCP/IP continued

Preliminary results

- ns2 WAN environment
  - Shows TCP congestion window

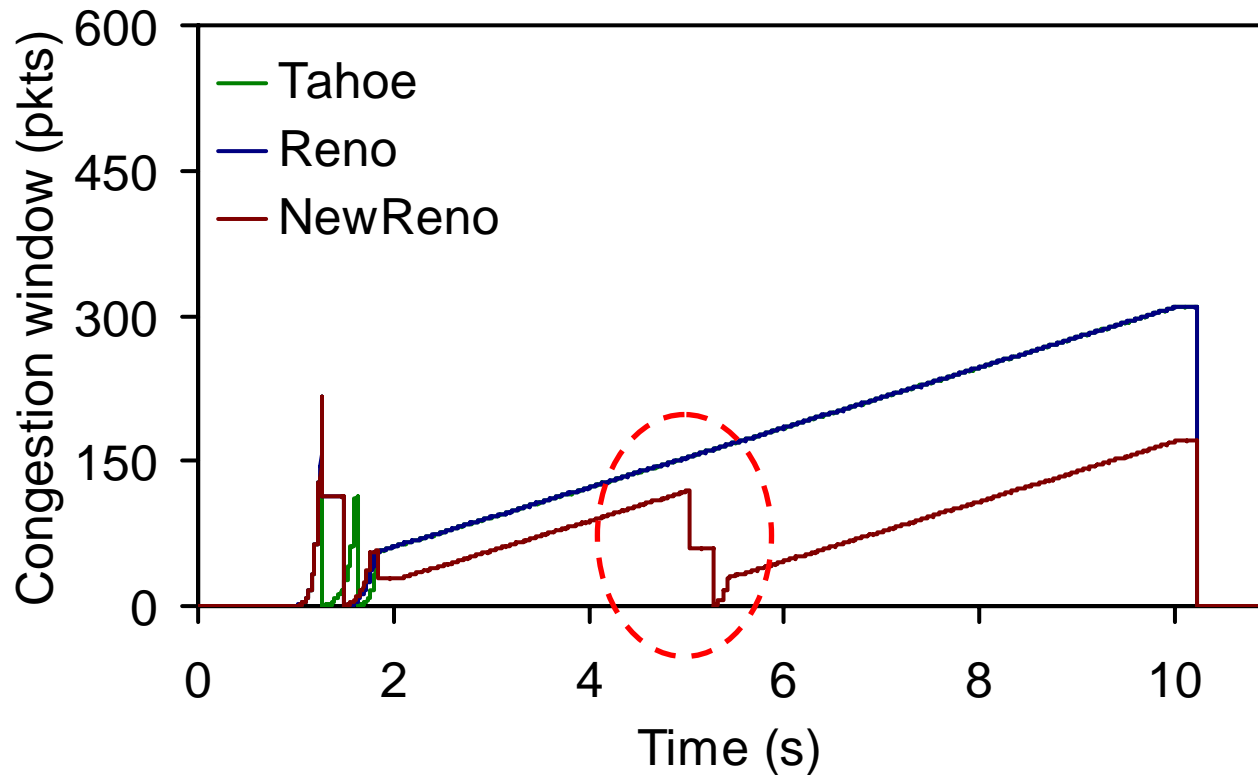


fig8.xls

# Simulation of TCP/IP continued

Preliminary results

- ns2 WAN environment
  - Shows TCP congestion window (with 10 ms switching time)

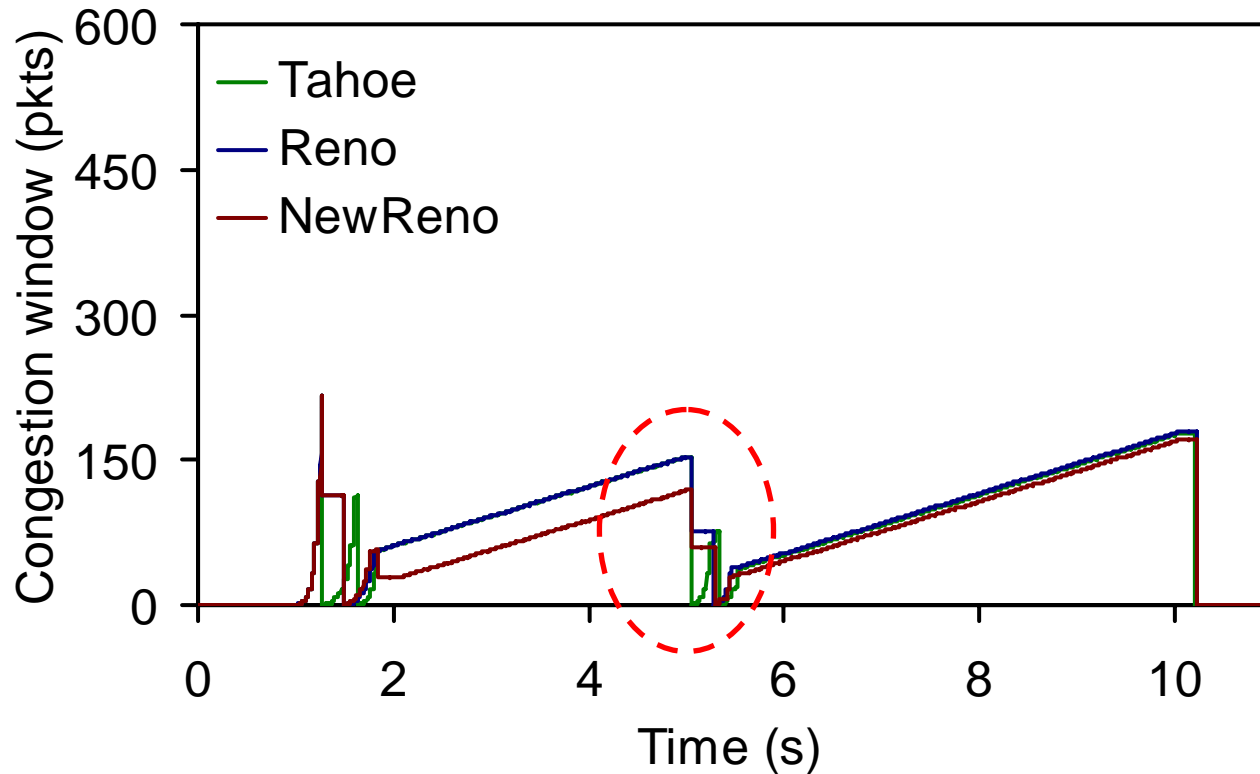


fig9.xls



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# Summary

- **Simple RPS control policies are possible**
- **Utilization-threshold control policy works well**
  - Added packet delay is very small
  - Energy savings can be considerable
- **Energy efficiency should be invisible**
  - Can be achieved with good RPS control policy

# Future work

- **Further work is possible in control policies**
  - Context driven
  - Adaptive based on user input
- **Further work is possible in evaluating RPS effects**
  - Build a h/w device to “kill” link to emulate RPS switching
- **Currently experimenting with a “Soft-ALR”**
  - ALR = Adaptive Link Rate
  - ALR is RPS + control policy
  - Use auto-negotiation to switch link rate via a Linux script
    - Experience a 4 second link switch delay

# Future work continued

- **Soft-ALR is now on SourceForge**



By Matt Landau  
(USF student)

# The End

- Any questions?
- Much of the material in this talk comes from...

C. Gunaratne, K. Christensen, B. Nordman, and S. Suen, “Reducing the Energy Consumption of Ethernet with Adaptive Link Rate (ALR),” submitted to *IEEE Transactions on Computers* in January 2007.

C. Gunaratne, K. Christensen, and S. Suen, “Ethernet Adaptive Link Rate (ALR): Analysis of a Buffer Threshold Policy,” *Proceedings of IEEE GLOBECOM 2006*, November 2006.

C. Gunaratne and K. Christensen, “Ethernet Adaptive Link Rate: System Design and Performance Evaluation,” *Proceedings of the 31st IEEE Conference on Local Computer Networks*, pp. 28-35, November 2006.