2004-05-17	IEEE C802.16e-04/112					
Project	IEEE 802.16 Broadband Wireless Access Working Group < <u>http://ieee802.org/16</u> >					
Title	Enhance MIMO features for TDD specific mode					
Date Submitted	2004-05-17					
Source:	Wen Tong, Peiying Zhu, Ming Jia, Jianglei Ma, Mo-Han Fong, and Hang ZhangVoice: (613)-763-1315 Fax: (613)-765-7723					
	Nortel Networkswentong@nortelnetworks.com3500 Carling Avenuewentong@nortelnetworks.comOttawa, ON. K2H 8E9CANADA					
Re:	IEEE 802.16e D2 Draft					
Abstract	Enhance MIMO features for TDD specific mode					
Purpose	To incorporate the changes here proposed into the 802.16e D2 draft.					
Notice	This document has been prepared to assist IEEE 802.16. It is offered as a basis for discussion and is not binding on the contributing individual(s) or organization(s). The material in this document is subject to change in form and content after further study. The contributor(s) reserve(s) the right to add, amend or withdraw material contained herein.					
Release	The contributor grants a free, irrevocable license to the IEEE to incorporate material contained in this contribution, and any modifications thereof, in the creation of an IEEE Standards publication; to copyright in the IEEE's name any IEEE Standards publication even though it may include portions of this contribution; and at the IEEE's sole discretion to permit others to reproduce in whole or in part the resulting IEEE Standards publication. The contributor also acknowledges and accepts that this contribution may be made public by IEEE 802.16.					
Patent Policy and Procedures	The contributor is familiar with the IEEE 802.16 Patent Policy and Procedur < <u>http://ieee802.org/16/ipr/patents/policy.html</u> >, including the statement "IEEE standards m include the known use of patent(s), including patent applications, provided the IEEE receiv assurance from the patent holder or applicant with respect to patents essential for complian with both mandatory and optional portions of the standard." Early disclosure to the Worki Group of patent information that might be relevant to the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draw of the standard is essential to reduce the draw of the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draw of the standard is essential to reduce the draw of the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draw of the standard is essential to reduce the draw of the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draw of the standard is essential to reduce the draw of the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draw of the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draw of the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draw of the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draw of the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draw of the standard is essential to reduce the standard is essential to reduce the possibility for delays in the development process and increase th	hay ves nce ing the raft nair ted ard				

Enhance MIMO features for TDD specific mode

1 Background

In general, close-loop transmission performs better than open loop transmission, which does not use the channel state information. With knowledge of channel state information, beam forming increases SNR through coherent signal transmission, hence, extended range and coverage. For the case of multiple receive antennas, beam forming can be combined with MIMO to future increase data rate, especially in the case where the number of transmit antenna is larger than the number of receive antenna. In general, channel state information feedback can cause higher overhead for the close loop transmission schemes. However, for a TDD operation, BS can estimate DL channel information based on UL transmission, therefore, feedback overhead is not a major issue. In the current standard, MIMO scheme does not take advantage of the TDD system. In this contribution, we propose to further enhance MIMO features, specifically for AMC sub-channel.

2 AMC sub-channel sub-MIMO configuration for single SS

For a DL AMC sub-channel 4x2 sub-MIMO system

$$H = \begin{bmatrix} h_{11} & h_{12} & h_{13} & h_{14} \\ h_{21} & h_{22} & h_{23} & h_{24} \end{bmatrix}$$

Denoting

$$H_{12} = \begin{bmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{bmatrix} H_{13} = \begin{bmatrix} h_{11} & h_{13} \\ h_{21} & h_{23} \end{bmatrix} H_{23} = \begin{bmatrix} h_{12} & h_{13} \\ h_{22} & h_{23} \end{bmatrix} H_{14} = \begin{bmatrix} h_{11} & h_{14} \\ h_{21} & h_{24} \end{bmatrix} H_{24} = \begin{bmatrix} h_{12} & h_{14} \\ h_{22} & h_{24} \end{bmatrix} H_{34} = \begin{bmatrix} h_{13} & h_{14} \\ h_{23} & h_{24} \end{bmatrix}$$

And selecting the sub-system H_{ii} that satisfies

 $\left|\det(H_{ij})\right| = \max\left\{\left|\det(H_{12})\right|, \left|\det(H_{13})\right|, \left|\det(H_{23})\right|, \left|\det(H_{14})\right|, \left|\det(H_{24})\right|, \left|\det(H_{34})\right|\right\}$

The best mode of the 2×2 sub-MIMO system can be determined. The null sub-carriers are fed into the non-selected antennas.

3 AMC sub-channel sub-MIMO SM for single SS

For a DL 4x2 MIMO system, consider six sub-MIMO systems H_{12} , H_{13} , H_{23} , H_{14} and H_{34} . Assuming that H_{ij} , H_{ik} and

 H_{il} are the sub-MIMO systems that satisfy

$$\left|\det(H_{ij}) + \left|\det(H_{ik})\right| + \left|\det(H_{il})\right| = \max\left\{\det(H_{ij}) + \left|\det(H_{ik})\right| + \left|\det(H_{il})\right|, \left|\det(H_{ij})\right| + \left|\det(H_{jk})\right| + \left|\det(H_{jl})\right|, \left|\det(H_{il})\right| + \left$$

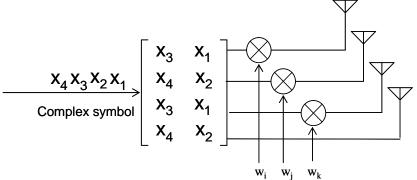
then by beam-forming with the j^{th} and k^{th} columns of H, and setting the weights to

$$\begin{split} w_{j} &= \frac{\det^{*}(H_{ij})}{\sqrt{\left|\det^{*}(H_{ij})\right|^{2} + \left|\det^{*}(H_{ik})\right|^{2} + \left|\det^{*}(H_{il})\right|^{2}}}}{w_{k}} = \frac{\det^{*}(H_{ik})}{\sqrt{\left|\det^{*}(H_{ij})\right|^{2} + \left|\det^{*}(H_{ik})\right|^{2} + \left|\det^{*}(H_{il})\right|^{2}}}}{w_{l}} = \frac{\det^{*}(H_{il})}{\sqrt{\left|\det^{*}(H_{ij})\right|^{2} + \left|\det^{*}(H_{ik})\right|^{2} + \left|\det^{*}(H_{il})\right|^{2}}}}$$

Respectively, we have

$$\det(H_{ij}^{(jkl)}) = \sqrt{\left|\det^*(H_{ij})\right|^2 + \left|\det^*(H_{ik})\right|^2 + \left|\det^*(H_{il})\right|^2}$$

The 4x2 sub-MIMO BLAST is shown in Figure 1.





4 AMC sub-channel sub-MIMO transmission for multiple SS

For the closed loop implementation of MISO transmission, pre-coding matrix weighting in frequency-domain can be applied for 4x2x2 (N_T=4 for 2 MSS each with N_R=4) or 4x1x4 (N_T=4 for 4 MSS each with N_R=1) transmission as shown in Figure 2.

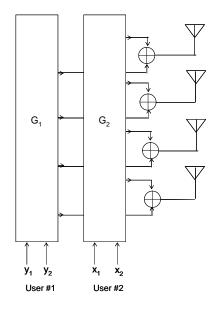


Figure 2 Multi-user MIMO AMC sub-channel

By applying the dirty-paper encoding principle, and inter-user interference is pre-cancelled by transmit weighting matrixes G_1 and G_2 .

5 Text proposal

[Add a new section 8.4.8.3.4.2]

----- Start of text proposal ------

8.4.8.3.4.2 Closed-loop encoding format for 4-transmit antennas BS

The closed-loop encoding consists of hybrid antenna selection and antenna weighing transmission. For TDD operation, the weights and antenna selection can be determined at the transmitter. For FDD operation, the antenna selection and antenna weight should be feedback to the transmitter.

For the allocation of single user with 2-antenna to the AMC permutation zone, we have:

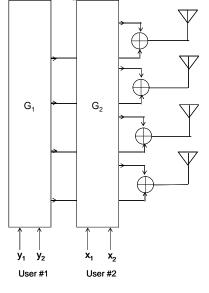
The encoding matrix and configuration table (Table yyy) are defined as follows:

$$D = \begin{bmatrix} w_1 S_1 \\ w_2 S_2 \\ w_3 S_1 \\ w_4 S_2 \end{bmatrix}$$

Table 1 yyy	Ta	ble	1	ууу
-------------	----	-----	---	-----

$b_0 b_1 b_2$	\mathbf{W}_1	\mathbf{W}_2	W ₃	\mathbf{W}_4
000	w_1	1	<i>W</i> ₃	1
001	w_1	<i>W</i> ₂	<i>W</i> 3	1
010	w_1	<i>W</i> ₂	0	0
011	w_1	0	<i>W</i> ₃	0
100	w_1	0	0	W_4
101	0	<i>w</i> ₂	<i>W</i> ₃	0
110	0	<i>w</i> ₂	0	W_4
111	0	0	<i>W</i> ₃	W_4

For the allocation of 2-user with 2-antenna to the AMC permutation zone: we have



----- End of text proposal ------