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Abstract	Security elements and mechanisms for .16j MMR control plane	
Purpose	To incorporate the proposed text into the P802.16j Baseline Document (IEEE 802.16j-06/026r1)	
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# Key Hierarchy of the RRSP for the MMR Relay Network

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## 1 Introduction

This contribution aims to introduce the security mechanisms into the .16j MMR control plane to protect the confidentiality and integrity of the transmission of the MMR control messages. The encryption key distribution and management model are laid on the security principles of PKMv2 required with respect to the IEEE 802.16-2004 and IEEE 802.16e-2005.

### Robust Relay Path Security Protocol (RRPS)

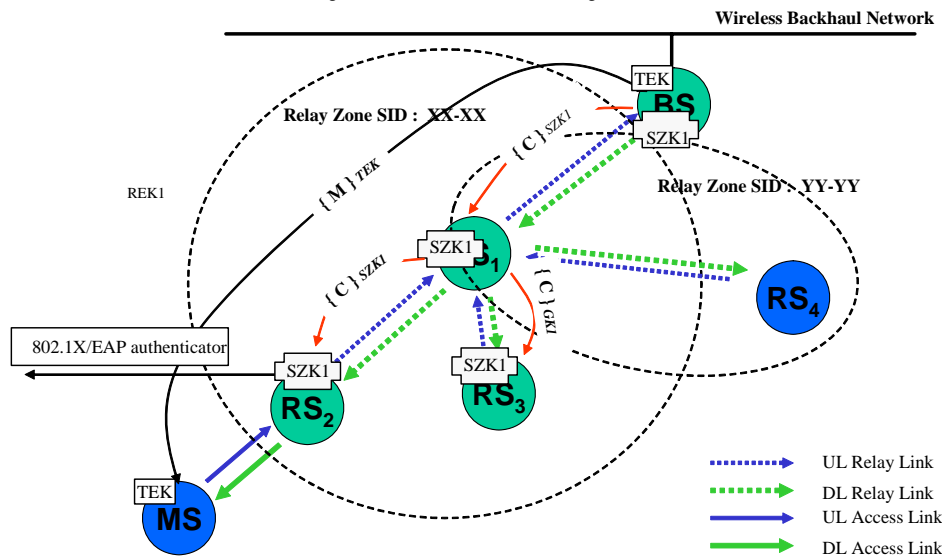


Figure 1 RRPS overview

## 1.1 RRPS ( Robust Relay Path Security)

### 1.1.1 RRPS overview

Robust Relay Path Security (RRPS) service is used to permit efficient establishment of transmission between the Base Station (BS) and Relay Stations (RS) in a .16j MMR network.

Today's .16e network security services provide the minimum security protection to the control planes messages (Sec 7.1.1 of IEEE 802.16e-2005) in the Access link. The multi-hop based MMR relay network needs more complicated security model in order to satisfy both of the security objective and the performance objective. In other words, the security mechanism in the .16j MMR network should impose very minimum overhead onto the

control plane. Another metric of the security model required for .16j network is the fast link/path establishment and the fast re-association in the case of link failure or the handover operations.

RRPS is the security framework comprising the following security elements

Hybrid Association/Authentication Model

Encryption Keys and Keys distribution

The operation of RRPS relies on the BS which centralizes the authentication for the RSs within its Security Zone identified by the SZID (Security Zone ID). Each RS within the security zone becomes the Delegated Authenticator (DA) when it gets authenticated from its anchored authenticator as illustrated in the following diagram.

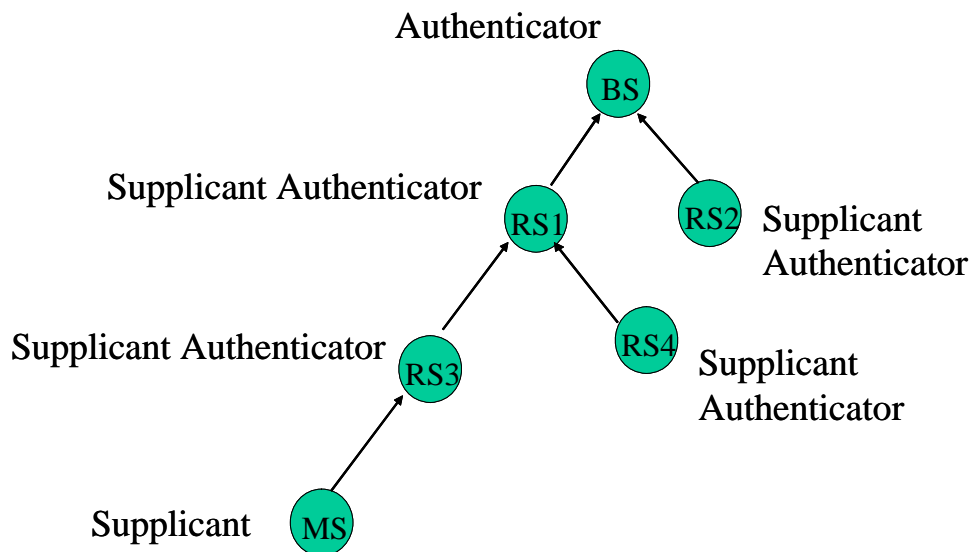


Figure 2 Authentication Hierarchy

This authentication hierarchy distributes the authenticator function to the perimeter of the security zone. Any RS assumes the authenticator role implements the full PKMv2 authentication function. The distributed authentication model virtually extends the BS's authentication function as closer to the .16e/d access link as possible, which brings the following characteristics:

- Basic uses IEEE 802.16e-2005 PKMv2
- Many relay operations are associated with paths, and these operations populate the same information to all RS along a given path
- MMR cell could be decomposed as security zones
- In each zone, the RSs share the same group key for path-oriented operations
- Group key is managed and distributed by BS
- Per Group SA associated HMAC/CMAC is used to authenticate the sender
- Group-cast signaling messages are defined to support path operations
- Greatly reduce the signaling overhead, especially in RS handover case

RRPS requires information to be exchanged during a RS's initial security association with a Authenticator, Subsequent security associations to other Authenticators within the same security zone may utilize the PKMv2 key hierarchy that is established during Initial RRPS Authentication.

**Note:** How to define security zone is out of scope of this contribution.

### 1.1.2 Key Management and Key Hierarchy

As per the Key hierarchy inherent from the PKMv2, RRPS may keep all the existing keys for each RS such as the MSK, AK, TEK, KEK etc with their original derivation hierarchy, and share Security Zone Keys (SZK) for path-oriented control plane messaging . There are two options to define SZK:

Reuse the GTEK (Group Traffic Encryption Key) (Sec 7.2.2.2.7, IEEE 802.16e-2005)

Randomly generated by MMR-BS's RNG (Random Number Generator) and distributed to the RS

The SZK is distributed by the MMR-BS at the first contact of any RS within its security zone. The key itself is used to either encrypt the Multicast based control messages or at the minimum security defense by using HMAC/CMAC data signature function to protect the message's integrity

## Key Hierarchy

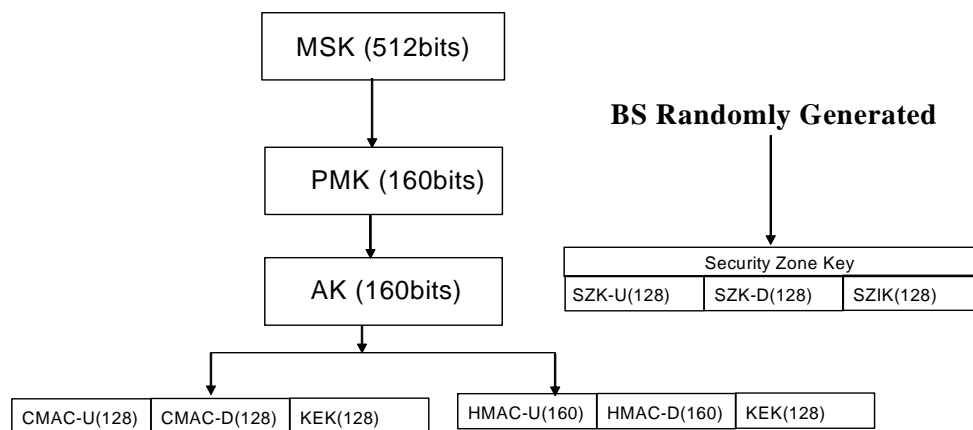


Figure 3 Key Hierarchy

### 1.1.4 Security Zone Key Exchange

In order to securely distribute the Security Zone Key (SZK) to the RSs within one particular security zone, MMR-BS would use security handshake to protect the attacks, i.e Replay attacks, interception attack. The bottom line is to reuse the TEK exchange 3-way handshake specified in the PKMv2

## 2. Proposed text changes

+++++++ start text proposal ++++++

[Insert the followings after the end of section 7.4]

As per the Key hierarchy inherent from the PKMv2, RRPS may keep all the existing keys for each RS such as the MSK, AK, TEK, KEK etc with their original derivation hierarchy, and share Security Zone Keys (SZK) for path-oriented control plane messaging . There are two options to define SZK:

Reuse the GTEK (Group Traffic Encryption Key) (Sec 7.2.2.2.7, IEEE 802.16e-2005)

Randomly generated by MMR-BS's RNG (Random Number Generator) and distributed to the RS

The SZK is distributed by the MMR-BS at the first contact of any RS within its security zone. The SZK within each Security Zone is used to protect (by encrypting or signing) the control messages transmitted over the links between Relay Stations (RS) and the links between Relay Stations and the MMR-BS. There are two optional purposes of generation of the SZK: a) To encrypt the control messages among the RSs and the MMR-BS by using the AES-CCMP protocol specified in the PKMv2, b) to generate the HMAC/CMAC tuple to protect the integrity of the control messages.

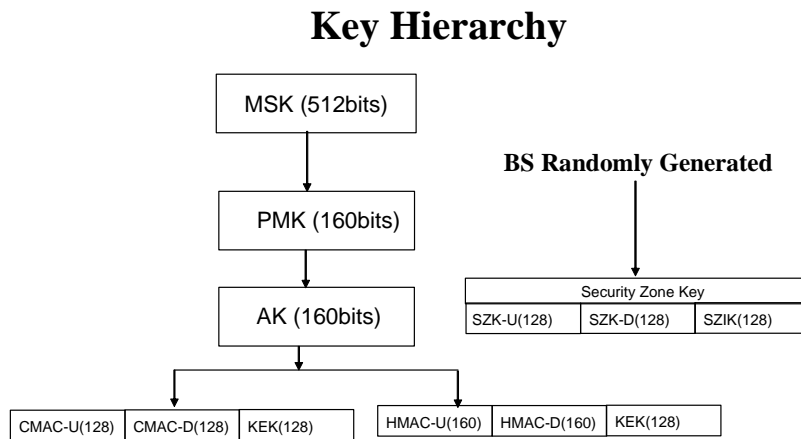


Figure P Key Hierarchy

### 7.4.1 Security Zone Key Exchange

In order to securely distribute the Security Zone Key (SZK) to the RSs within one particular security zone, MMR-BS would use security handshake to protect the attacks, i.e Replay attacks, interception attack. The bottom line is to reuse the TEK exchange 3-way handshake specified in the PKMv2

+++++++ End of text proposal ++++++

