

---

Project	<b>IEEE 802.16 Broadband Wireless Access Working Group</b> < <a href="http://ieee802.org/16">http://ieee802.org/16</a> >	
Title	<b>Fault Management Procedure and Primitives</b>	
Date Submitted	<b>2005-11-15</b>	
Source(s)	Fu Yan	<a href="mailto:fy@huawei.com">fy@huawei.com</a>
	Zou Lan	<a href="mailto:zlan@huawei.com">zlan@huawei.com</a>
	HUAWEI	
	F4-5-A10R, Huawei Inc, Bantian,	Voice: 86-755-28971678
	Longgang, Shenzhen, 518129 P.R.C	Fax: 86-755-28972045
Re:	Contribution on comments to IEEE 802.16g	
Abstract	In this contribution, we describe the fault management procedure and service primitives that could be exchanged between the BS and the NCMS entities.	
Purpose	Adoption	
Notice	This document has been prepared to assist IEEE 802.16. It is offered as a basis for discussion and is not binding on the contributing individual(s) or organization(s). The material in this document is subject to change in form and content after further study. The contributor(s) reserve(s) the right to add, amend or withdraw material contained herein.	
Release	The contributor grants a free, irrevocable license to the IEEE to incorporate text contained in this contribution, and any modifications thereof, in the creation of an IEEE Standards publication; to copyright in the IEEE's name any IEEE Standards publication even though it may include portions of this contribution; and at the IEEE's sole discretion to permit others to reproduce in whole or in part the resulting IEEE Standards publication. The contributor also acknowledges and accepts that this contribution may be made public by IEEE 802.16.	
Patent Policy and Procedures	<p>The contributor is familiar with the IEEE 802.16 Patent Policy and Procedures (Version 1.0) &lt;<a href="http://ieee802.org/16/ipr/patents/policy.html">http://ieee802.org/16/ipr/patents/policy.html</a>&gt;, including the statement "IEEE standards may include the known use of patent(s), including patent applications, if there is technical justification in the opinion of the standards-developing committee and provided the IEEE receives assurance from the patent holder that it will license applicants under reasonable terms and conditions for the purpose of implementing the standard."</p> <p>Early disclosure to the Working Group of patent information that might be relevant to the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draft publication will be approved for publication. Please notify the Chair &lt;<a href="mailto:r.b.marks@ieee.org">mailto:r.b.marks@ieee.org</a>&gt; as early as possible, in written or electronic form, of any patents (granted or under application) that may cover technology that is under consideration by or has been approved by IEEE 802.16. The Chair will disclose this notification via the IEEE 802.16 web site &lt;<a href="http://ieee802.org/16/ipr/patents/notices">http://ieee802.org/16/ipr/patents/notices</a>&gt;.</p>	

---

# Fault Management Procedure and Primitives

Fu yan, Zou lan

**HUAWEI**

## 1. Problem Statement

The purpose of this contribution is to describe the fault management procedure and primitives that could be exchanged between the BS and the NCMS entities. This proposal makes it possible to perform the fault management as specified in the remainder of this document.

## 2. Summary of the Proposed Remedy

In this contribution, we define 5 primitives to support fault management between BS and NCMS which are described briefly in the following table.

Primitive	Direction	Primitive Contents
Fault Information	BS -> NCMS	MS/BS ID, Fault message
Fault_List_Request	BS <- NCMS	MS/BS ID, Request
Fault_List_Response	BS -> NCMS	MS/BS ID, Fault message
Fault_Control_Request	BS <- NCMS	MS/BS ID, Request
Fault_Control_Reponse	BS -> NCMS	MS/BS ID, Fault message

Figure1 shows the fault management procedure.

1. An MS may inform a BS of fault alarm event to indicate which MS has generated fault and what is the context of the fault alarm event. The ways an MS used to inform of a BS can be the MAC management message or the Trap message through SNMP protocol.
2. When a BS receives a MS's fault message, it will inform an NCMS entity as a Fault\_Information primitive.
3. Also, a BS may raise a fault alarm event, when it occurs, the BS will inform of an NCMS entity as a Fault\_Information primitive.
4. When a NCMS query about an MS or a BS, it will inform of the BS as a Fault\_List\_Request primitive.
5. When a BS receives a Fault\_List\_Request from the NCMS, it should send the MS a MAC management message for request if the NCMS's message indicates the target is a MS, and if the target is BS, the BS should feed back the fault message to the NCMS via a Fault\_List\_Response primitive.
6. When a NCMS operates an MS or a BS for controlling the fault, it should inform of the BS as a Fault\_Control\_Request primitive.
7. When a BS receives a Fault\_Control\_Request from the NCMS, it should send the MS a MAC management message for controlling operation if the NCMS's message indicates the target is a MS, and if the target is BS, the BS should feed back the result of operating to the NCMS via a Fault\_Control\_Response primitive.

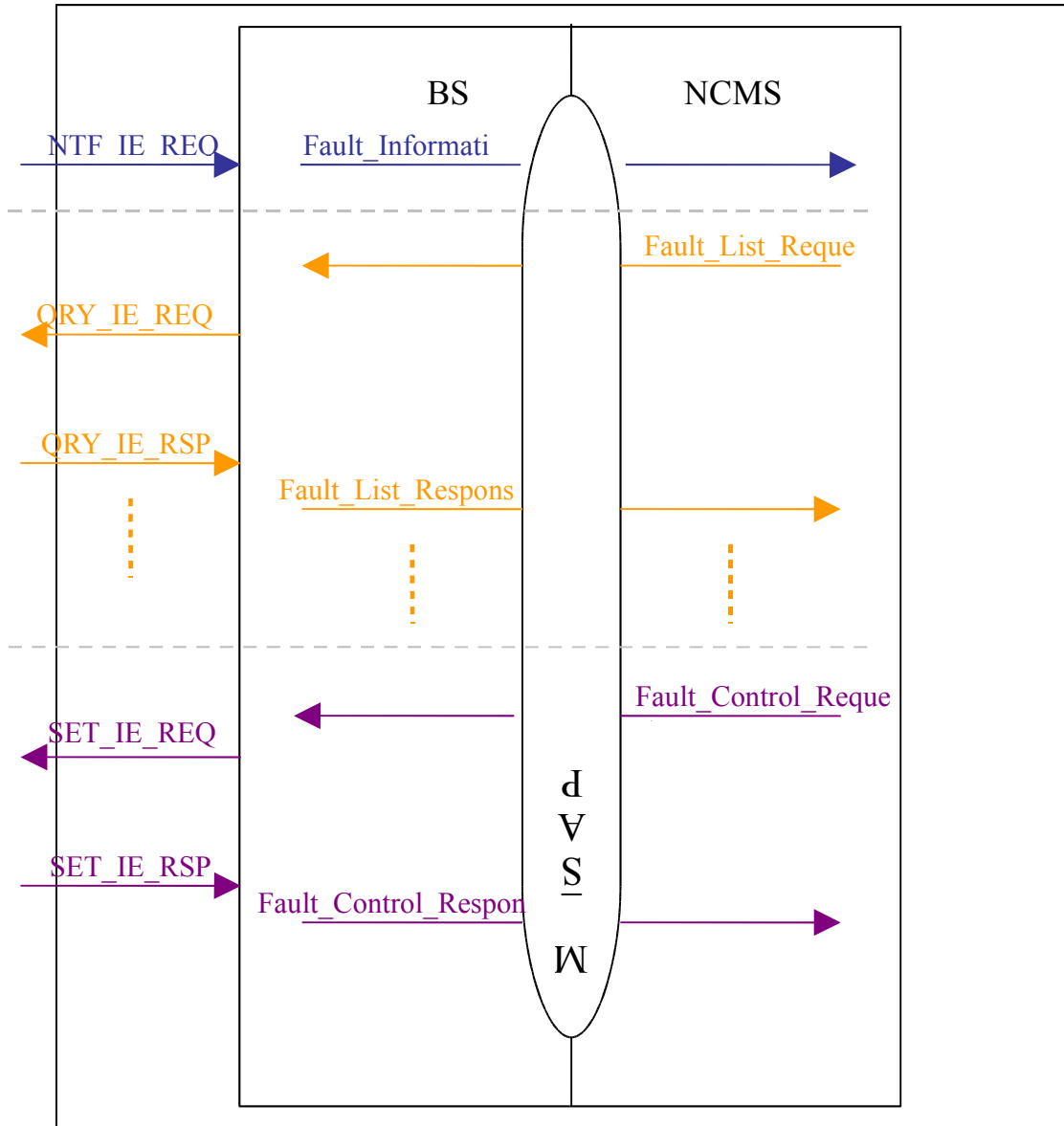


Figure 1. fault management procedure

### 3. Proposed Text Changes

[Insert section 14.5.1.1 as follow]

#### 14.5.1.1 Fault management Procedure

An MS may inform a BS of fault alarm event to indicate which MS has generated fault and what is the context of the fault alarm event. The ways an MS used to inform of a BS can be the MAC management message named NTF\_IE\_REQ.

When a BS receives a MS's fault message, it should inform of an NCMS entity as a Fault\_Information primitive.

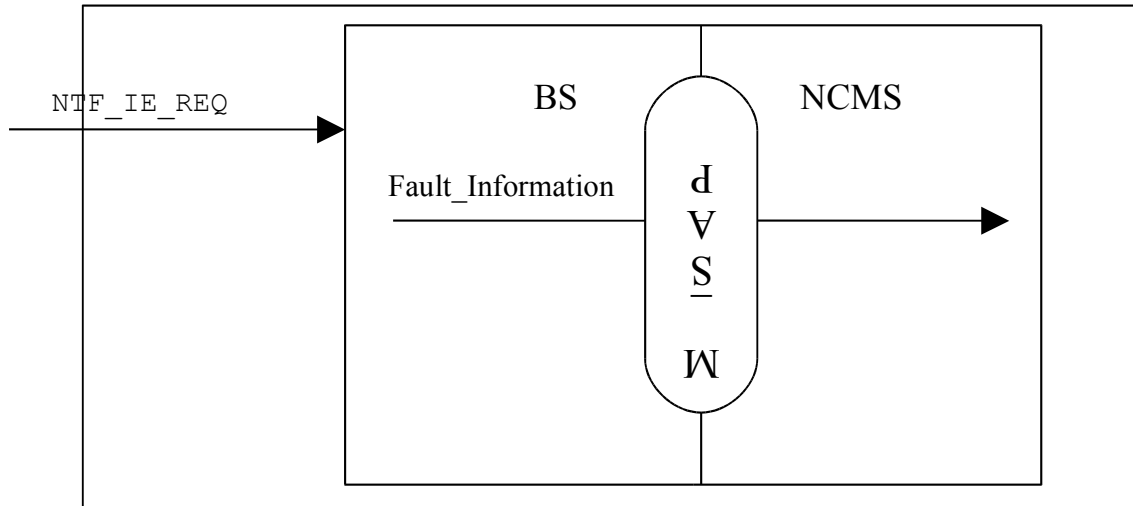


Figure X1. fault management procedure

Also, a BS may raise a fault alarm event, when it occurs, the BS should inform of an NCMS entity as a Fault\_Information primitive.

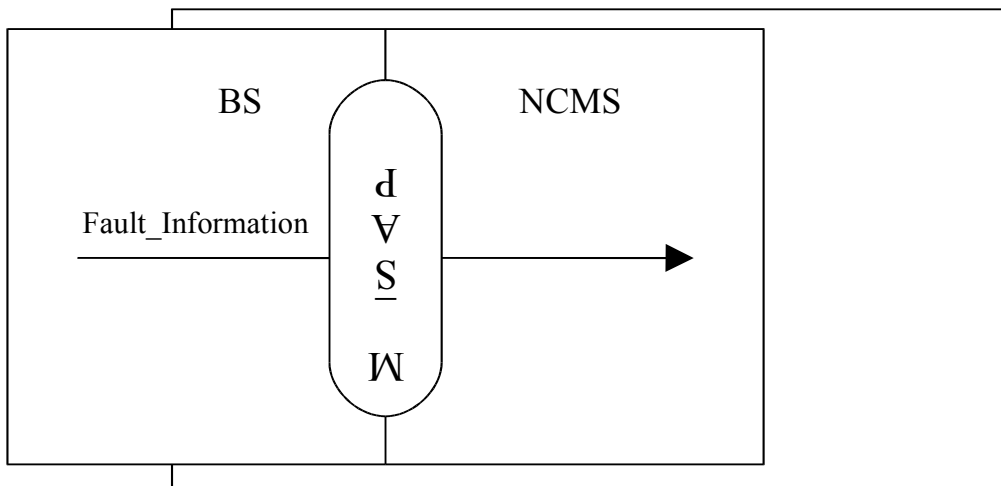


Figure X1. fault management procedure

When a NCMS query about an MS or a BS, it will inform of the BS as a Fault\_List\_Request primitive.

When a BS receives a Fault\_List\_Request from the NCMS and the request is for a MS, it should send the corresponding MS a MAC management message named QRY\_IE\_REQ.

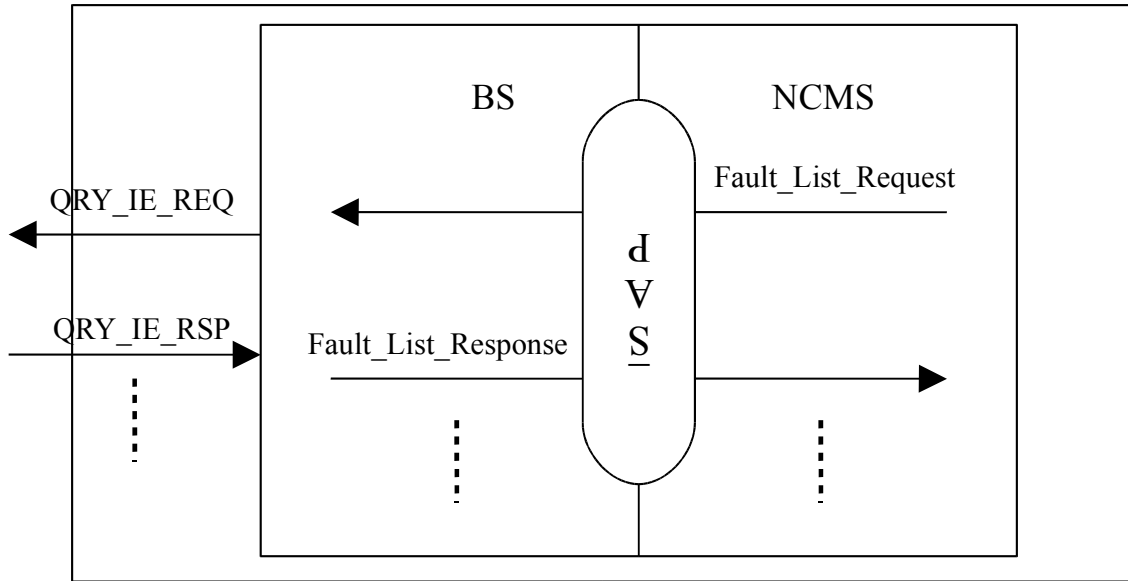


Figure X1. fault management procedure

When a NCMS query about an BS, it will inform of the BS as a Fault\_List\_Request primitive.

When a BS receives a Fault\_List\_Request from the NCMS, if the target is BS, the BS should feed back the fault message to the NCMS via a Fault\_List\_Response primitive.

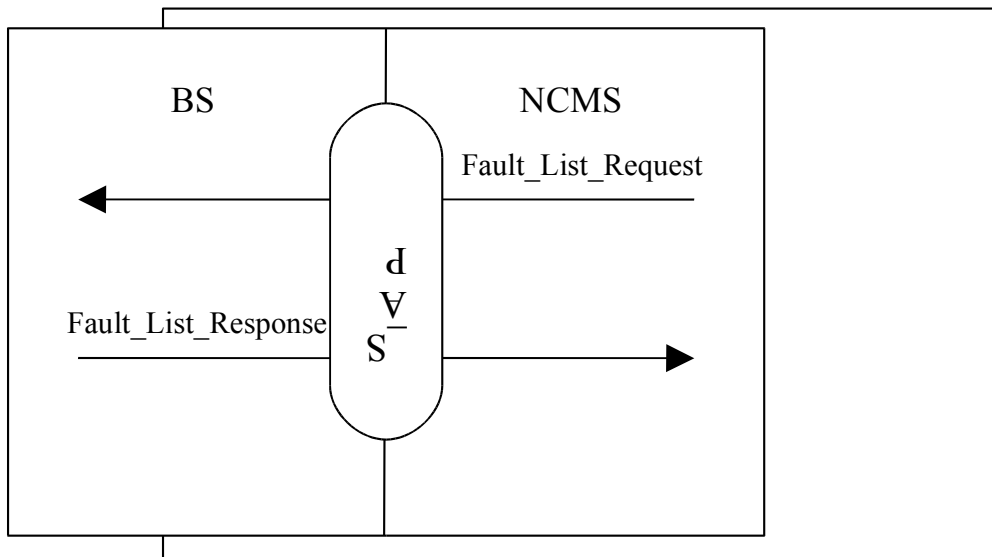


Figure X1. fault management procedure

When a NCMS operates an MS or a BS for controlling the fault, it should inform of the BS as a Fault\_Control\_Request primitive.

When a BS receives a Fault\_Control\_Request from the NCMS and the control request is for MS, it should send the corresponding MS a MAC management message named SET\_IE\_REQ or SNMP message for controlling operation.

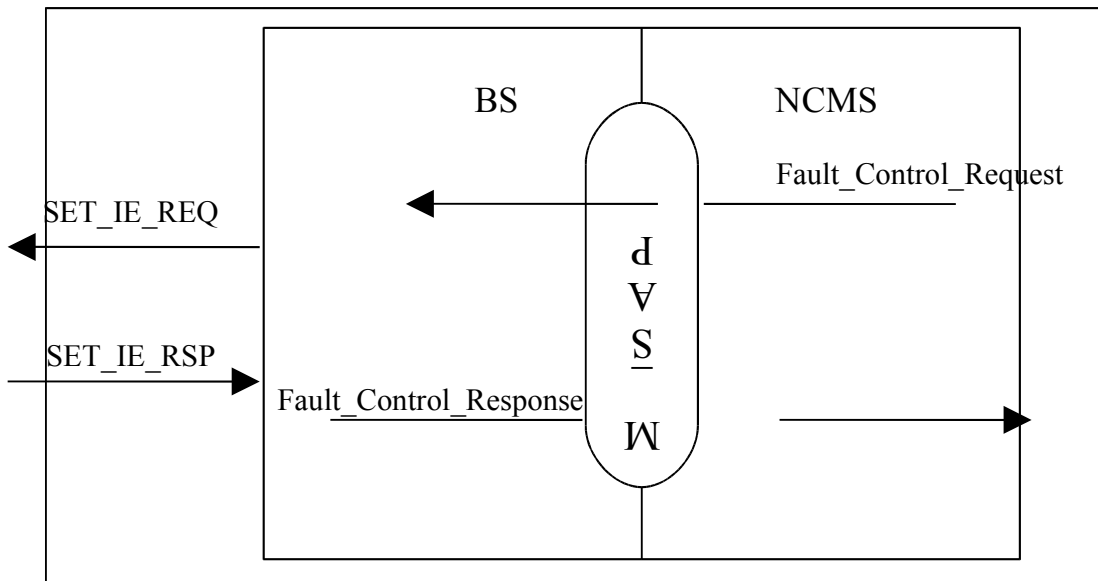


Figure X1. fault management procedure

When a NCMS operates an BS for controlling the fault, it should inform of the BS as a **Fault\_Control\_Request** primitive.

When a BS receives a **Fault\_Control\_Request** from the NCMS, if the NCMS's message indicates the target is a BS, the BS should feed back the result of operating to the NCMS via a **Fault\_Control\_Response** primitive.

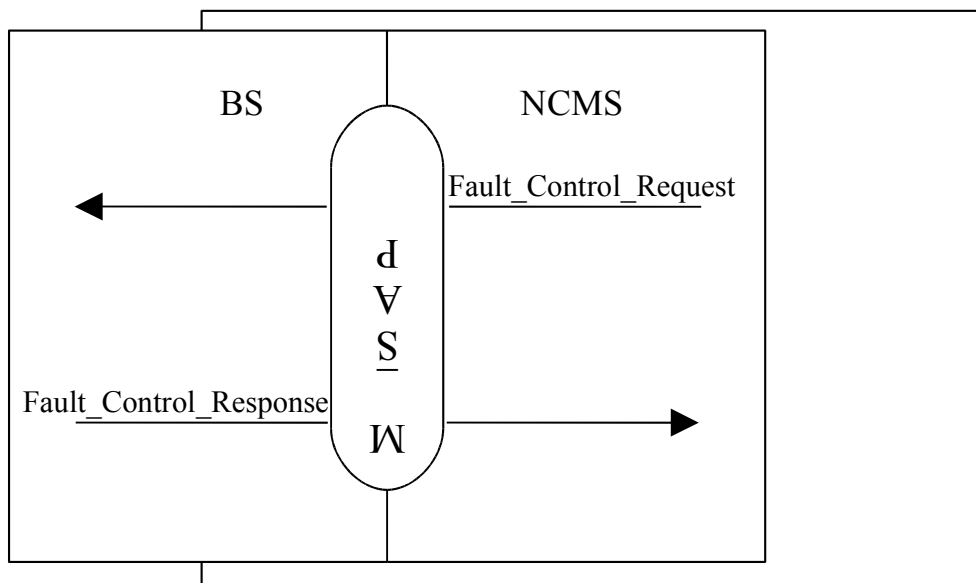


Figure X1. fault management procedure

### 14.5.1.1.1 Service Primitives

#### 14.5.1.1.1.1 Fault\_Information

##### 14.5.1.1.1.1.1 Function

This primitive inform a network management entity in NCMS that an MS or BS has generated a fault or alarm event.

##### 14.5.1.1.1.1.2 Semantics of the Service Primitives

The parameters of the primitives are as follows:

**Fault\_Information**

```
{
MS/BS ID
Transaction ID
AlarmId
AlarmType
AlarmSequenceNo
AlarmSeverityLevel
AlarmProbableCause
AlarmState
AlarmRaisedTime
}
```

**MS/BS ID**

48-bit unique identifier used for user identification between a BS and an NCMS

**Transaction ID**

A unique sequential identifier of the transaction set by the BS.

**AlarmId**

A unique sequential identifier corresponding to a fault description.

**AlarmType**

A unique sequential number representation the type of an alarm:

- 0: Communications Alarm
- 1: Processing Error Alarm
- 2: Environmental Alarm
- 3: Quality Of Service Alarm
- 4: Equipment Alarm

**AlarmSequenceNo**

A unique sequential number of the alarm set by the BS.

**AlarmSeverityLevel**

A number representation the severity level of the alarm:

- 0: Critical
- 1: Major
- 2: Minor
- 3: Warning
- 4: Indeterminate
- 5: Cleared

**AlarmProbableCause**

The probable cause of the alarm. It qualifies alarm and provides further information than alarmType.

**AlarmState**

A number representation the state of the alarm:

- 0: Cleared and Acknowledged alarm
- 1: Uncleared and Acknowledged alarm
- 2: Cleared and Unacknowledged alarm
- 3: Uncleared and Unacknowledged alarm

**AlarmRaisedTime**

It indicates the date and time when the alarm is first raised by the alarmed resource.

**14.5.1.1.1.3 When generated**

This primitive is generated when the BS raised a fault or alarm event and the BS received an alarm event from the MS. The MS could notify the alarm event to the BS via the MAC management message.

#### **14.5.1.1.1.3 Effect of receipt**

This primitive is generated when the BS raised alarm event and the BS received an alarm event from the MS. Upon receiving this primitive, NCMS will be notified for corresponding BS or MS alarms.

#### **14.5.1.1.1.2 Fault\_List\_Request**

##### **14.5.1.1.1.2.1 Function**

This primitive inform of an MS or BS that a network management entity in NCMS has raised a fault query.

##### **14.5.1.1.1.2.2 Semantics of the Service Primitives**

The parameters of the primitives are as follows:

###### **Fault\_List\_Request**

```
{
MS/BS ID
Transaction ID
BeginTime
EndTime
}
```

###### **MS/BS ID**

48-bit unique identifier used for user identification between a BS and an NCMS

###### **Transaction ID**

A unique sequential identifier of the transaction set by the NCMS.

###### **BeginTime**

The begin time for request.

###### **EndTime**

The end time for request.

##### **14.5.1.1.1.2.3 When generated**

This primitive is originated by NCMS when it needs to list BS or MS alarms.

##### **14.5.1.1.1.2.3 Effect of receipt**

BS or MS will send corresponding alarms which meets the query condition to NCMS.

#### **14.5.1.1.1.3 Fault\_List\_Response**

##### **14.5.1.1.1.3.1 Function**

This primitive return an MS's or BS's fault message to a network management entity in NCMS.

##### **14.5.1.1.1.3.2 Semantics of the Service Primitives**

The parameters of the primitives are as follows:

###### **Fault\_List\_Response**

```
{
MS/BS ID
```



Transaction ID  
 AlarmSequenceNo  
 AlarmType  
 AlarmSeverityLevel  
 Alarm ProbableCause  
 AlarmState  
 AlarmRaisedTime  
 }

**MS/BS ID**

48-bit unique identifier used for user identification between a BS and an NCMS

**Transaction ID**

A unique sequential identifier of the transaction set by the NCMS.

**AlarmType**

A unique sequential number representation the type of an alarm:

- 0: Communications Alarm
- 1: Processing Error Alarm
- 2: Environmental Alarm
- 3: Quality Of Service Alarm
- 4: Equipment Alarm

**AlarmSeverityLevel**

A number representation the severity level of the alarm:

- 0: Critical
- 1: Major
- 2: Minor
- 3: Warning
- 4: Indeterminate
- 5: Cleared

**AlarmProbableCause**

The probable cause of the alarm.

**AlarmState**

A number representation the state of the alarm:

- 0: Cleared and Acknowledged alarm
- 1: Uncleared and Acknowledged alarm
- 2: Cleared and Unacknowledged alarm
- 3: Uncleared and Unacknowledged alarm

**AlarmRaisedTime**

The time when the alarm raised.

**14.5.1.1.3.3 When generated**

This primitive is generated when BS or MS responds to NCMS for list alarms request.

**14.5.1.1.3.4 Effect of receipt**

NCMS will be notified the corresponding BS or MS alarms which meets the query condition.

**14.5.1.1.4 Fault\_Control\_Request****14.5.1.1.4.1 Function**

This primitive handles MS's or BS's fault message with NCMS.

#### 14.5.1.1.1.4.2 Semantics of the Service Primitives

The parameters of the primitives are as follows:

##### **Fault\_Control\_Request**

```
{
MS/BS ID
Transaction ID
AlarmSequenceNo
AlarmType
AlarmSeverityLevel
AlarmProbableCause
AlarmAction
}
```

##### **MS/BS ID**

48-bit unique identifier used for user identification between a BS and an NCMS

##### **Transaction ID**

A unique sequential identifier of the transaction set by the NCMS.

##### **AlarmType**

A unique sequential number representation the type of an alarm:

- 0: Communications Alarm
- 1: Processing Error Alarm
- 2: Environmental Alarm
- 3: Quality Of Service Alarm
- 4: Equipment Alarm

##### **AlarmSeverityLevel**

A number representation the severity level of the alarm:

- 0: Critical
- 1: Major
- 2: Minor
- 3: Warning
- 4: Indeterminate
- 5: Cleared

##### **AlarmProbableCause**

The probable cause of the alarm.

##### **AlarmAction**

A number representation the Operator Action for the corresponding alarms:

- 0: Clear Alarm
- 1: Acknowledge Alarm

#### 14.5.1.1.1.4.3 When generated

This primitive is originated by NCMS and it is needed when NCMS handles the alarms raised by BS or MS. The possible handling action could be “Clear Alarms” and “Acknowledge Alarms”.

#### 14.5.1.1.1.4.4 Effect of receipt

BS or MS will execute the corresponding action when this primitive request is received.

#### 14.5.1.1.1.5 Fault\_Control\_Response

##### 14.5.1.1.1.5.1 Function

This primitive return an MS's or BS's alarm handling response message to a network management entity in NCMS.

#### 14.5.1.1.1.5.2 Semantics of the Service Primitives

The parameters of the primitives are as follows:

##### **Fault\_Control\_Reponse**

```
{
MS/BS ID
Transaction ID
AlarmSequenceNo
AlarmType
AlarmSeverityLevel
AlarmProbableCause
AlarmState
AlarmActionTime
}
```

##### **MS/BS ID**

48-bit unique identifier used for user identification between a BS and an NCMS

##### **Transaction ID**

A unique sequential identifier of the transaction set by the NCMS.

##### **AlarmSeverityLevel**

A number representation the severity level of the alarm:

- 0: Critical
- 1: Major
- 2: Minor
- 3: Warning
- 4: Indeterminate
- 5: Cleared

##### **AlarmType**

A unique sequential number representation the type of an alarm:

- 0: Communications Alarm
- 1: Processing Error Alarm
- 2: Environmental Alarm
- 3: Quality Of Service Alarm
- 4: Equipment Alarm

##### **AlarmProbableCause**

The probable cause of the alarm.

##### **AlarmState**

A number representation the state of the alarm:

- 0: Cleared and Acknowledged alarm
- 1: Uncleared and Acknowledged alarm
- 2: Cleared and Unacknowledged alarm
- 3: Uncleared and Unacknowledged alarm

##### **AlarmActionTime**

The time when the corresponding alarm action performed successfully.

#### 14.5.1.1.1.5.3 When generated

This primitive is generated after BS or MS complete execution of alarm handling action from NCMS, will cause the corresponding alarm state change upon the action executed.

#### **14.5.1.1.1.5.3 Effect of receipt**

NCMS will be notified with the result of corresponding handling action.

#### References

- [1] 802.16g-05\_008r1.pdf
- [2] IEEE-Std 802.16-2004
- [3] IEEE 802.16e/D10