ATS token timing

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ATS (Asynchronous Traffic Shaping) schedulers use a token bucket algorithm to assign an eligibility time (the earliest permitted transmission time) to each forwarded frame. The time dependent scheduler state is represented by a time, the BucketEmptyTime, rather than a count of tokens held in a bucket. This time representation proves convenient in a number of ways. It supports the assignment of future eligibility times, at which the number of notionally accumulated tokens will be sufficient to forward a given frame, as opposed to using the token bucket algorithm (as commonly described) to simply discard any frame whose transmission would consume more tokens than are presently available. Each frame is the subject of a single invocation of the eligibility assignment procedure, rather than of notionally continuous comparison of its token requirements with those presently in the bucket. All calculations reference times and the time intervals between significant events as measured by a single clock instance: the granularity questions that inevitably accompany a specification based on the notionally continuous addition of tokens to a bucket count are avoided, and replaced with simpler statements about clock accuracy. Delays to frame eligibility due to membership of a scheduler group are easily accommodated.

The IEEE Std 802.1Qcr-2020 (and IEEE P802.1Q-Rev) specification of eligibility time assignment includes, but is not dependent upon, references to the token bucket algorithm and to tokens. The common specification risk in referring to an algorithm that implementors already understand is that they will implement that algorithm (or at least their understanding of it) instead of the specification.

The purpose of this note is to show that IEEE Std 802.1Qcr-2020 is correct in stating that its references to the token bucket algorithm and tokens are purely informative, that the specification would be complete without those references, and that they are not accurate. Their removal should improve the probability of correct implementation.

To demonstrate the independence of the Qcr specification from other descriptions of the token bucket algorithm, this note describes that specification in terms of tokens (with the thorny exception of scheduler group effects). I have not had to refer to any other material to do that, and I do not believe my description is innovative (nor is understanding it necessary for ATS implementation). However it may be useful to anyone who is familiar and comfortable with token bucket algorithm implementations and has not had the time to work out the relationship between BucketEmptyTime and a bucket (a fluctuating count) of tokens. Use the standard, and not this note, when implementing.
1. ATS Scheduler state

The performance of a given ATS scheduler is characterized by its `CommittedInformationRate` and `CommittedBurstSize` parameters. Its state at any given time \( (t, \text{say}) \), given by the clock that it uses, is a forecast of the difference, \( \Delta t \), between \( t \) and the schedulerEligibility time it will compute on processing a frame of any given length and a forecast of its state once that processing is complete.\(^2\)

IEEE Std 802.1Qcr [1] uses a `BucketEmptyTime` variable, a reference to a particular time by the scheduler’s clock, as a record of the current state. The `CommittedInformationRate` is customarily specified in bits per second, so it is convenient to express the `CommittedBurstSize` in bits, and the `BucketEmptyTime`, clock times in general, and any interval between two clock times in seconds.

The scheduler state could also be represented by a time dependent number of tokens (denominated in bits for convenience).\(^3\) This note describes the relationship between tokens and `BucketEmptyTime` (Figure 1-1), and how both change as the scheduler processes frames (Figure 1-2 through Figure 1-8, which include the temporary variables specified in [1]). In the latter figures \( a_1 \) is the arrivalTime of a frame 1, \( s_1 \) its schedulerEligibilityTime, and \( e_1 \) its eligibilityTime. The `lengthRecoveryDuration` and `bucketFullTime` are abbreviated to `lenRd` and `bFT` when convenient.

Figure 1-1 illustrates the relationship between these two ways of expressing the scheduler’s state.

### Figure 1-1—BucketEmptyTime and tokens

The scheduler state is only the current picture of token availability, even when scheduler eligibility at other times is considered. It, and Figure 1-1, is not a historical record. In Figure 1-2, for example, a frame that does not exhaust the immediately available supply of tokens has arrived and been scheduled as immediately eligible for transmission at \( a_1 = t_1 = e_1 \), and `BucketEmptyTime` updated appropriately.

### Figure 1-2—An historical account

The notional token bucket was not empty at the updated `BucketEmptyTime`,\(^4\) and an implementation which attempts to record that time by detecting a zero condition for a token count will not perform correctly.

When a frame is scheduled and `BucketEmptyTime` updated, that updated time will be in the past if the frame is eligible for immediate transmission, and in the future if the transmission has to be delayed to allow more tokens to be accumulated.\(^5\)

Negative token counts accomodate scheduling at a future time when the count has recovered sufficiently to accomodate a frame’s length as in Figure 1-3.

### Figure 1-3—Token debt

A number of frames can arrive, and can be eligible for transmission before the token count becomes positive again, just as long as sufficient tokens have been

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\(^1\) This note assumes that these are fixed parameters, and does not consider what could or ought to be done when and if they are changed by management.

\(^2\) These forecasts can also be influenced by prior eligibility time computations by other schedulers in the same Scheduler Group.

\(^3\) The use of tokens introduces questions of token size granularity and addition timing, and the accuracy of those parameters. As specified in [1] each invocation of the `ProcessFrame(frame)` procedure needs only one conversion from bits to time—in the calculation of `lengthRecoveryDuration = committedBurstSize/committedInformationRate` the calculation of `emptyToFullDuration = committedBurstSize/committedInformationRate` used in the determination `bFT` need only be performed when one of the latter variables have been changed.

\(^4\) In other words the description of `BucketEmptyTime` in 8.6.11.3.3 of IEEE Std 802.1Qcr (P802.1Q-Rev/D1.0 pg241 line 24) is untrue. The `BucketEmptyTime` is not “the most recent instant of time at which the token bucket of the ATS scheduler instance was empty, in seconds”. The token bucket was not necessarily empty at that time (if it is in the past), and that time may be in the future.

\(^5\) With a check that the frame will be eligible for transmission within `MaxResidenceTime`.

\(^6\) Not quite the full story. Once `GroupEligibilityTime` is taken into account, future eligibility does not necessarily mean a future `BucketEmptyTime`. 
added to the bucket between the successively updated BucketEmptyTimes. See Figure 1-4.

If a stream has been idle for a while, not using its CommittedInformationRate, the scheduler might process a frame and calculate a schedulerEligibilityTime that is in the past, before the frame arrived. If a stream was allow to spend unused past tokens it could exceed the committed burst rate, so the eligibilityTime is constrained to be no earlier than the frame’s arrivalTime, and the BucketEmptyTime adjusted (Figure 1-5) effectively using current tokens.

If the ATS scheduler is part of a scheduler group, a frame’s eligibilityTime can be delayed past its arrivalTime, even if the scheduler had an ample supply of tokens at that time. The calculated update to BucketEmptyTime has the effect of subtracting the necessary tokens at the arrivalTime. If the scheduler processes no more frames before the eligibilityTime is reached, the resulting number of tokens will be the same as would result from delaying the reception of the processed frame to that time. In Figure 1-6 the calculated eligibilityTime is before bucketFullTime, sufficient tokens to process the frame are already available, and the number of tokens apparently removed from the bucket corresponds to the length of the processed frame. In Figure 1-7 and Figure 1-8 the updated eligibilityTime is after bucketFullTime, and the adjustment to BucketEmptyTime necessary for the token count at that eligibilityTime to reflect the remaining burst capability appears as a token count reduction at the arrival time in excess of that dictated by the frame’s length.

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**Figure 1-4—Spending while in debt**

**Figure 1-5—Draw from the current account**

**Figure 1-6—Group spending up front**

**Figure 1-7—Negative interest (1)**

**Figure 1-8—Negative interest (2)**