

## IEEE P802.16p AWD

**~~DRAFT Amendment to IEEE Standard for  
Local and metropolitan area networks~~**

**~~Part 16: Air Interface for Broadband  
Wireless Access Systems~~**

**Enhancements to Support Machine-to-  
Machine Applications**

Sponsor

**~~LAN/MAN Standards Committee  
of the  
IEEE Computer Society~~**

and the

**~~IEEE Microwave Theory and Techniques Society~~**

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## Introduction

This introduction is not part of IEEE Std 802.16p, IEEE Standard for Local and metropolitan area networks—Part 16: Air Interface for Broadband Wireless Access Systems - Amendment: Air Interface for Broadband Wireless Access Systems – Enhancements to Support Machine-to-Machine Applications.

This amendment specifies support for Machine-to-Machine Applications. As of the publication date, the current applicable version of IEEE Std 802.16 is IEEE Std 802.16-2009, as amended by IEEE 802.16j-2009, IEEE 802.16h-2010, and IEEE 802.16m-2011.

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### 3. Definitions

*Add the following definitions:*

**3.148 Machine-to-Machine (M2M) communication:** Information exchange between user devices through a Base Station, or between a device and a server in the core network through a Base Station that may be carried out without any human interaction.

**3.149 M2M ASN:** An Access Service Network that supports M2M service.

**3.150 M2M device:** An MS with M2M functionality.

**3.151 M2M subscriber:** A consumer of M2M service.

**3.152 M2M Server:** An entity to communicate with M2M devices. The M2M server provides an interface which can be accessed by an M2M subscriber.

**3.153 M2M feature:** A unique characteristic of an M2M application that is supported by the M2M ASN. One or more features may be needed to support an application.

**3.154 M2M group:** A group of devices that share one or more features in common and/or belong to same M2M subscriber.

## 6. MAC common part sublayer

### 6.3 Data/Control plane

#### 6.3.1 Addressing and connections

*Insert the following texts at the end of subclause 6.3.1*

M2M group ID (MGID) uniquely identifies an M2M group in the domain of the network entity that assigns MGID, which one or more M2M devices belong to. This ID shall be used to identify a group of devices (e.g., group paging).

An MGID is assigned to an M2M device during initial network entry and released during an explicit network exit (e.g., power down location update). The assigned MGID shall be retained by an M2M device even in idle state unless the M2M device exits from the network. The MGID can be re-assigned. During connected mode, the MGID may be added and changed by DSA and DSC procedure respectively.

#### 6.3.2.3 MAC management messages

##### 6.3.2.3.26 DREG-CMD (de/reregister command) message

*Add the following texts at the end of subclause 6.3.2.3.26*

When the DREG-CMD message is sent to an M2M device, the following TLV may be included:

M2M device-specific Idle mode timer

Length of the maximum interval between location update while the M2M device in idle mode

*Add new subclause in 6.3.2.3 as indicated*

##### 6.3.2.3.60 M2M\_POLL-ADV (M2M broadcast paging) message

The M2M\_POLL-ADV message shall be sent on the Broadcast CID or Idle Mode Multicast CID during the BS paging interval. The M2M\_POLL-ADV is to be broadcast on the same downlink frame as MOB\_PAG-ADV, so the devices can receive the message after waking up from the idle mode.

The format of the message is shown in Table 166a.

**Table 166a—M2M\_POLL-ADV message format**

<u>Syntax</u>	<u>Size (bits)</u>	<u>Notes</u>
<u>M2M_POLL-ADV_Message_format() {</u>		
<u>Management Message Type = 70</u>	<u>=</u>	<u>=</u>

**Table 166a—M2M\_POLL-ADV message format**

<u>Syntax</u>	<u>Size (bits)</u>	<u>Notes</u>
<u>Num_M2M_Devices</u>	<u>8</u>	<u>Number of M2M devices</u>
<u>For (<math>j = 0; j &lt; \text{Num\_M2M\_Devices}; j++</math>) {</u>		
<u>M2M device MAC Address hash</u>	<u>24</u>	<u>The hash is obtained by computing a CRC24 on the MS 48-bit MAC address. The polynomial for the calculation is 0x1864CFB.</u>
<u>M2M action code</u>	<u>1</u>	<u>Indicate the opportunity for the M2M device to send the uplink data</u> <u>0b0: No action required</u> <u>0b1: Send uplink data</u>
<u>Reserved</u>	<u>7</u>	
<u>}</u>		

**6.3.23 MS idle mode (optional)***Add new subclause 6.3.23.10***6.3.23.10 MS idle mode for M2M application**

M2M device-specific Idle mode timer for an M2M device may be assigned during idle mode initiation. In this case, the DREG-CMD message includes M2M device-specific Idle mode timer as 11.14.1. A network entity administering idle mode activity for the M2M device shall maintain idle mode system timer corresponding to M2M device-specific Idle mode timer to retain the M2M device's service and operational information. When the M2M device receives the DREG-CMD message with M2M device-specific Idle mode timer, the M2M device shall periodically perform location update prior to the expiration of the M2M device-specific Idle mode timer. At every location update including the paging group location update, the M2M device-specific Idle mode timer is restarted.

*Add new subclause 6.3.23.10.1***6.3.23.10.1 Network reentry from idle mode for M2M devices**

BS may assign ranging resources, including ranging code and ranging opportunity, dedicated for M2M devices. In this case, M2M devices perform ranging for network (re-)entry using dedicated ranging resources. If BS does not assign dedicated ranging resources, M2M devices perform ranging for network (re-)entry using the ranging resources defined in 6.3.10.3.

*Add new section after subclause 6.3.27*

### **6.3.28 Support of multicast operation for machine to machine application**

#### **6.3.28.1 M2M multicast operation in idle mode**

A BS may provide a multicast service for M2M devices in idle mode with or without requiring network reentry of the M2M devices. Before a BS sends DL multicast data, the BS may transmit the paging message including the multicast traffic indication to M2M devices during the paging listening intervals of the M2M devices. If an M2M device receives the paging message indicating multicast traffic reception without network reentry during its paging listening interval, the M2M device shall start receiving the DL multicast data without the idle mode termination.

The Multicast transmission start time TLV may be included in the paging message in order to indicate when the DL multicast data is sent by the BS. The value of Multicast transmission start time TLV shall be less than the start time of the next paging listening interval of the devices receiving the MOB\_PAG-ADV message. The M2M device may power down until the frame indicated by the Multicast transmission start time TLV in the MOB\_PAG-ADV message.

## 8. Physical layer (PHY)

### 8.4.5.4.4 UL-MAP Extended IE

#### 8.4.5.4.4.1 UL-MAP Extended IE format

*Modify Table 381 as indicated*

**Table 381—Extended UIUC code assignment for UIUC = 15**

Extended UIUC (hexadecimal)	Usage
0x0	Power Control IE
0x1	<i>Reserved</i>
0x2	AAS UL IE
0x3	CQICH Allocation IE
0x4	UL Zone IE
0x5	UL-MAP Physical Modifier IE
0x6	<i>Reserved</i>
0x7	UL-MAP Fast Tracking IE
0x8	UL PUSC Burst Allocation in Other Segment IE
0x9	Fast Ranging IE
0xA	UL Allocation Start IE
<u>0xB</u>	<u>Dedicated Ranging Channel for M2M IE</u>
0xC ... 0xF	<i>Reserved</i>

*Add new subclause as indicated*

#### **8.4.5.4.4.30 M2M Ranging Allocation UL-MAP Extended IE format**

The M2M Ranging Allocation UL-MAP Extended IE is used to indicate if the allocated ranging channel is used for M2M devices.

**Table 426a—M2M Ranging Allocation UL-MAP Extended IE format**

<u>Syntax</u>	<u>Size (bit)</u>	<u>Notes</u>
<u>M2M Ranging Allocation UL-MAP Extended IE()</u>	=	=



**Table 426a—M2M Ranging Allocation UL-MAP Extended IE format**

<u>Syntax</u>	<u>Size (bit)</u>	<u>Notes</u>
<u>Extended UIUC</u>	<u>4</u>	<u>M2M Ranging Allocation UL-MAP Extended = 0xB</u>
<u>Length</u>	<u>4</u>	<u>Length is TBD</u>
<u>OFDMA Symbol offset</u>	<u>8</u>	<u>=</u>
<u>Subchannel offset</u>	<u>7</u>	<u>=</u>
<u>No. OFDMA Symbols</u>	<u>7</u>	<u>=</u>
<u>No. Subchannels</u>	<u>7</u>	<u>=</u>
<u>Ranging Method</u>	<u>2</u>	<u>0b00: Initial ranging/Handover Ranging over two symbols</u> <u>0b01: Initial ranging/Handover Ranging over four symbols</u> <u>0b10-0b11: reserved</u>
<u>Dedicated ranging indicator</u>	<u>1</u>	<u>0: The OFDMA region and ranging method defined are used for the purpose of normal ranging</u> <u>1: The OFDMA region and ranging method defined are used for the purpose of ranging using dedicated CDMA code and transmission opportunities assigned in the MOB_PAG-ADV message</u>
<u>M2M Dedicated Ranging Allocation Indicator</u>	<u>1</u>	<u>When this bit is set to 1, the ranging allocation defined by OFDMA UL-MAP IE format shall not be used by M2M devices</u>
<u>↓</u>		

## 11. TLV encodings

### 11.3 UCD management message encodings

#### 11.3.1 UCD channel encodings

*Add a new parameter at the end of Table 568 as indicated*

**Table 568—UCD channel encodings**

Name	Type (1 byte)	Length	Value
<u>M2M Ranging Region</u>	<u>25</u>	<u>6</u>	Bit 0: dedicated ranging indicator <u>Bits 1-2: ranging method</u> <u>Bits 2-9: num subchannels</u> <u>Bits 10-16: num OFDMA symbols</u> <u>Bits 17-23: subchannel offset</u> <u>Bits 24-31: OFDMA symbol offset</u> <u>Bits 32-34: Parameter d that defines period-</u> <u>icity of 2<sup>d</sup> frames</u> <u>Bits 35-39: Allocation phase expressed in</u> <u>frames</u> $0 \leq \text{Allocation Phase} < \text{periodicity}(= 2^d)$ <u>Bit 40: When this bit is set to 1, the ranging</u> <u>allocation defined by Ranging Region TLV</u> <u>Type = 212 shall not be used by M2M</u> <u>devices</u> <u>Bits 41-47: Reserved</u>

#### 11.14 DREG-CMD/REQ message encodings

*Add a new section at the end of 11.14*

##### 11.14.1 M2M device-specific Idle mode timer

Name	Type	Length	Value	Scope
<u>M2M device-spe-</u> <u>cific Idle mode timer</u>	<u>53</u>	<u>3</u>	<u>Length of the maximum interval</u> <u>between location update while the</u> <u>M2M device in idle mode</u>	<u>DREG-CMD</u>

#### 11.17 MOB\_PAG-ADV management message encodings

*Insert new subclause after subclause 11.17.2*

### 11.17.3 M2M group paging parameter

The following M2M group paging parameter TLV may be included in MOB\_PAG-ADV message.

Name	Type	Length	Value	Scope
<u>M2M group paging parameter</u>	<u>153</u>	<u>Variable</u>	<u>Compound TLV to be used in M2M group paging operation</u>	<u>MOB_PAG-ADV</u>

The following TLV element shall appear in each M2M group paging parameter TLV.

Name	Type	Length	Value
<u>MGID</u>	<u>153.1</u>	<u>2</u>	<u>Bits 0-14: Indicates M2M Group ID;</u> <u>Bit 15: Padding. Will be set to 0</u>
<u>Action code</u>	<u>153.2</u>	<u>1</u>	<u>Bits 0-1: Indicates Action code for the</u> <u>M2M Group ID</u> <u>0b00 - Performing network reentry</u> <u>0b01 - Performing location update</u> <u>0b10 - Receiving multicast traffic</u> <u>0b11 - Reserved</u> <u>Bits 2-7: Padding. Will be set to 0</u>

The following TLV element may appear in each M2M group paging parameter TLV.

Name	Type	Length	Value
<u>Multicast transmission start time (MTST)</u>	<u>153.3</u>	<u>1</u>	<u>Least significant 8 bits of the frame number</u> <u>in which the ABS starts sending DL multi-</u> <u>cast data</u>

## 16. WirelessMAN-Advanced Air Interface

### 16.1 Introduction

### 16.2 Medium access control

#### 16.2.1 Addressing

##### 16.2.1.2 Logical Identifiers

##### 16.2.1.2.1 Station Identifier (STID)

*Insert the following texts at the end of the first paragraph of 16.2.1.2.1*

The STID is also used to identify the M2M devices in the domain of the ABS.

*Insert new subclause as indicated*

##### 16.2.1.3 Address for machine to machine application

##### 16.2.1.3.1 M2M Group Identifier (MGID)

A 15-bit value that uniquely identifies an M2M group in the domain of the network entity that assigns MGID, which one or more M2M devices belong to. This ID is used to identify a group of M2M devices.

An MGID is assigned to an M2M device by a network entity during initial network entry and released during an explicit network exit (e.g., power down location update) or when the device enters DCR mode. The MGID assignment procedure and release procedure are TBD. The assigned MGID shall be retained by an M2M device even in idle state unless the M2M device exits from the network. The MGID can be re-assigned. During connected mode, the MGID may be added and changed by DSA and DSC procedure respectively.

#### 16.2.2 MAC PDU formats

#### 16.2.3 MAC Control messages

##### 16.2.3.1 AAI-RNG-REQ

*Modify Table 678 as indicated*

Table 678—AAI-RNG-REQ Message Field Description

Field	Size (bits)	Value	Condition
Ranging purpose indication	4	0b0000 = Initial network entry 0b0001 = HO reentry 0b0010 = Network reentry from idle Mode ... 0b1101 = NS/EP call setup 0b1110 - 0b1111 = reserved	
...	...		...
} else if (Ranging Purpose Indication == 0b0010) {			
if (S-SFH Network Configuration bit == 0b1 or AMSID privacy is disabled){			
AMS MAC address	48		
} else {			
Deregistration Identifier (DID)	18		
}			
<u>MFM bitmap</u>	<u>2</u>	<u>Maximum of 2 distinct concurrent MFM are allowed with MFM_bitmap.</u> <u>LSB #0: MFM 0</u> <u>LSB #1: MFM 4</u>	<u>Present if MFM 0 or MFM 4 are supported by a fixed M2M device</u>
<u>If (LSB#0 in MFM_bitmap == 1){</u>			
<u>Wideband CQI</u>	<u>4</u>		
<u>Wideband STC rate</u>	<u>3</u>	<u>.'STC rate - 1.' mapped to 3-bit unsigned integer (i.e., STC rate=1 as 0b000 ~ STC rate=8 as 0b111)</u>	
<u>1</u>			
<u>If (LSB#1 in MFM_bitmap == 1){</u>			
<u>Wideband CQI</u>	<u>4</u>		
<u>Wideband STC</u>	<u>3</u>	<u>.'STC rate - 1.' mapped to 3-bit unsigned integer (i.e., STC rate=1 as 0b000 ~ STC rate=8 as 0b111)</u>	
<u>Wideband PMI</u>	<u>6</u>	<u>wideband preferred matrix index (PMI), size of which is number of PMI bits ('NB.') used, mapped to NB LSB bits of this field, while the remaining MSB bit(s) set to zero(0)</u>	

**Table 678—AAI-RNG-REQ Message Field Description**

Field	Size (bits)	Value	Condition
1			
Paging Controller ID			
...			
<u>Bandwidth Request Indicator</u>	1	1: indicates BW grant is required for transmission of BR header after completion of network reentry	<u>Optional</u>
} else if (Ranging Purpose Indication == 0b0011 0b0110 0b0111 0b1011) {		// Idle mode location update (and with other additional purposes)	
...	...		...

**16.2.3.2 AAI-RNG-RSP***Modify Table 679 as indicated***Table 679—AAI-RNG-RSP message Field Description**

Field	Size (bits)	Value/Description	Condition
...		...	...
<u>Unsolicited bandwidth grant indicator</u>	1	1: indicates an unsolicited BW grant will be available for transmission of BR header without request from AMS during network entry or indicates an unsolicited BW grant will be available for transmission of BR header without request from M2M device during network reentry from idle mode	<u>Shall be included when AMS is attempting network entry</u> <u>Shall be included if bandwidth request indicator is included in AAI-RNG-REQ when M2M device is attempting network reentry from idle mode</u>
...		...	...

**16.2.3.22 AAI-DREG-RSP message***Modify Table 699 as indicated*

**Table 699—AAI-DREG-RSP message format**

Field	Size (bits)	Value/Description	Condition
Action Code	4	<p>Used to indicate the purpose of this message</p> <p>0x00: AMS shall immediately terminate service with the ABS and should attempt network entry at another ABS</p> <p>0x01: AMS shall listen to the current ABS but shall not transmit until a RES-CMD message or AAI-DREG-RSP message with action code 0x02 or 0x03 is received.</p> <p>0x02: AMS shall listen to the current ABS but only transmit on the control connection.</p> <p>0x03: AMS shall return to normal operation and may transmit on any of its active connections.</p> <p>0x04: This option is valid in response to a AAI-DREG-REQ message with De-registration_Request_Code=0x00. The AMS shall terminate current Connected State with the ABS.</p> <p>0x05: AMS shall begin idle mode initiation: a) to signal AMS to begin idle mode in unsolicited manner or b) to allow AMS to transmit AMS-initiated idle mode request at the REQ-Duration expiration</p> <p>0x06: This option is valid only in response to a AAI-DREG-REQ message with De-registration_Request_Code 0x01: a) to reject AMS-initiated idle mode request or b) to allow AMS to transmit AMS-initiated idle mode request at the REQ-Duration expiration</p> <p>0x07: This option is valid in response to a AAI-DREG-REQ message with De-registration_Request_Code= 0x01 to allow AMS-initiated idle mode request.</p> <p>0x08: This option is valid only in response to an AAI-DREG-REQ message with De-registration_Request_Code 0x04 to allow retention of the AMS's connection information</p> <p>0x09: This option is valid only in response to an AAI-DREG-REQ message with De-registration_Request_Code 0x04 to reject retention of the AMS's connection information.</p> <p>0x10-0x15: reserved</p>	
If (Action Code == 0x05) {			

**Table 699—AAI-DREG-RSP message format**

Field	Size (bits)	Value/Description	Condition
Paging cycle	4	Used to indicate Paging cycle for the AMS 0x00: 4 superframes 0x01: 8 superframes 0x02: 16 superframes 0x03: 32 superframes 0x04: 64 superframes 0x05: 128 superframes 0x06: 256 superframes 0x07: 512 superframes <u>0x08: 32768 superframes</u> <u>0x09: 262144 superframes</u> <u>0x10: 4194304 superframes</u> <u>0x11-0x15: reserved</u>	<u>Values 0x08-0x10 may be applied to M2M devices only.</u>
Paging offset	12	Used to indicate Paging offset for the AMS. Determines the superframe within the paging cycle from which the paging listening interval starts. Shall be smaller than Paging cycle value.	
<u>M2M paging offset</u>	<u>10</u>	<u>Used to indicate the superframe within the paging cycle at which the M2M device's paging listening interval starts. The superframe is determined by concatenating the M2M paging offset field and the Paging offset field. M2M paging offset shall be interpreted as the MSB. Shall be smaller than Paging cycle value.</u>	<u>May be present when the Paging cycle value is set to 0x08, 0x09, or 0x10</u>
Paging controller ID	48	Used to indicate Paging controller which manages and retains the AMS's idle mode information $0..2^{48}-1$	
Paging group ID	16	Used to indicate Paging group which the AMS is located in $0..2^{16}-1$	
Deregistration ID	18	Used to indicate Deregistration ID used to identify the AMS in idle mode $0..2^{18}-1$	Present when the S-SFH Network Configuration bit == 0b0



**Table 699—AAI-DREG-RSP message format**

Field	Size (bits)	Value/Description	Condition
Idle Mode Retain Information element	5	<p>Provided as part of this message indicative only. Network reentry from idle mode process requirements may change at time of actual reentry. For each bit location, a value of 0 indicates the information for the associated reentry control messages shall not be retained and managed; a value of 1 indicates the information for the associated reentry control message shall be retained and managed.</p> <p>Bit 0: Retain AMS service and operational information associated with AAI-SBC-REQ/RSP messages.</p> <p>Bit 1: Retain AMS service and operational information associated with AAI-PKM-REQ/RSP messages.</p> <p>Bit 2: Retain AMS service and operational information associated with AAI-REG-REQ/RSP messages.</p> <p>Bit 3: Retain AMS service and operational information associated with network address.</p> <p>Bit 4: Retain AMS state information. The information retained by setting bit 4 includes configuration of all Service Flows in the AMS as set by successful AAI-DSA and AAI-DSC transactions. In particular it includes FIDs and related description (QoS descriptors and CS classifier information)</p>	
REQ-Duration	8	Used to indicate waiting value for the AAI-DREG-REQ message with De-registration_Request_Code=0x01 0..2 <sup>8</sup> -1: measured in frames	present if needed
<u>M2M device-specific Idle Mode Timer</u>	<u>24</u>	<u>Length of the maximum interval between location update while the M2M device in idle mode</u>	<u>May present when the M2M device enters idle mode</u>
}			
If (Action Code == 0x06) {			
REQ-Duration	8	Used to indicate waiting value for the AAI-DREG-REQ message with De-registration_Request_Code=0x01 0..2 <sup>8</sup> -1: measured in frames	present if needed
}			
If (Action Code == 0x07) {			

**Table 699—AAI-DREG-RSP message format**

Field	Size (bits)	Value/Description	Condition
Paging cycle	4	Used to indicate Paging cycle for the AMS 0x00: 4 superframes 0x01: 8 superframes 0x02: 16 superframes 0x03: 32 superframes 0x04: 64 superframes 0x05: 128 superframes 0x06: 256 superframes 0x07: 512 superframes <u>0x08: 32768 superframes</u> <u>0x09: 262144 superframes</u> <u>0x10: 4194304 superframes</u> <u>0x11-0x15: reserved</u>	<u>Values 0x08-0x10 may be applied to M2M devices only.</u>
Paging offset	12	Used to indicate Paging offset for the AMS. Determines the superframe within the paging cycle from which the paging listening interval starts. Shall be smaller than Paging cycle value.	
<u>M2M paging offset</u>	<u>10</u>	<u>Used to indicate the superframe within the paging cycle at which the M2M device's paging listening interval starts. The superframe is determined by concatenating the M2M paging offset field and the Paging offset field. M2M paging offset shall be interpreted as the MSB. Shall be smaller than Paging cycle value.</u>	<u>May be present when the Paging cycle value is set to 0x08, 0x09, or 0x10</u>
Paging controller ID	48	Used to indicate Paging controller which manages and retains the AMS's idle mode information $0..2^{48}-1$	
Paging group ID	16	Used to indicate Paging group which the AMS is located in $0..2^{16}-1$	
Deregistration ID	18	Used to indicate Deregistration ID used to identify the AMS in idle mode $0..2^{18}-1$	Present when the S-SFH Network Configuration bit == 0b0

**Table 699—AAI-DREG-RSP message format**

Field	Size (bits)	Value/Description	Condition
Idle Mode Retain Information element	5	<p>Provided as part of this message indicative only. Network reentry from idle mode process requirements may change at time of actual reentry. For each bit location, a value of 0 indicates the information for the associated reentry control messages shall not be retained and managed; a value of 1 indicates the information for the associated reentry control message shall be retained and managed.</p> <p>Bit 0: Retain AMS service and operational information associated with AAI-SBC-REQ/RSP messages.</p> <p>Bit 1: Retain AMS service and operational information associated with AAI-PKM-REQ/RSP messages.</p> <p>Bit 2: Retain AMS service and operational information associated with AAI-REG-REQ/RSP messages.</p> <p>Bit 3: Retain AMS service and operational information associated with network address.</p> <p>Bit 4: Retain AMS state information. The information retained by setting bit 4 includes configuration of all Service Flows in the AMS as set by successful AAI-DSA and AAI-DSC transactions. In particular it includes FIDs and related description (QoS descriptors and CS classifier information)</p>	
<u>M2M device-specific Idle Mode Timer</u>	<u>24</u>	<u>Length of the maximum interval between location update while the M2M device in idle mode</u>	<u>May present when the M2M device enters idle mode</u>
<u>Transmission Type</u>	<u>1</u>	<u>0 : Reserved</u> <u>1 : Allowed to send data only after receiving paging message with M2M report code 0b1</u>	<u>Present if needed</u>
<u>Max number of paging cycle</u>	<u>16</u>	<u>This is for M2M device to wait for AAI-PAG-ADV with M2M report code 0b1. See 16.2.18.7.1. The unit is the duration of the paging cycle.</u>	<u>Present if Transmission Type is set to 1</u>
}			

**16.2.3.23 AAI-PAG-ADV (paging advertisement) Message***Modify Table 700 as indicated*

**Table 700—AAI-PAG-ADV message field description**

Field	Size (bits)	Value/Description	Condition
...	...	...	...
<u>For (i=0; i&lt;Num_MGID; i++) {</u>		<u>Num_MGID indicates the number of MGIDs included in this paging message [0..63]</u>	<u>Shall be included if the ABS sends DL multicast data for M2M after transmission of the AAI-PAG-ADV message.</u>
<u>MGID</u>	<u>15</u>	<u>M2M Group ID</u>	
<u>Action Code</u>	<u>2</u>	<u>0b00: Performing network re entry</u> <u>0b01: Performing location update</u> <u>0b10: Receiving multicast traffic</u> <u>0b11: reserved</u>	
<u>M2M report code</u>	<u>1</u>	<u>Indicate the opportunity for the M2M device to send the uplink report</u> <u>0b0: No action required</u> <u>0b1: Send uplink report</u>	<u>Present if M2M is supported</u>
<u>If (Action Code == 0b10) {</u>			
<u>Multicast transmission start time (MTST)</u>	<u>8</u>	<u>Least significant 8 bits of the frame number in which the ABS starts sending DL multicast data.</u>	<u>Shall be present when the MTST needs to be included in this message.</u>
<u>}</u>			
...	...	...	...
}			

**16.2.3.24 PGID-Info (paging group information) Message***Modify Table 701 as indicated***Table 701—PGID-Info Message Field Description**

Field	Size(bits)	Value/Description	Condition
For(i=0;i<Num_PGIDs; i++){			
PGID	16		

**Table 701—PGID-Info Message Field Description**

Field	Size(bits)	Value/Description	Condition
m	2		
<u>Group paging indicator</u>	<u>1</u>	<u>Indicate the location of group paging message.</u> <u>0: the first among frames determined by the value of 'm'</u> <u>1: the last among frames determined by the value of 'm'</u>	<u>Present when group paging is supported.</u>
}			
...			
} // End If (an ABS supports multiple carrier operation)			
<u>Paging Message Indication</u>	<u>1</u>	<u>1: Paging message which is notifying the M2M devices will not be transmitted in the current superframe.</u>	<u>This parameter shall be presented when the paging message does not transmit in the current superframe.</u> <u>This parameter is only useful for the M2M device.</u>

**16.2.3.31 AAI-System Configuration Descriptor (SCD) Message**

*Add a new parameter at the end of Table 708 as indicated*

**Table 708—AAI-SCD Message Field Description**

Field	Size (bits)	Value/Description	Condition
<u>MSB of the extended superframe number for M2M</u>	<u>10</u>	<u>The 10 MSB of the extended superframe number, which is a 22-bit number obtained by concatenating this value with the superframe number as signaled by the P-SFH and S-SFH SP1.</u>	

**16.2.3.47 DSx MAC Control Message****16.2.3.47.1 AAI-DSA-REQ**

*Add new paramter at the end of Table 734 as indicated*

**Table 734—AAI-DSA-REQ Message Field Description**

Field	Size(bits)	Value/Description	Condition
...	...	...	...
<u>MGID</u>	<u>15</u>	<u>MGID to be added</u>	<u>Shall be present if this service flow is related with M2M multicast service.</u>

**16.2.3.47.4 AAI-DSC-REQ**

*Add new parameter at the end of Table 737 as indicated*

**Table 737—AAI-DSC-REQ Message Field Description**

Field	Size(bits)	Value/Description	Condition
...	...	...	...
<u>MGID</u>	<u>15</u>	<u>MGID to be changed to</u>	<u>Shall be present if MGID needs to be changed</u>

**16.2.4 Construction and Transmission of MAC PDUs****16.2.5 AAI Security**

*Add new section as indicated*

**16.2.5.5 Security Support for Multi-cast Traffic**

Security for Multi-cast traffic provides encryption and integrity protection of such data information for secure group informing and management. A common security key is used by devices within a group.

**16.2.5.5.1 Key Derivation**

The key hierarchy defines what keys are present in the system for Multi-cast traffic and how keys are generated. The BS may derive the Group Master Key (GMK) from the M2M authentication server or generate it locally. The group traffic encryption key (GTEK) is derived directly from the GMK.

**16.2.5.5.1.1 GTEK Derivation**

The GTEK is the transport encryption key used to encrypt Multi-cast data. The GTEK (Group Traffic Encryption Key) is derived based on the GMK (Group Master Key). The GMK is provided by the ABS during the network entry through the AAI-REG-RSP message, which also includes GTEK\_COUNT and MGID.

The GTEK derivation is done:

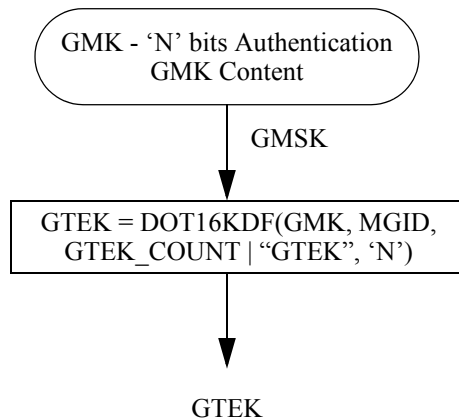
$$\text{GTEK}_i = \text{Dot16KDF}(\text{GMK}, \text{MGID}, \text{GTEK\_COUNT} \parallel \text{"GTEK"}, 128) \quad (1)$$

Where:

- GMK is the Group Master Key.
- GTEK\_COUNT is a counter used to derive different GTEKs for the same GMK. the value of the counter is changed every time a new GTEK need to be derived within the time the same GMK is valid.
- MGID is the identifier of the group, which the AMS and GMK is associated with

#### 16.2.5.5.2 Key Hierarchy

Figure 411a outlines the process to calculate the GTEK based on a GMK provided by the ABS.



**Figure 411a—GTEK derivation from GMK**

#### 16.2.5.5.3 GTEK Key Usage

The GTEK is used for encrypting DL multi-cast data by the ABS, which is also used for decrypting such DL multi-cast data by the AMS.

Each GTEK has its own PN counter size of 22 bits.

##### 16.2.5.5.3.1 GTEK Update

The GTEK update is triggered whenever GTEK is running out the relevant PN space.

##### 16.2.5.5.3.2 Key Update during Location Update

The AMS shall include its current GTEK\_COUNT in the AAI-RNG-REQ message during location update to the ABS. If ABS detects that the AMS has an old GTEK\_COUNT, the ABS shall include the current GTEK\_COUNT of the GMK in the AAI-RNG-RSP message and send it to the AMS. Otherwise, no GTEK update will be performed.

### **16.2.5.5.3.3 Key Update during Handover**

During handover, the serving ABS shall include the new GTEK information via AAI-HO-MCD message, if the MGID of the AMS changes. If the MGID does not change for AMS, the serving ABS shall indicate that no change of GTEK is required.

### **16.2.6 MAC HO procedures**

### **16.2.7 Persistent Scheduling in the Advanced Air Interface**

### **16.2.8 Multicarrier operation**

### **16.2.9 Group Resource Allocation**

### **16.2.10 Connection Management**

### **16.2.11 Bandwidth Request and Allocation Mechanism**

### **16.2.12 Quality of Service (QoS)**

### **16.2.13 ARQ mechanism**

### **16.2.14 HARQ functions**

### **16.2.15 Network entry and initialization**

### **16.2.16 Periodic ranging**

### **16.2.17 Sleep mode**

### **16.2.18 Idle mode**

*Add new section and text*

#### **16.2.18.7 Idle mode for M2M application**

The procedures described in this subsection shall apply to M2M devices. In case there is a contradiction between this subsection and other subsections of 16.2.18, the procedures described in this subclause shall take precedence.

M2M device-specific Idle Mode Timer for the M2M device may be assigned during idle mode initiation. In this case, the AAI-DREG-RSP message includes M2M device-specific Idle Mode Timer. When the M2M device receives the AAI-DREG-RSP message with M2M device-specific Idle Mode Timer, the M2M device shall perform location update prior to the expiration of the M2M device-specific Idle Mode Timer. At every location update including the paging group location update, the M2M device-specific Idle Mode Timer is restarted.

#### **16.2.18.7.1 Paging operation**

Group paging may be used for M2M devices. For this, M2M Group Identifier (MGID) defined in 16.2.1.3.1 may be included in a paging message instead of an individual identifier to identify the group of M2M devices. In order to ensure that group paging message is received correctly, the 16p BS shall transmit the location information of the paging message including M2M Group Identifiers (MGIDs). A M2M device



which belongs to one or more M2M groups shall monitor the pre-determined frame for group paging based on the location information described by Group paging indicator in PGID-Info message.

Paging Message Indication of PGID-Info message may be used for M2M devices. When the ABS does not have the AAI-PAG-ADV message for notifying the M2M devices in the current superframe, Paging Message Indication is set to 1. If the M2M device checks that Paging Message Indication is set to 1 in the PGID-Info message, M2M device shall not decode the AAI-PAG-ADV message during the Paging Listening Interval and return to the Paging Unavailable Interval.

AAI-PAG-ADV with M2M report code set to 0b1 may be used to poll M2M devices for periodic uplink non-realtime data transmission for fixed devices. The interval of periodic uplink data transmission should be longer than or equal to the paging cycle. When a device receives the AAI-DREG-RSP message with the Transmission Type set to 1 and Max number of paging cycle attribute, the device may wait for the AAI-PAG-ADV with M2M report code = 1 at Max number of paging cycle  $\times$  paging cycle. If the device does not receive the AAI-PAG-ADV with M2M report code = 1, it should not send the uplink.

#### **16.2.18.7.2 Network re-entry from idle mode for M2M devices**

For network reentry from Idle Mode, ranging parameters may be different for M2M devices or M2M groups.

BS may assign ranging resources, including ranging code and ranging opportunity, dedicated for M2M devices. In this case, M2M devices perform ranging for network (re-)entry using dedicated ranging resources. When BS assigns the CDMA Allocation A-MAP IEs for RNG-REQ to those M2M devices, the opportunity index in RA-ID masked for the CDMA Allocation A-MAP IEs can be set to one of opportunity index '0b01' and '0b10'. In this case, the opportunity index for assignment of the dynamic NS-RCH shall be set to the other value. If BS does not assign dedicated ranging resources, M2M devices perform ranging for network (re-)entry using the ranging resources defined in Table 833 in 16.3.5.5.1.2.

For the network reentry indicated by a paging message that contains ranging configuration, the M2M device shall select a ranging opportunity according to the ranging configuration. Ranging configuration may include differentiated waiting offset time and backoff window size.

During network reentry, the M2M device may request UL BW grant without a contention-based bandwidth request by including Bandwidth Request Indicator in an AAI-RNG-REQ message. If an ABS receives the AAI-RNG-REQ message with Bandwidth Request Indicator set to 1, the ABS may allocate an UL bandwidth for transmission of BR without STID header, without a contention-based bandwidth request from the M2M device by setting the Unsolicited bandwidth grant indicator in an AAI-RNG-RSP message to the M2M device. If the Unsolicited bandwidth indicator is enabled, the ABS should allocate UL bandwidth within the BR grant time duration for transmission of the BR without STID header after receiving the AAI-RNG-RSP message.

The M2M device should monitor the A-MAP IE during the BR grant time duration for possible bandwidth allocation without performing any bandwidth request. If the M2M device fails to identify allocated bandwidth within the BR grant time duration, the M2M device shall perform contention based bandwidth request.

The BR grant timer in ABS is started when the ABS transmits the AAI-RNG-RSP message with the unsolicited bandwidth grant indicator set to 1 to the M2M device.

The BR grant timer in M2M device is started when the M2M device receives the AAI-RNG-RSP message with the unsolicited bandwidth grant indicator set to 1 sent to it.

**16.2.19 Deregistration with context retention (DCR) mode****16.2.20 Co-located coexistence (CLC)****16.2.21 Interference mitigation mechanism****16.2.22 MAC control reliability****16.2.23 Power management for active mode****16.2.24 Update of S-SFH IEs****16.2.25 Short Message Service****16.2.26 Coverage Loss Detection and Recovery from Coverage Loss****16.2.27 AMS deregistration****16.2.28 Support for Multicast Service***Add new section as indicated***16.2.28.4 Multicast Operation for machine to machine (M2M) applications**

Multicast Service for M2M applications provides an efficient method for concurrent transport of DL data common to M2M devices belonging to an M2M group using an MGID in an ABS. Multicast service is associated with an ABS and is offered in the downlink only. Each multicast connection is associated with a service flow provisioned with the QoS and traffic parameters for that service flow. Service flows to carry multicast data are instantiated on individual M2M devices participating in the service while in Connected State. During such instantiation, the M2M device learns the parameters that identify the service and associated service flows.

The ABS shall use a combination of MGID and FID to provide the multicast service. The same MGID and FID is assigned to a group of M2M devices that participate in the same multicast service and is assigned by a network during DSA procedure.

**16.2.28.4.1 Multicast operation**

An ABS may establish a DL multicast service by creating a multicast connection with each M2M device to be associated with the service. Any available FID may be used for the multicast service (i.e., there are no dedicated FIDs for multicast transport connections). The multicast connection shall be established using a combination of MGID and FID assigned through AAI-DSA MAC control. Since a multicast connection is associated with a service flow, it is associated with the QoS and traffic parameters of that service flow. For multicast connections, ARQ is not applicable, but a common security key is used to provide encryption and integrity protection for multicast traffic as described in 16.2.5.5.

**16.2.28.4.2 Multicast connection establishment**

When an M2M device registers to receive multicast services, the S-ABS or the M2M device may initiate the DSA procedure for multicast connections. The M2M device's discovery and registration of multicast services with the ABS through upper layer signaling are outside the scope of this standard.

The AAI-DSC messages are used to change multicast service flows, but the multicast service flows are not deleted unless the M2M device exits from a network or enters DCR mode. The M2M device shall retain ser-

1 vice flow information associated multicast service during idle mode if it supports DL multicast transmission  
2 during idle mode. The ABS shall send the AAI-DSA-REQ/RSP to the M2M device with the relevant multi-  
3 cast parameters including MGID.  
4

#### 5 **16.2.28.4.3 M2M Multicast operation in idle mode**

6  
7  
8 An M2M BS may provide the multicast service for M2M devices in idle mode with or without requiring net-  
9 work reentry of the M2M devices. Before an M2M BS sends DL multicast data, the M2M BS may transmit  
10 the paging message including the multicast traffic indication to M2M devices during the paging listening  
11 intervals of the M2M devices. If an M2M device receives the paging message indicating multicast traffic  
12 reception without network reentry during its paging listening interval, the M2M device shall start receiving  
13 the DL multicast data without the idle mode termination.  
14  
15

16  
17 The multicast transmission start time may be included in the paging message in order to indicate when the  
18 DL multicast data is sent by the BS. The value of multicast transmission start time shall be less than the start  
19 time of next paging listening interval of the devices receiving the AAI-PAG-ADV message. The M2M  
20 device may power down until the frame indicated by multicast transmission start time in the AAI-PAG-  
21 ADV message.  
22

#### 23 **16.2.28.4.4 Reliable multicast transmission for M2M applications**

24  
25  
26 An M2M BS shall provide the reliable transmission of the multicast traffic for M2M applications.  
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## 16.3 Physical layer

### 16.3.5 Downlink control structure

#### 16.3.5.5 DL Control Informaiton Elements

##### 16.3.5.5.2.4 Assignment A-MAP IE

*Modify Table 845 as indicated*

**Table 845—Descriptoin of the Masking Code for type indicator 010**

Decimal Value	Description
4094	Used to mask Broadcast Assignment A-MAP IE for multicast assignment for M2M application (i.e., Function Index = 0b11)
4095	Used to mask Broadcast Assignment A-MAP IE for multicast assignment (i.e., Function Index = 0b10)
Others	Reserved

##### 16.3.5.5.2.4.7 CDMA Allocation A-MAP IE

*Modify Table 853 as indicated*

**Table 853—CDMA Allocation A-MAP IE\***

Syntax	Size (bits)	Notes
CDMA_Allocation_A-MAP IE {		
A-MAP IE type	4	CDMA Allocation A-MAP IE
CDMA allocation indication	1	0b0: Bandwidth allocation in response to a received contention-based bandwidth request. 0b1: Bandwidth allocation in response to a received contention-based ranging request
<i>If (CDMA allocation indication == 0b0) {</i>		
...	...	...
<i>}</i>		
<i>Else if (CDMA allocation indication == 0b1) {</i>		

**Table 853—CDMA Allocation A-MAP IE\***

Syntax	Size (bits)	Notes
Uplink/Downlink Indicator	1	Indicates whether the following fields are for resource assignment in the uplink or in the downlink. 0b0: Uplink 0b1: Downlink
Resource Index	11	
<i>ISizeOffset</i>	5	
HFA	3	
<i>If (Uplink/Downlink Indicator==0b0) {</i>		
...	...	...
<i>} Else {</i>		
ACID	4	
AI_SN	1	
SPID	2	
Reserved	8	
<u>MEF</u>	1	<u>MIMO encoder format</u> <u>0b0: SFBC</u> <u>0b1: Vertical encoding</u>
<u>if (MEF == 0b1){</u>		
<u>Mt</u>	<u>3</u>	
<u>Reserved</u>	<u>4</u>	
<u>} else {</u>		
<u>Reserved</u>	<u>7</u>	
<u>}</u>		
<u>}</u>		
<u>}</u>		

*Insert the following texts at the end of section 16.3.5.5.2.4.7*

For M2M devices the DL HARQ burst signaled by the CDMA Allocation A-MAP IE is transmitted using MIMO encoder format and the modulation scheme indicated the CDMA Allocation A-MAP IE.

#### **16.3.5.5.2.4.13 Broadcast Assignment A-MAP IE**

*Modify Table 860 as indicated*

Table 860—Broadcast Assignment A-MAP IE\*

Syntax	Size (bit)	Notes
Broadcast_Assignment_AMAP_IE() {		
A-MAP IE Type	4	Broadcast Assignment A-MAP IE
Function Index	2	0b00: This IE carries broadcast assignment information 0b01: This IE carries handover ranging channel allocation information 0b10: This IE carries multicast assignment information 0b11: <del>reserved</del> This IE carries multicast assignment information for M2M application
...	...	...
} else if {Function Index == 0b10} {		
...	...	...
}		
Else {Function Index == 0b11		
<u>MGID</u>	<u>15</u>	
<u>Burst Size</u>	<u>6</u>	
<u>Resource Index</u>	<u>11</u>	
<u>Long_TTI_Indicator</u>	<u>1</u>	
<u>Reserved</u>	<u>1</u>	
<u>1</u>		

\*A 16 bit CRC is generated based on the randomized contents of the Broadcast Assignment A-MAP IE. The CRC is masked by the 16-bit CRC mask generated according to Table 843. If Function index == 0b00 or 0b01, the CRC is masked by the 16-bit CRC mask with masking prefix = 0b0 and message type indicator = 0b001. If Function index == 0b10 or 0b11, the CRC is masked by the 16-bit CRC mask with masking prefix = 0b0 and message type indicator = 0b010.