

# IEC/IEEE 60802

## Synchronization requirements and solution examples

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Günter Steindl (Siemens AG)

# Scope

Industrial automation systems used in the areas of

- Factory automation
- Process automation
- Power generation
- Public transportation
- Building automation
- ...

do have different environmental requirements.

The environmental conditions from this areas together with the device lifetime and the basic Ethernet PHY frequency quality are defining the requirements for the oscillators.

Many industrial automation products are build based on just one oscillator, which is the only source to drive a local time.

This applies to grandmaster, PTP relay and PTP end instance in this area.

# Basic Requirements

Lifetime<sup>1)</sup> > 10years

df/dt <= “Area specific”

Upper bound to f/fN <= “Link speed”

Data rate	Upper bound <sup>2)</sup>
10/100Mbit/s	100ppm
1Gbit/s	50ppm
>1Gbit/s	[see IEEE802.3] (assumed to be <= 50ppm)

Requirements:

1) For the whole lifetime of the device under all supported environmental conditions, the frequency shall stay in the “upper bounds” and shall not change faster as stated by df/dt.

2) The sync deviation shall stay under all above stated conditions below  $|1\mu\text{s}|$

	Public Transportation	Factory Automation	Process Automation	Other
df/dt	< 3ppm	< 0,3ppm	< 0,3ppm	tbd

# Constraints 1/3

There are a lot of sources for frequency deviation effecting error contribution of Grandmasters, PTP relays and PTP end instances. They are all just looked at summed up for the purpose of this contribution.

Production deviation, temperature deviation, aging, voltage ripple, shock and vibration all together shall not create a frequency deviation greater than the “upper bounds” from the previous slides.

Same is valid for the speed of frequency change which shall not be greater than “df/dt” from the previous slides.

The values are not derived from measuring one oscillator – they need to be guaranteed by the oscillator vendor.

Assumption:

Worst case happens if both, the grandmaster and the end-instances are moving from the opposite endpoints of the frequency with the maximum allowed df/dt to the other endpoints, undetected due the transmission delay of the sync message, while still staying inside the stated f/fN limit.

Working Clock and Global Time:

The stated constraints are valid for both.

The IEC/IEEE 60802 requirements for Working Clock seems only achievable with optimizations of the IEEE802.1AS model.

# Constraints 2/3

## Global Time

GlobalTime is if supported by the device always available independent from an existing / connected GM.

- Monotonically increasing time, where monotonic means that a tick can mean “+0”, “+1” or “+ >1”.  
Both, how often your able to do “+0” or “+ >1” is limited by the allowed acceleration/deceleration per 1 ms.
- The maximum acceleration/deceleration is limited and is in the range of e.g. +/-1000 ppm (that’s equal to 1  $\mu$ s for an interval of 1 ms) for the PTP end instance offset correction
- “value jump in case of set value” is allowed, but must be documented at every PTP end instance to comply with application needs or legal rules (e.g. Power Generation)
- The threshold/algorithm for the PTP end instance’s clock to declare inSync is within +/- 100  $\mu$ s deviation to the GM
- 64/100 Hops must comply with the +/-100  $\mu$ s deviation window to the GM
- GM and PTP relay and PTP end station timer have +/-50 ppm oscillators
- The frequency of these oscillators may change due to external influences e.g. df/dt of 3 ppm
- Connection to GPS or similar is possible/supported
- Possible states from the application point of view are inSync and SyncLoss

## Working Clock

WorkingClock is if supported by the device always available independent from an existing / connected GM.

- Monotonically increasing time, where monotonic means that a tick can mean “+0”, “+1” or “+ >1”.  
Both, how often your able to do “+0” or “+ >1” is limited by the allowed acceleration/deceleration per 1 ms.
- The maximum acceleration/deceleration is limited and is in the range of e.g. +/-10 ppm (that’s equal to 10 ns for an interval of 1 ms) for the PTP end instance offset correction
- “value jump in case of set value” is always associated with SyncLoss to avoid destruction of equipment
- The threshold/algorithm for the PTP end instance’s clock to declare inSync is within +/- 1  $\mu$ s deviation to the GM
- 64/100 Hops must comply with the +/-1  $\mu$ s deviation window to the GM
- GM and PTP relay and PTP end station timer have +/-50 ppm oscillators
- The frequency of these oscillators may change due to external influences e.g. df/dt of 3 ppm
- Possible states from the application point of view are inSync and SyncLoss

# Constraints 3/3

## What's a second?

<https://www.bipm.org/metrology/time-frequency/units.html>

The second, symbol s, is the SI unit of time. It is defined by taking the fixed numerical value of the caesium frequency, the unperturbed ground-state hyperfine transition frequency of the caesium-133 atom, to be 9 192 631 770 when expressed in the unit Hz, which is equal to  $s^{-1}$ .

## Whats is a second while following the industrial automation constraints?

A [for sure not perfect] second is defined by taking a fixed numerical value (value related to the used oscillator frequency) of the oscillator frequency at the grandmaster (expressed in the unit Hz, which is equal to  $s^{-1}$ ).

Thus, the frequency deviation at the GM of +/- 50 ppm f/fN are directly related to the deviation between the "SI unit of time (second)" and the "GM unit of time (second)".

Errors introduced by the synchronization of the frequency at the PTP end instance leads to an "own" f/fN value at the PTP end instance and thus, to a "PTP end instance unit of time (second)".

SI second != GM second != PTP end instance second (in the real world)

Synchronization system design:

Deviation between "SI second" and "GM second" <= 50ppm (equal to the f/fN)

Deviation between "SI second" and "PTP end instance second" <= 50ppm (equal to the f/fN)

# Parameter assumptions for simulation

- Grandmaster
- PTP end instance
- PTP relay
- Link delay

## Constraints:

No oscillator ever shows a  $df/dt$  of more than the stated limits. Thus, sinusoidal behavior shall be used for the simulation. Maximum values derived from the usage of Gigabit data rate applies.

# Assumption for simulation Grandmaster

- Oscillator
  - $f/f_N \pm 50$  ppm
- Behavior of the local oscillator
  - (a) random movement with max  $df/dt$
  - (b) use complete range with max  $df/dt$ 
    - (I)  $df/dt = 3$  ppm/s [start value]
    - (II)  $df/dt = 0,3$  ppms/s [fallback]
- Sync interval
  - 31,25 ms
- Timestamp precision (500 MHz clock)
  - 2 ns
- Origin Time precision (500 MHz clock)
  - 2 ns

Additional error sources are ignored for this simulation



# Assumption for simulation

## PTP end instance / PTP relay

- Oscillator
  - $f/f_N \pm 50$  ppm
- Behavior of the local oscillator
  - (a) random starting point
  - (b) worst case shift between GM and PTP end instance)
    - (I)  $df/dt = 3$  ppm/s [start value]
    - (II)  $df/dt = 0,3$  ppms/s [fallback]
- Sync interval
  - 31,25 ms
- Timestamp precision (500 MHz clock)
  - 2 ns
- Origin Time precision (500 MHz clock)
  - 2 ns
- Sync residence time
  - 4 ms
  - syncLocked mode
- Rate calculation/compensation
  - Sliding window, window size seven sync messages
  - Filter median
  - Calculated rate values greater than  $|250\text{ppm}|$  are assumed to be invalid
- Offset calculation/compensation
  - KiKo (65) and KpKo (11) filter
- Number of hops
  - 100 (simply 100 bridged end stations in linear topology)

# Assumption for simulation

## Link delay

- Pdelay residence time
  - 10 ms
- Timestamp precision (500 MHz clock)
  - 2 ns
- Link asymmetry
  - 0 ns
- Additional error (PHY rx/tx delay variation)
  - +/- 8 ns

Errors in link delay compensation are as critical as errors in residence delay due to the required number of 98/100 hops

# Contributors feedback (Measured Sync deviation value in real world with real products)

An in available products implemented IEEE 802.1AS model based solution using

- 31,25 ms sync interval, 1 s pdelay interval
- syncLocked mode
- Sync residence time (minimum 1ms, majority 4ms and maximum 10ms); Cumulated residence time < 500ms
- 2,5 ns Timestamp granularity
- 100 hops, linear topology with GM as first device
- Sinusoidal 3 ppm  $df/dt$  for the GM  
Production, temperature, age, voltage variation, ... noise  $df/dt$  for the PTP end instances
- Upper bound  $f/f_N$  100 ppm

Additionally, a sliding window using the sync messages for GM rate calculation in the PTP relays/instances is implemented.

Measured values based on this setup

Sync deviation measured (at the sync out signal pins) between GM and any of the PTP instances is below |300 ns|

# Model assumption for simulation

# Basic timer model

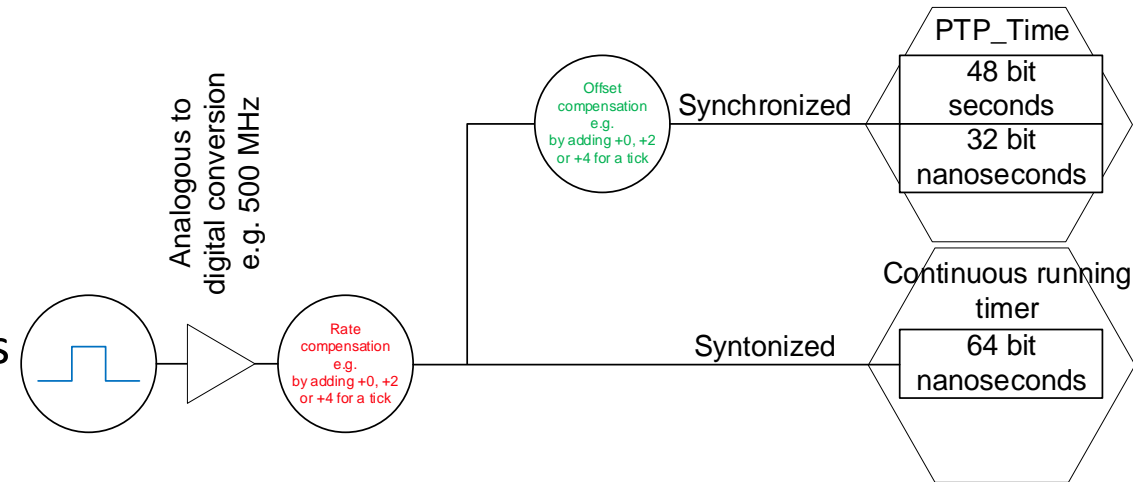
The used timer model of this contribution is build as shown in the figure on this slide.

The rate compensated frequency (rate to the GM) is used for the 64 bit continuous running timer and as basis for the PTP time of the PTP end instance.

-> 64 bit continuous running timer used to rate compensate residence time

The offset correction is done by an additional rate compensation for the PTP end instance time.

-> used for PTP time



Examples:

Rate compensation

Entity which contains a register which defines the number of ticks after which instead of +1, either +0 or +2 is done.

Offset compensation

Entity which contain two registers

- one which defines the number of ticks after which instead of +1, either +0 or +2 is done.
- one which defines the overall number of "correction" actions to be done

# PTP relay model

The PTP relay uses the sliding window calculated and filtered rate to the GM for the residence time of the sync message.

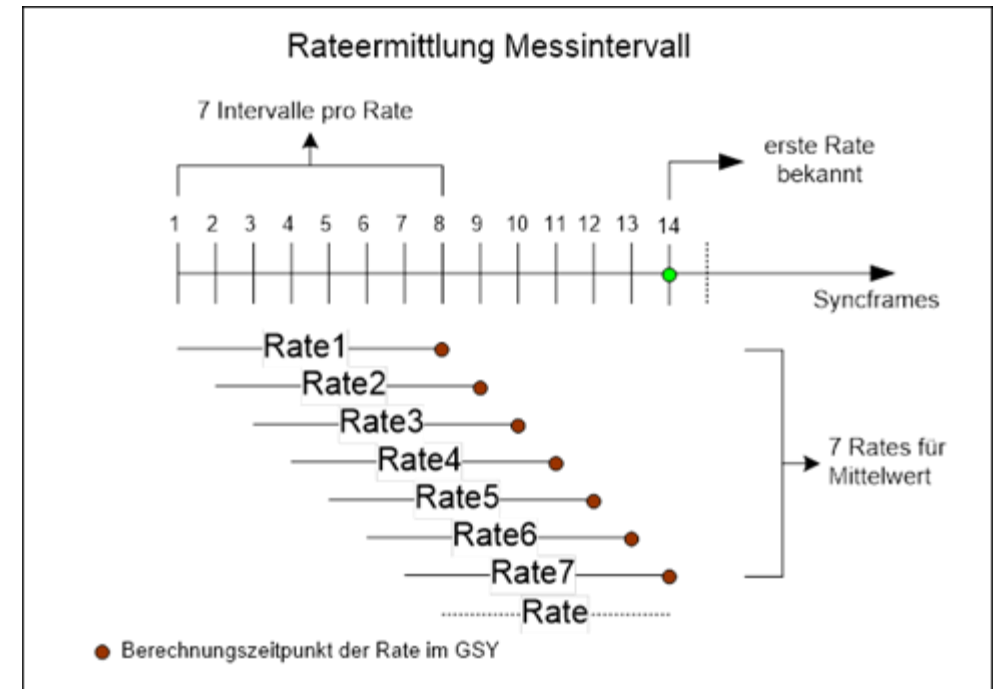
Editors note: Median and not arithmetic mean is used.

Cumulated residence time over 100 hops below 500ms

- Window size seven sync messages (7 x 31,25ms = 218,75ms)
- Median over the last seven (~1,4s) calculated values

Cumulated residence time over 100 hops below 1s

- Window size seven sync messages (11 x 31,25ms = 343,75ms)
- Median over the last five (~1,75s) calculated values



# PTP end instance model

	Maximum Offset correction factor	Kp / Ki (KpKo/KiKo)
Working Clock	< 10 ppm	11 / 65
Global Time	< 1000 ppm	11 / 20

The PTP end instance uses the same model as the PTP relay to calculate the rate.

Additionally, the offset between the GM and the end instance time is calculated and an offset correction factor is calculated.

Rate = Frequency deviation between GM and PTP end instance

Offset correction factor = Correction (faster/slower) of the frequency used for the PTP end instance time.

The Offset correction factor is calculated by the PI filter of the PTP end instance to minimize the offset between GM and PTP end instance.

The correction speed (maximum Offset correction factor) is limited due to the application timing requirements. Otherwise, local time intervals would get “too small” or “too large”.

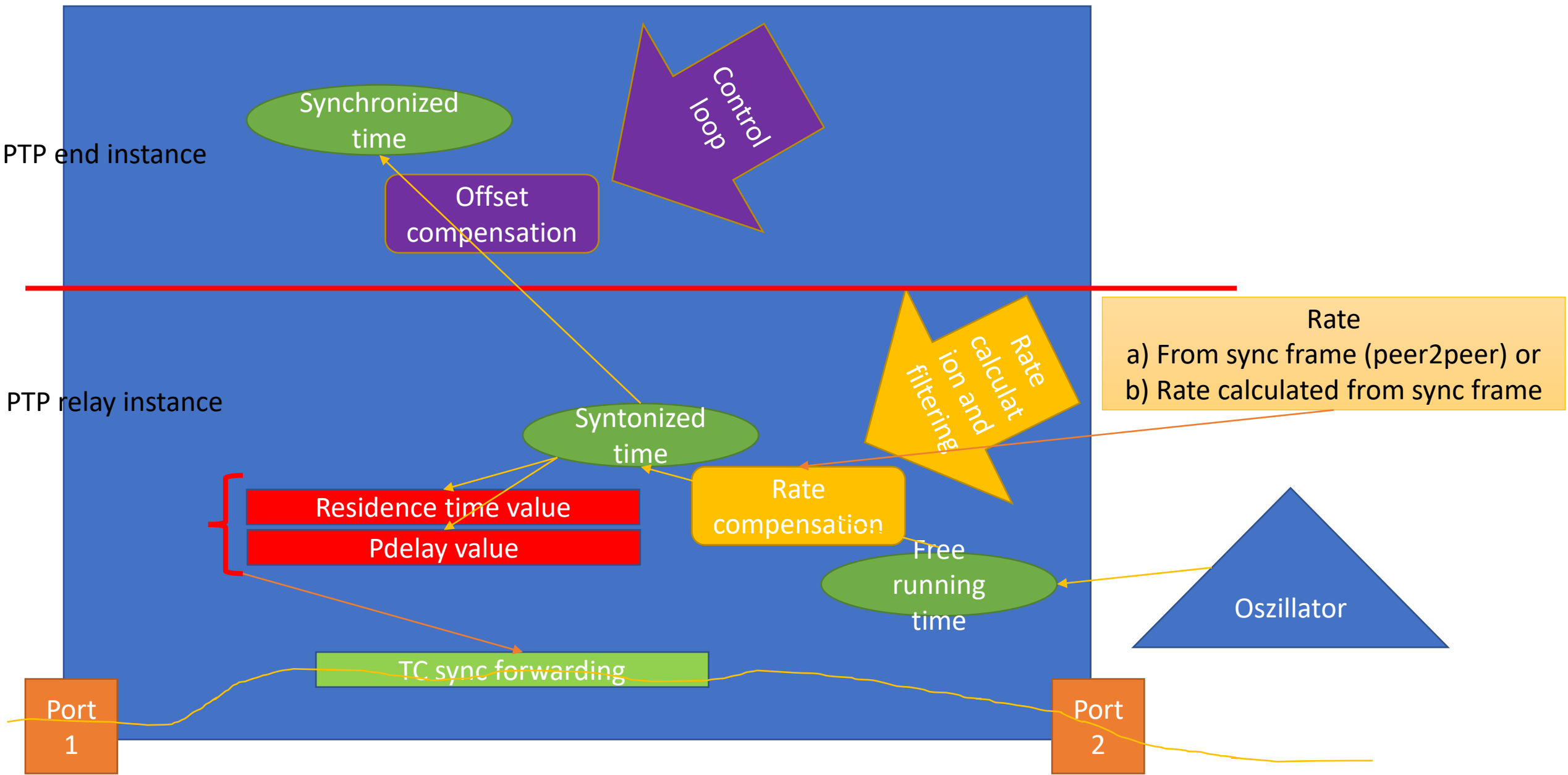
New rate(PTP end instance time) = Oscillator + Rate + Offset correction factor

Is used to drive the PTP end instance timescale.

Both, Rate and Offset correction factor are calculated (PI controller with Kp/Ki) and updated with every received sync frame following the stated model.

# Architecture model





# Model constraints

## PTP relay

PTP relay instances calculate and use the rate for the supported timescales.

Calculation is done by “median over the last seven calculated values” as shown on previous slides.

The synchronized time is used to time stamp the sync messages

This concept reduces the effort at the sync forwarding process (adding local delays) to subtraction and addition of integer values.

## PTP end instance

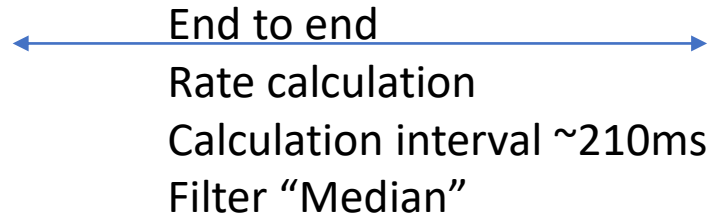
PTP end instances maintain a synchronized time for the supported timescales.

The maintenance is done by comparing the synchronized time driven and offset compensated local synchronized time with the assumed GM time from the sync message.

The new offset compensation value is calculate by a control loop using “KiKo and KpKo” as shown on previous slides.

GM, per timescale:

- monotonous running rate controlled timer

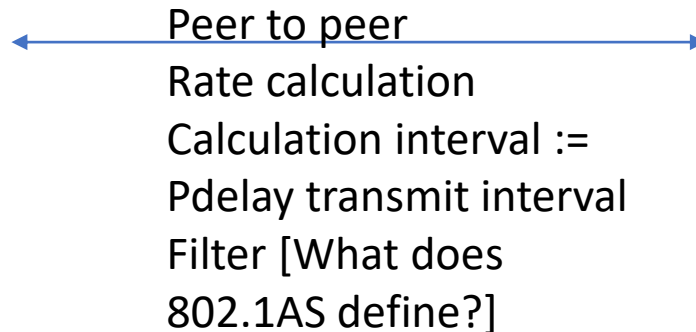


Per timescale:

- monotonous running rate controlled timer
- used to timestamp both, sync and Pdelay messages, to reduce rate handling at the forwarding process to simple subtraction and addition

Neighbor:

- monotonous running timer



Neighbor:

- monotonous running timer
- used to timestamp both, sync and Pdelay messages, calculating residence and pdelay values need advanced math

# Impact of cumulated frequency drift of Grandmaster and End instance

# Impact of cumulated frequency drift

Both, grandmaster and end instance oscillators do frequency drift at the same time.

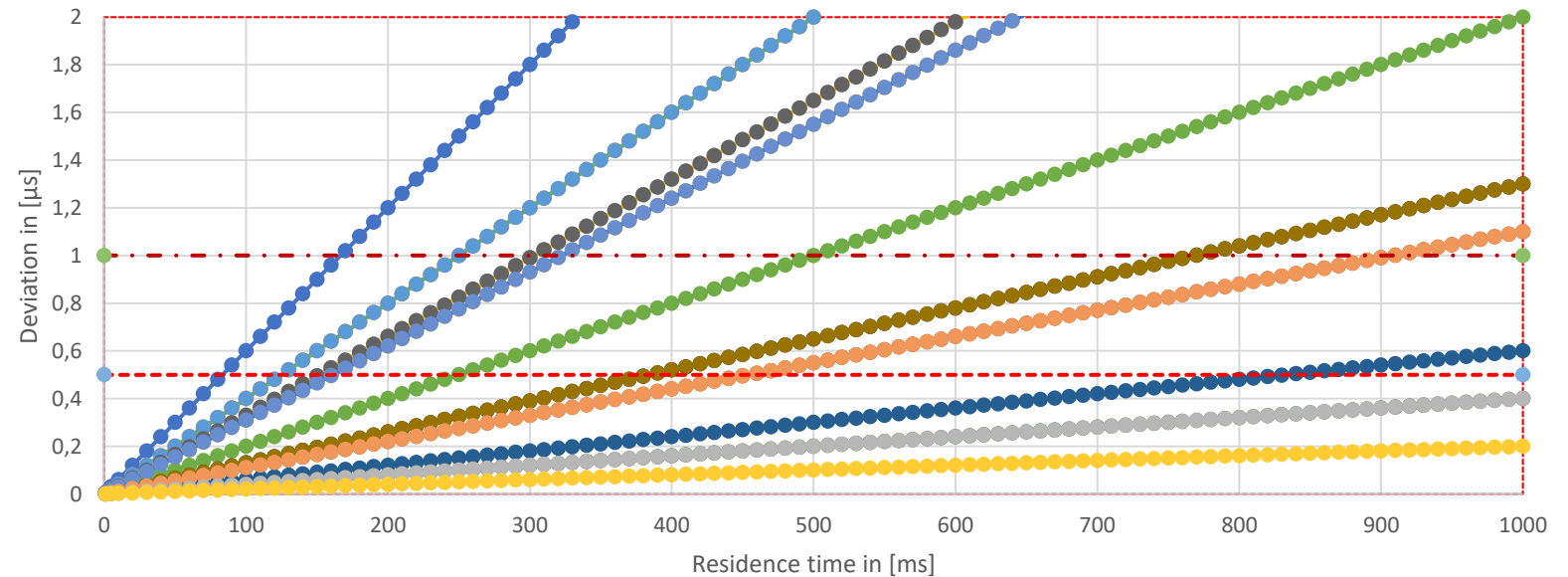
The graphs show the impact of this cumulated frequency drift with regards to cumulated residence time.

All discussed variations (3ppm/s, 1ppm/s, 0,3ppm/s and 0,1ppm/s) of the oscillators are shown in the graph.

Limiting the residence time is one way to stay inside the  $\pm 1\mu\text{s}$  sync deviation boundaries.

Dynamic error due to  $df/dt$  of GM and EI in relation to the residence time

(data rows are GM  $df/dt$  + EI  $df/dt$ )



- |                       |                           |                         |                     |                       |
|-----------------------|---------------------------|-------------------------|---------------------|-----------------------|
| ● 3ppm/s + 3ppm/s     | ● 3ppm/s + 1ppm/s         | ● 3ppm/s + 0,3ppm/s     | ● 3ppm/s + 0,1ppm/s | ● 1ppm/s + 3ppm/s     |
| ● 1ppm/s + 1ppm/s     | ● 1ppm/s + 0,3ppm/s       | ● 1ppm/s + 0,1ppm/s     | ● 0,3ppm/s + 3ppm/s | ● 0,3ppm/s + 1ppm/s   |
| ● 0,3ppm/s + 0,3ppm/s | ● 0,3ppm/s + 0,1ppm/s     | ● 0,1ppm/s + 3ppm/s     | ● 0,1ppm/s + 1ppm/s | ● 0,1ppm/s + 0,3ppm/s |
| ● 0,1ppm/s + 0,1ppm/s | ● 0,5 $\mu\text{s}$ limit | ● 1 $\mu\text{s}$ limit |                     |                       |

# Synchronization startup time

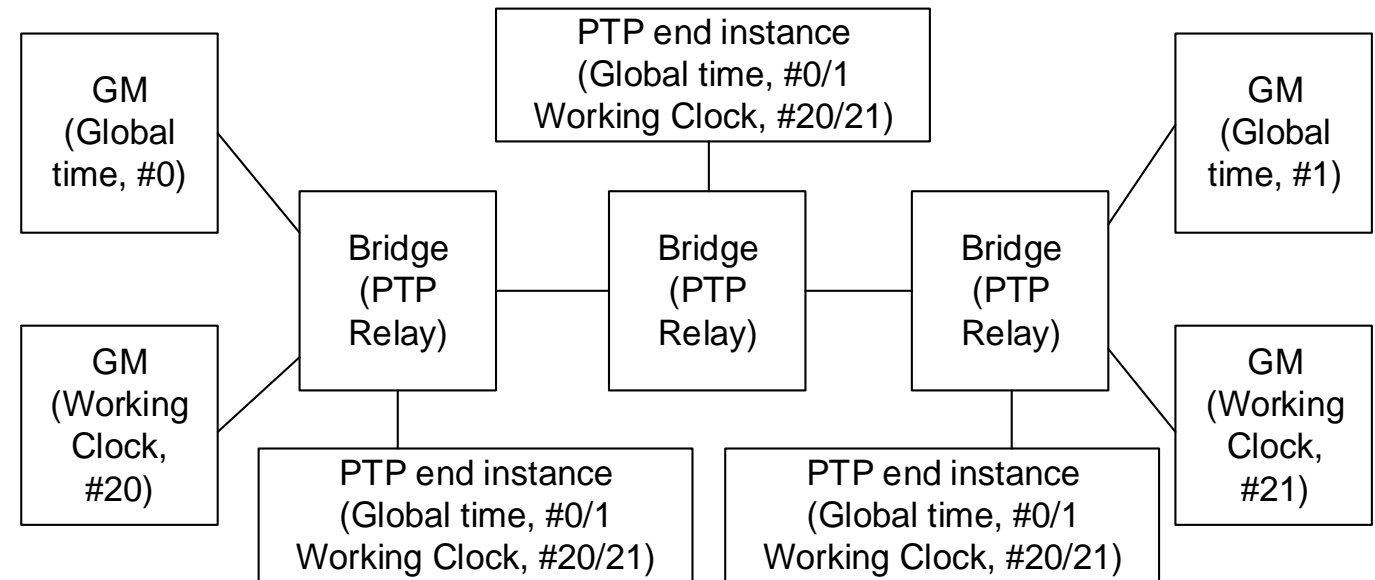
# Open issues

Short startup time, meaning the time after the Working Clock maintained by a PTP end instances achieves the state “stable inside the  $|1 \mu\text{s}|$  deviation window”, is an automation requirement.

Today, excluding initial Pdelay measurement, each hop consumes around 1,4 s (rate and offset are calculated and compensated).

“accumulatedRateRatio” in addition to the sync message based rate and offset calculation may offer optimization capabilities.

Wrong “stable inside the  $|1 \mu\text{s}|$  deviation window” detection shall be avoided.



# Model constraints

## Pdelay

### Pdelay

Pdelay measurement do have some error sources which contribute errors (offset and variation).

The variation do need some repetition together with filtering to find a good (containing the “zero” mean error) value for further use. (wireline connection)

Offset need to be reduced/avoided by hardware design or administrative compensated.

### Speedup start of synchronization

After power-on or link-up use a Pdelay interval of 250ms for the first 2s and then switch back to 1s

Thus, after around 1,5s (assuming 6 consecutive Pdelay measurements create a value as stated above) the Pdelay value seems to be good enough.



# Model constraints

## Sync

### Rate

Using all, the peer rate value, the rate value from the sync frame and the self calculated peer value allows an early good estimation of the GM rate and thus, a faster synchronization.

### Speedup start of synchronization

Synchronization relies on stable/correct Pdelay values. Having these after 1,5 s, together with the above rate handling, should allow a sync deviation of less than  $|1\mu\text{s}|$  after 1,5s.

### Goal:

Pdelay is running since power-on.

GM starts sending sync messages

Measure sync-out signals between GM and each end instance

Check whether they do have a deviation of less than  $|1\mu\text{s}|$  after 1,5s

Questions?