

Project	IEEE 802.16 Broadband Wireless Access Working Group < http://ieee802.org/16 >	
Title	Enhanced H-ARQ MAC Support for MIMO OFDMA	
Date Submitted	2004-07-08	
Source(s)	<p>Wonil Roh, Geunhwi Lim, JeongTae Oh, Chan-Byoung Chae, Kyunbyoung Ko, Hongsil Jeong, Sung-Ryul Yun, Seungjoo Maeng, Panyuh Joo, Jaeho Jeon, Yong Chang, TaeWon Kim, Jaeyeol Kim, Soonyoung Yoon</p> <p>Samsung Electronics Co., Ltd.</p> <p>Erik Lindskog, Brett Schein, Kamlesh Rath, K. Giridhar, Aditya Agrawal, David Garrett, B. Sundar Rajan, A. Paulraj</p> <p>Beceem Communications, Inc.</p>	<p>wonil.roh@samsung.com</p> <p>Voice: +82-31-279-3868</p> <p>elindskog@beceem.com</p> <p>Voice: +1-408-387-5014</p>
Re:	Contribution supporting TGe WG ballot #14b	
Abstract	Enhanced H-ARQ MAC Support for MIMO OFDMA	
Purpose	Adoption of proposed changes into P802.16e	
	Crossed-out indicates deleted text , <u>underlined blue indicates new text change to the Standard</u> , and <u>underlined green indicates newly added text from the original contribution</u>	
Notice	This document has been prepared to assist IEEE 802.16. It is offered as a basis for discussion and is not binding on the contributing individual(s) or organization(s). The material in this document is subject to change in form and content after further study. The contributor(s) reserve(s) the right to add, amend or withdraw material contained herein.	
Release	The contributor grants a free, irrevocable license to the IEEE to incorporate material contained in this contribution, and any modifications thereof, in the creation of an IEEE Standards publication; to copyright in the IEEE's name any IEEE Standards publication even though it may include portions of this contribution; and at the IEEE's sole discretion to permit others to reproduce in whole or in part the resulting IEEE Standards publication. The contributor also acknowledges and accepts that this contribution may be made public by IEEE 802.16.	
Patent Policy and Procedures	<p>The contributor is familiar with the IEEE 802.16 Patent Policy and Procedures (Version 1.0) <http://ieee802.org/16/ipr/patents/policy.html>, including the statement "IEEE standards may include the known use of patent(s), including patent applications, if there is technical justification in the opinion of the standards-developing committee and provided the IEEE receives assurance from the patent holder that it will license applicants under reasonable terms and conditions for the purpose of implementing the standard."</p> <p>Early disclosure to the Working Group of patent information that might be relevant to the standard is essential to reduce the possibility for delays in the development process and increase the likelihood that the draft publication will be approved for publication. Please notify the Chair <mailto:r.b.marks@ieee.org> as early as possible, in written or electronic form, of any patents (granted or under application) that may cover technology that is under consideration by or has been approved by IEEE 802.16. The Chair will disclose this notification via the IEEE 802.16 web site <http://ieee802.org/16/ipr/patents/notices>.</p>	

Enhanced H-ARQ MAC Support for MIMO OFDMA

*Wonil Roh, Geunhwi Lim, JeongTae Oh, Chan-Byoung Chae, Kyunbyoung Ko,
Hongsil Jeong, Sung-Ryul Yun, Seungjoo Maeng, Panyuh Joo, Jaeho Jeon,
Yong Chang, TaeWon Kim, Jaeyeol Kim, Soonyoung Yoon*

Samsung Electronics

*Erik Lindskog, Brett Schein, Kamlesh Rath, K. Giridhar,
Aditya Agrawal, David Garrett, B. Sundar Rajan, A. Paulraj*

Beceem Communications, Inc.

1 Introduction

H-ARQ MAC support for an efficient MIMO operation is provided in this contribution. The problem with the current MAP is that there is no special zone for MIMO SS and MIMO and non-MIMO SS are allocated within the same OFDMA symbol ~~In addition, the inefficiency with the current H-ARQ MAP IEs increases the overall MAP sizes. These problems are addressed and some enhancements are made to resolve the issues.~~, which may create serious channel estimation problem due to the difference in pilot allocations for MIMO and non-MIMO SS.

In addition, the inefficiency with the current H-ARQ MAP IEs increases the overall MAP sizes. For example, in the current H-ARQ MAP, 4 bits are wasted for each non-CQI or non-HARQ SS. This problem can be alleviated by introducing new DL-MAP extensions for CQI and H-ARQ control which, by using a BITMAP, effectively reduce overall MAP sizes. A new DL-MAP extension for MIMO control is also suggested for the same reason.

Some clarification is also made on burst mapping for H-ARQ when multiple MIMO layers are transmitted on the same physical resource.

2 Specific Text Changes

2.1 H-ARQ Control IE format

[Make the following changes to section 6.3.2.3.43.4 in [2]]

6.3.2.3.43.4 H-ARQ control IE

The format of H-ARQ Control_IE, which includes encoding/decoding information for H-ARQ enabled DL/UL bursts, is presented in Table 92. This IE shall be located in the compact DL/UL MAP_IE.

Table 92—H-ARQ_Control IE format

Syntax	Size	Notes
H-ARQ_Control_IE () {		
if (! H-ARQ_Compact_DL-MAP_IE exists) {		
Prefix	1 bit	0 = Temporary disable H-ARQ 1 = enable H-ARQ

if (Prefix ==1){		
AI_SN	1 bits	H-ARQ ID Seq. No
SPID	2 bits	Subpacket ID
ACID	4 bits	H-ARQ CH ID
} else{		
Reserved	3 bit	
}		
<u> </u>		
}		

2.2 CQI Control IE format

[Make the following changes to section 6.3.2.3.43.5 in page 17 in [1]]

6.3.2.3.43.5 CQI Control IE

Table 93—CQI_Control IE format

Syntax	Size	Notes
CQICH Control IE () {	—	—
<u>if (!CQI Compact DL- MAP IE exists) {</u>		
CQICH indicator	1 bit	If the indicator is set to 1, the CQICH Control IE follows.
if CQICH indicator == 1 {	—	—
Allocation Index	6 bits	Index to the channel in a frame the CQI report should be transmitted by the SS.
Period (=p)	2 bits	A CQI feedback is transmitted on the CQI channels indexed by the (CQI Channel Index) by the SS in every 2 ^p frames.
Frame offset	3 bits	The MSS starts reporting at the frame of which the number has the same 3 LSB as the specified frame offset. If the current frame is specified, the MSS should start reporting in 8 frames
Duration (=d)	4 bits	A CQI feedback is transmitted on the CQI channels indexed by the (CQI Channel Index) by the SS for 2 ^(d-1) frames. If d is 0000, the CQICH is de-allocated. If d is 1111, the MSS should report until the BS command for the MSS to stop.
} else {	—	—
<u>Reserved CQI reporting threshold</u>	3 bits	Shall be set to zero A threshold used for SS to report its CINR using CQI channel; If 000, this threshold is neglected.
}	—	—
<u> </u>		
}	—	—

2.3 H-ARQ Compact DL MAP IE format

[add a new section 6.3.2.3.43.6.8 as follows]

6.3.2.3.43.6.8 H-ARQ Compact DL MAP IE format

The format of H-ARQ Compact DL-MAP IE is presented in Table 99a. When used, the H-ARQ control information of all H-ARQ enabled DL bursts shall be contained in this IE.

Table 99a—Compact DL-MAP IE format for H-ARQ Control

<u>Syntax</u>	<u>Size</u>	<u>Notes</u>
<u>H-ARQ_Compact_DL-MAP_IE {</u>	–	–
<u> DL-MAP Type = 7</u>	<u>3 bits</u>	
<u> DL-MAP Sub-Type = 1</u>	<u>5 bits</u>	<u>H-ARQ Control = 0x01</u>
<u> Length</u>	<u>4 bits</u>	<u>Length of the IE in Bytes</u>
<u> BITMAP length</u>	<u>4 bits</u>	<u>in nibble</u>
<u> BITMAP</u>	<u>variable</u>	<u>size = BITMAP length x 4 bits</u>
<u> for(i=0 ; i< count ; i++){</u>		<u>count = the number of ‘1’ in BITMAP</u>
<u> — reserved</u>	<u>1 bits</u>	<u>Shall be set to 0</u>
<u> num_layers</u>	<u>2 bits</u>	<u>Allows different H-ARQ channel IDs for different MIMO layers:</u> <u>00 – 1 layer</u> <u>01 – 2 layers</u> <u>10 – 3 layers</u> <u>11 – 4 layers</u>
<u> for(j=0; j< num_layers; j++) {</u>		
<u> AI_SN</u>	<u>1 bits</u>	<u>H-ARQ ID Seq. No</u>
<u> SPID</u>	<u>2 bits</u>	<u>Subpacket ID</u>
<u> ACID</u>	<u>4 bits</u>	<u>H-ARQ CH ID</u>
<u> }</u>		
<u> }</u>		
<u> }</u>		
<u> Padding</u>	<u>variable</u>	<u>The padding bits are used to ensure the IE size is integer number of bytes.</u>

BITMAP Length

This field indicates the length of BITMAP in nibble.

BITMAP

N-th MSB set to 1 when the burst defined by n-th MAP IE in the MAP message has following Control information.

num_layers

In the case of STC transmissions, allows different H-ARQ channel IDs for the various layers. When in non-MIMO mode, this is set to 1.

AI SN

Defines ARQ Identifier Sequence Number. This is toggled between ‘0’ and ‘1’ on successfully transmitting each encoder packet with the same ARQ channel.

SPID

Defines SubPacket ID, which is used to identify the four subpackets generated from an encoder packet.

ACID

Defines H-ARQ Channel ID, which is used to identify H-ARQ channels. Each connection can have multiple HARQ channels, each of which may have an encoder packet transaction pending.

2.4 CQI Compact DL MAP IE format

[add a new section 6.3.2.3.43.6.9 as follows]

6.3.2.3.43.6.9 CQI Compact DL MAP IE format

The format of CQI Compact DL-MAP IE is presented in Table 99b. When used, the CQI control information of all CQI enabled DL bursts shall be contained in this IE.

Table 99b—Compact DL-MAP IE format for CQI Control

<u>Syntax</u>	<u>Size</u>	<u>Notes</u>
<u>CQI Compact DL-MAP IE()</u> {		
<u>DL-MAP Type</u>	<u>3</u>	<u>Type = 7</u>
<u>DL-MAP Sub-type = 2</u>	<u>5</u>	<u>CQI Control = 0x02</u>
<u>Length</u>	<u>4</u>	<u>Length of the IE in Bytes</u>
<u>BITMAP length</u>	<u>4</u>	<u>in nibble</u>
<u>BITMAP</u>	<u>variable</u>	<u>size = BITMAP length x 4 bits</u>
<u>for (i = 0; i < count; i++) {</u>		<u>count = the number of '1' in BITMAP = the number of newly assigned CQI SS in the frame</u>
<u> <u>Period (=p)</u></u>	<u>2</u>	<u>A CQI feedback is transmitted on the CQICH every 2^p frames</u>
<u> <u>Frame offset</u></u>	<u>3</u>	<u>The SS starts reporting at the frame of which the number has the same 3 LSB as the specified frame offset. If the current frame is specified, the SS should start reporting in 8 frames</u>
<u> <u>Duration (=d)</u></u>	<u>3</u>	<u>A CQI feedback is transmitted on the CQI channels indexed by the CQICH_ID for 10 x 2^d frames. If d == 0, the CQI-CH is de-allocated. If d == 111, the SS should report until the BS command for the SS to stop.</u>
<u> <u>Feedback_type</u></u>	<u>2</u>	<u>00 = Fast DL measurement 01 = Layer specific channel strengths 10 = Antenna weight associated with specific antenna (See Figure 231) 11 = MIMO mode and permutation zone feedback</u>
<u> <u>if (Feedback_type != 11) {</u> <u> <u>MIMO_permutation_feedback</u></u> <u> <u>cycle }</u></u></u>	<u>2</u>	<u>00 = No MIMO and permutation mode feedback 01 = the MIMO and permutation mode indication shall be transmitted on the CQICH indexed by the CQICH_ID every 4 frames. The first indication is sent on the 8th CQICH frame. 10 = the MIMO mode and permutation mode indication shall be transmitted on the CQICH indexed by the CQICH_ID every 8 frames. The first indication is sent on the 8th CQICH frame.</u>

		11 = the MIMO mode and permutation mode indication shall be transmitted on the CQICH indexed by the CQICH_ID every 16 frames. The first indication is sent on the 16th CQICH frame.
CQICH_Num	2	Number of CQICHs assigned to this SS is (CQICH_Num +1)
for (i=0;i<CQICH_Num;i++) {		
Allocation index	6	Index to uniquely identify the CQICH resource assigned to the SS
}		
}		
Padding	variable	The padding bits is used to ensure the IE size is integer number of bytes.
}		

BITMAP Length

[This field indicates the length of BITMAP in nibble.](#)

BITMAP

[N-th MSB set to 1 when the burst defined by n-th MAP_IE in the MAP message has following Control information.](#)

Allocation Index

[It indicates its position from the start of the CQICH region.](#)

Period

[It informs the SS of the period of COI reports.](#)

Frame offset

[It informs the SS of when to start. The SS starts reporting at the frame of which the number has the same 3 LSB as the specified frame offset. If the current frame is specified, the SS should start reporting in 8 frames.](#)

Duration

[It indicates when the SS should stop reporting unless the CQICH allocation is refreshed beforehand. If duration d == 0b0000, the BS is intended to de-allocate the CQICH. If d == 0b1111, the CQICH is allocated indefinitely and the SS should report until the BS commands the SS to stop, which happens it receives another MAP_IE with d =0b0000.](#)

2.5 MIMO Region Compact DL MAP IE

Figure 1 shows the region allocation when using H-ARQ MAP messages in the frame.

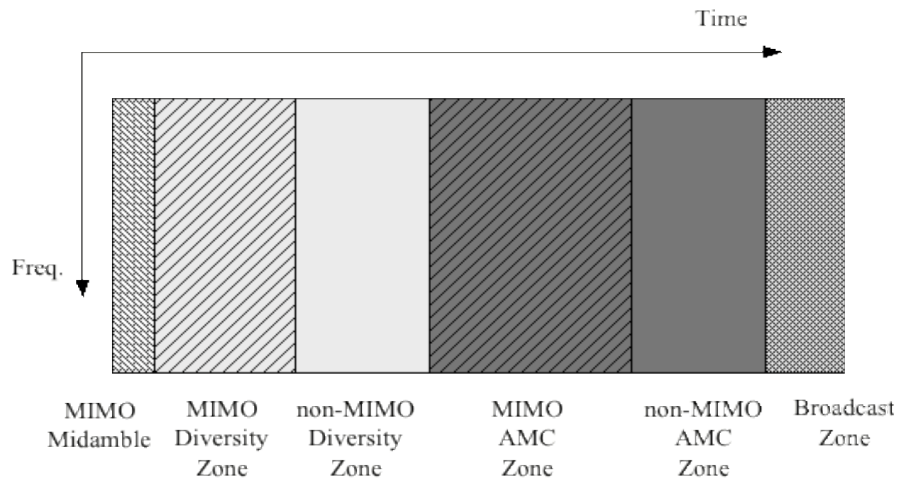


Figure 1 H-ARQ region allocation

[add a new section 6.3.2.3.43.6.10]

6.3.2.3.43.6.10 MIMO Region Compact DL MAP IE format

Inside the H-ARQ region which is defined by Format Configuration IE, the allocation of MIMO region shall be represented by MIMO Region Compact DL-MAP IE in Table 99c. In order to help channel estimation and tracking a The MIMO midamble is placed at the very first symbol in the region defined by H-ARQ Format Configuration IE if either of the fields “Number Symbols for MIMO Diversity” or “Number Symbols for MIMO AMC Region” are non-zero.

Table 99c - MIMO Region Compact DL-MAP IE

Syntax	Size	Notes
MIMO Region Compact_DL-MAP_IE () {	-	-
_DL-MAP Type	3 bits	Type = 7
_DL-MAP Sub-Type	5 bits	MIMO Region = 0x03
_Length	4 bits	Length of the IE in Bytes
_Number Symbols for MIMO Diversity Region	46 bits	MIMO diversity region is placed before the normal diversity region
_Number Symbols for MIMO AMC Region	46 bits	MIMO AMC region is placed before the normal AMC region
}	-	-

2.6 MIMO Compact DL MAP IE format

[add a new section 6.3.2.3.43.6.11 as follows]

6.3.2.3.43.6.11 MIMO Compact DL MAP IE format

The format of MIMO Compact DL-MAP IE is presented in Table 99d. When used, the MIMO control information of all MIMO enabled DL bursts shall be contained in this IE.

Table 99d—Compact DL-MAP IE format for MIMO Control

Syntax	Size (bits)	Notes
<u>MIMO_Compact_DL-MAP_IE()</u> {		
<u>DL-MAP Type</u>	<u>3</u>	<u>Type = 7</u>
<u>DL-MAP Sub-type = 3</u>	<u>5</u>	<u>MIMO Control = 0x04</u>
<u>Length</u>	<u>4</u>	<u>Length of the IE in Bytes</u>
<u>BITMAP length</u>	<u>4</u>	<u>in nibble</u>
<u>BITMAP</u>	<u>variable</u>	<u>size = BITMAP length x 4 bits</u>
<u>STC</u>	<u>3</u>	<u>STC order</u> <u>00 = STC using 2 antennas</u> <u>01 = STC using 3 antennas</u> <u>10 = STC using 4 antennas</u> <u>11 = Reserved</u>
<u>for (i = 0; i < count; i++) {</u>		<u>count = the number of '1' in BITMAP</u>
STC	1	STC order 0 = STC using 2 antennas 1 = STC using 4 antennas
Closed-loop	1	0 = Open-loop 1 = Closed-loop
<u>Matrix indicator</u>	<u>2</u>	<u>STC matrices (see 8.4.8.3)</u> if (STC == 0) { 00 = Matrix A 01 = Matrix B 10 11 = Reserved else if (STC == 1) { 00 = Matrix A 01 = Matrix B 10 = Matrix C 11 = Reserved }
<u>Num_layer</u>	<u>2</u>	<u>00 – 1 layer</u> <u>01 – 2 layers</u> <u>10 – 3 layers</u> <u>11 – 4 layers</u>
for (j=0;j<Num_layer;j++){		
<u>for (j=1;j<Num_layer;j++){</u>		<u>This loop specifies the Nep and RCID for layers 2 and above when required for STC. Nep, Nsch and RCID for the first layer comes from the compact DL-MAP IE.</u>
Layer_index	2	
<u>DIUC_Nep</u>	<u>4</u>	0-11 burst profiles
<u>RCID</u>	<u>variable</u>	
}		
}		
<u>Padding</u>	<u>variable</u>	<u>The padding bits are used to ensure the IE size is integer number of bytes.</u>
}		

References:

[1] IEEE P802.16e/D3 Air Interface for Fixed and Mobile Broadband Wireless Access Systems – Amendment for Physical and Medium Access Control Layers for Combined Fixed and Mobile Operation in Licensed Bands

[2] IEEE P802.16-REVd/D5-2004 Draft IEEE Standards for local and metropolitan area networks part 16: Air interface for fixed broadband wireless access systems