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| Re: | Letter Ballot 14 on document <i>IEEE P802.16e/D1-2004</i> | |
| Abstract | Editorial reorganization to align clause 11 references in the TGe ammendment to match the section definitions and content of the current base document REVd/D3 | |
| Purpose | Proposed remedy to LB 14 comment on Clause 11 changes | |
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Letter Ballot 14 Comment Addendum

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The following text is proposed as replacement for the current contents of P802.16e/D1 covering Clause 11 (Page 60, Line 1 through Page 68, Line 51). The text is an editorial reorganization and cleanup of the portion of the document to be replaced. The proposal aligns the draft amendment contents with Clause 11 of the base document, REVd/D3. TLV type values are assigned (if listed as TBD) or reassigned (if the current values are inconsistent with the numbering scheme in D3).

Portions of text that appears to duplicate other text in the draft or the base document, that describes entities that are no longer relevant due to the current contents of D3, or that is not referenced by other parts of the draft document have been removed from the proposed updates but are logged at the end of this document for reference.

11. TLV Encodings

11.1.2 HMAC Tuple

[Change the contents of table 274 as indicated:]

Table 274—HMAC Tuple definition

| Type | Length | Value | Scope |
|------|--------|---------------|--|
| 149 | 21 | See Table 275 | DSx-REQ, DSx-RSP, DSx-ACK, REG-REQ, REG-RSP, RES-CMD, DREG-CMD, TFTP-CPLT, <u>MOB_HO-IND</u> |

11.3.3 UCD channel encodings

[Insert the following rows at the end of Table 276:]

Table 276a—UCD channel encodings

| Name | Type (1 byte) | Length (1 byte) | Value (Variable-length) |
|------------------|------------------|--------------------|--|
| HO_ranging_start | 7 | 1 | Initial backoff window size for MSS performing initial ranging during hand-over process, expressed as a power of 2. Range: 0-15 (the highest order bits shall be unused and set to 0). |
| HO_ranging_end | 8 | 1 | Final backoff window size for MSS performing initial ranging during hand-over process, expressed as a power of 2. Range: 0-15 (the highest order bits shall be unused and set to 0). |

11.5 RNG-REQ management message encodings

[Insert the following row to the end of Table 292:]

Table 292a—RNG-REQ message Encodings

| Name | Type (1 byte) | Length | Value (Variable-length) |
|---------------|------------------|--------|--|
| Serving BS ID | 5 | 6 | The unique identifier of the former Serving BS |

11.6 RNG-RSP management message encodings

[Insert the following row to to the end of Table 293:]

Table 293a—RNG-RSP message encodings

| Name | Type (1 byte) | Length | Value (Variable-length) |
|--------------------------|------------------|--------|---|
| Service Level Prediction | 14 | 1 | This value indicates the level of service the MSS can expect from this BS. The following encodings apply: 0 = No service possible for this MSS 1 = Some service is available for one or several Service Flows authorized for the MSS. 2 = For each authorized Service Flow, a MAC connection can be established with QoS specified by the AuthorizedQoSParamSet. 3 = No service level prediction available. |

[Insert the following immediately before section 11.8:]

11.7.8 CID update encodings

This field provides a translation table that allows an MSS to update its service flow and connection information so that it may continue service after a hand-over to a new serving BS.

| Name | Type (1 byte) | Length (1 byte) | Value (Variable-length) |
|------------|---------------|-----------------|-------------------------|
| CID_update | 16 | variable | Compound |

The following TLV values shall appear in each CID_update TLV.

| Name | Type (1 byte) | Length (1 byte) | Value (Variable-length) |
|---------|---------------|-----------------|---------------------------------------|
| New_CID | 16.1 | 2 | New CID after hand-over to new BS. |
| Old_CID | 16.2 | 2 | Old CID before hand-over from old BS. |

The following TLV element may appear in a CID_update TLV.

| Name | Type (1 byte) | Length (1 byte) | Value (Variable-length) |
|-----------------|---------------|-----------------|--|
| Connection Info | 16.3 | Variable | If any of the service flow parameters change, then those service flow parameters and CS parameter encoding TLVs that have changed will be added. Connection_Info is a compound TLV value that encapsulates the Service Flow parameters and the CS parameters that have changed for the service. All the rules and settings that apply to the parameters when used in the DSC-RSP message apply to the contents encapsulated in this TLV. |

11.7.9 Method for allocating IP address

| Type | Length | Value |
|------|--------|---|
| 17 | 1 | bit #0: DHCP bit #1: Mobile IPv4 bit #2-7: reserved; shall be set to zero |

11.7.10 Mobility parameters support

The following parameters are associated with mobile operations.

11.7.10.0.1 Sleep-mode supported

This field indicates whether or not the MSS supports sleep-mode. A bit value of 0 indicates “not supported” while 1 indicates it is supported.

| Type | Length | Value |
|------|--------|---|
| 18 | 1 | Bit #0: Mobility (handover) support Bit #1: Sleep-mode support |

11.7.10.0.2 Sleep-mode recovery time

This field indicates the time required for an MSS which is in a sleep-mode to return to awake-mode. This parameter is optional and may be used by the BS to determine sleep interval window sizes when initiating sleep-mode with an MSS.

| Type | Length | Value |
|------|--------|---|
| 19 | 1 | Number of frames required for the MSS to switch from sleep-mode to awake-mode |

[Insert immediately before the start of section 11.9:]

11.8.3 Authorization policy support

This field indicates authorization policy used by the SS and BS to negotiate and synchronize. A bit value of 0 indicates “not supported” while 1 indicates “supported.” If this field is omitted, then both SS and BS shall

1 use the IEEE 802.16 essential privacy method, constituting X.509 digital certificates and the RSA public
 2 key encryption algorithm, as authorization policy.
 3
 4

| Type | Length | Value | Scope |
|------|--------|--|--|
| 4 | 1 | Bit# 0: IEEE 802.16 essential privacy (Legacy PKM) Bit# 1-7: Reserved for open privacy. Set to 0. | SBC-REQ (see 6.4.2.3.23) SBC_RSP(see 6.4.2.3.24) |

11.9 PKM-REQ/RSP management message encodings

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14
15
16 *[Change as indicated]*

11.9.3 TEK

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19
20 *Description:* This attribute contains a quantity that is a TEK key, encrypted with a KEK derived from the
 21 AK.
 22

| Type | Length | Value (string) |
|------|---------|----------------|
| 8 | 8 or 16 | Encrypted TEK |

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31
32 When the TEK encryption algorithm identifier in the SA is 0x01, the length shall be 8 and the TEK shall be
 33 encrypted with 3DES in EDE mode according to the procedure defined in 7.5.2.1.

34
35
36 When the TEK encryption algorithm identifier in the SA is 0x03, the length shall be 16 and the TEK shall be
 37 encrypted with AES in ECB mode according to the procedure in 7.5.2.3

38
39
40
41 *[Change as indicated]*

11.9.8 TEK parameters

42
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45
46 *Description:* This attribute is a compound attribute, consisting of a collection of subattributes. These subat-
 47 tributes represent all security parameters relevant to a particular generation of an SAID's TEK. A summary
 48 of the TEK-Parameters attribute format is shown below.
 49

| Type | Length | Value (compound) |
|------|----------|---|
| 13 | variable | The compound field contains the subattributes as defined in table 298 |

Table 298—TEK-parameters subattributes

| Attribute | Contents |
|---------------------|-----------------------------|
| TEK | TEK, encrypted with the KEK |
| Key-Lifetime | TEK Remaining Lifetime |
| Key-Sequence-Number | TEK Sequence Number |
| CBC-IV | CBC Initialization Vector |

The CBC-IV attribute is required when the data encryption algorithm identifier in the SA ciphersuite is 0x01 (DES in CBC mode).

The CBC-IV attribute is not required when the data encryption algorithm identifier in the SA ciphersuite is 0x02 (AES).

11.9.14 Cryptographic suite

| Type | Length | Value (uint8, uint8, uint8) |
|------|--------|--|
| 20 | 3 | A 24-bit integer identifying the cryptographic suite properties. The most significant byte, as defined in Table 301, indicates the encryption algorithm and key length. The middle byte, as defined in Table 302 indicates the data authentication algorithm. The least significant byte, as defined in Table 303, indicates the TEK Encryption Algorithm. |

Table 301—Data encryption algorithm identifiers

| Value | Description |
|--------------------|------------------------------|
| 0 | No data encryption |
| 1 | CBC-Mode, 56-bit DES |
| <u>2</u> | <u>CCM-Mode, 128-bit AES</u> |
| 2 3-255 | <i>Reserved</i> |

Table 302—Data authentication algorithm identifiers

| Value | Description |
|--------------------|------------------------------|
| 0 | No data authentication |
| <u>1</u> | <u>CCM-Mode, 128-bit AES</u> |
| 1 2-255 | <i>Reserved</i> |

Table 303—TEK encryption algorithm identifiers

| Value | Description |
|--------------------|--------------------------------------|
| 0 | Reserved |
| 1 | 3-DES EDE with 128-bit key |
| 2 | RSA with 1024-bit key |
| <u>3</u> | <u>ECB mode AES with 128-bit key</u> |
| 3 4-255 | <i>Reserved</i> |

The allowed cryptographic suites are itemized in Table 290

Table 304—Allowed cryptographic suites

| Value | Description |
|----------------------|---|
| 0x000001 | No data encryption, no data authentication & 3-DES, 128 |
| 0x010001 | CBC-Mode 56-bit DES, no data authentication & 3-DES, 128 |
| 0x000002 | No data encryption, no data authentication & RSA, 1024 |
| 0x010002 | CBC-Mode 56-bit DES, no data authentication & RSA, 1024 |
| <u>0x020103</u> | <u>CCM-Mode 128-bit AES, CCM-Mode, 128-bit AES, ECB mode AES with 128-bit key</u> |
| All remaining values | <i>Reserved</i> |

[Insert immediately before the start of 11.13 and renumber succeeding sections accordingly:]

11.13 MOB_NBR-ADV message encodings

The following TLV holds UCD and DCD information for an individual BS.1

| Name | Type (1 byte) | Length (1 byte) | Value (Variable-length) |
|------------------------------|------------------|--------------------|----------------------------|
| Encoded Neighbor Information | 1 | <i>Variable</i> | Compound |

The following table defines the TLV elements that may appear in a Encoded Neighbor Information TLV.

| Name | Type (1 byte) | Length (1 byte) | Value (Variable-length) |
|--------------|------------------|--------------------|---|
| DCD_settings | 1.1 | <i>Variable</i> | The DCD_settings is a compound TLV that encapsulates an entire DCD message (excluding the generic MAC header). All the rules and settings that apply to the DCD message apply to the contents encapsulated in this TLV. |
| UCD_settings | 1.2 | <i>Variable</i> | The UCD_settings is a compound TLV value that encapsulates an entire UCD message (excluding the generic MAC header). All the rules and settings that apply to the UCD message apply to the contents encapsulated in this TLV. |

1 *[Insert the following entries to the end of Table 310:]*
 2
 3
 4

5 **Table 310a—Service flow encodings**
 6

| Type | Parameter |
|------|--------------------------------|
| 29 | Type of Data Delivery Services |
| 30 | SDU Inter-arrival Interval |
| 31 | Time Base |

7
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 16
 17 *[Insert the following immediately before the start of Clause 12:]*
 18

19 **11.13.23 Type of Data Delivery Services**

20
 21 The value of this parameter specifies type of Data Delivery Service as defined in 6.4.16.
 22
 23
 24

| Type | Length | Value | Scope |
|--------------|--------|---|--|
| [145/146].29 | 1 | 0: Continuing Grant Service 1: Real Time - Variable Rate Service 2: Non-Req Time - Variable Rate Service 3: Best Efforts Service | DSA-REQ, DSA-RSP DSC-REQ, DSC-RSP REG-REQ, REG-RSP |

25 26 27 28 29 30 31 32 33 34 **11.13.24 SDU Inter-arrival Interval**

35
 36 This parameter specifies nominal interval between consequent SDU arrivals as measured at MAC SAP.
 37
 38
 39
 40

| Type | Length | Value | Scope |
|--------------|--------|---|--|
| [145/146].30 | 2 | SDU Inter-arrival Interval in units of 0.5 ms | DSA-REQ, DSA-RSP DSC-REQ, DSC-RSP REG-REQ, REG-RSP |

41 42 43 44 45 46 47 48 49 **11.13.25 Time Base**

50
 51 This parameter specifies time base for rate measurement as defined in 6.4.16.
 52
 53
 54

| Type | Length | Value | Scope |
|--------------|--------|-----------------|--|
| [145/146].31 | 2 | Time base in ms | DSA-REQ, DSA-RSP DSC-REQ, DSC-RSP REG-REQ, REG-RSP |

The following D1 text was excised from this proposal.

11.1.4 RNG-RSP TLVs for re-establishment of Service Flows

[Insert the following rows at the end of table 280:]

Table 280a—REG-RSP Encodings

| Name | Type (1 byte) | Length (1 byte) | Value (Variable-length) |
|-----------------|------------------|--------------------|--|
| New CID | TBD | 2 | New CID after hand-over to new BS. |
| Old CID | TBD | 2 | Old CID before hand-over from old BS. |
| Connection_Info | TBD | Variable | The Connection_Info is a compound TLV value that encapsulates the Service Flow Parameters and the CS Parameter that have changed for the service. All the rules and settings that apply to the parameters when used in the DSC-RSP message apply to the contents encapsulated in this TLV. |

11.4 Common encodings

[Insert the following rows at the end of Table 295:]

Table 295a—MSS Capability encodings

| Type | Parameters |
|-----------|------------------------------------|
| <u>23</u> | Method for allocating IP address |
| <u>24</u> | <u>Mobility parameters support</u> |

1
2
3
4 *[Apply the following changes to section 11.4.2:]*

5
6 **11.4.2 SS Capabilities encoding**

7
8 *[Add to table 306]*

9
10
11 **Table 296—SS Capability encodings**

| Type | Parameters |
|------|------------------------------|
| 5.25 | Authorization Policy Support |

12
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21
22
23 *[Insert new section 11.4.2.13:]*

24
25 **11.4.2.13 MSS Mobility parameters support**

26
27
28
29 This field defines the parameters associated with the mobility support capabilities of the MSS.

| Type | Length | Value |
|------|--------|-------|
| 5.24 | n | - |

30
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41 **11.4.9.9 Minimum reserved traffic rate**

42
43
44 This parameter specifies the minimum rate reserved for this service flow.

45
46 Minimum Reserved Traffic Rate = R (bits/sec) with time base T(sec) means the following: Let S denote
47 additional demand accumulated at the MAC SAP of the transmitter during an arbitrary time interval of the
48 length T. Then the amount of data forwarded to PHY (in bits) during this interval should be not less than min
49 {S, R * T}.

50
51
52 The BS should be able to satisfy bandwidth requests for a service flow up to its Minimum Reserved Traffic
53 Rate. If less bandwidth than its Minimum Reserved Traffic Rate is requested for a service flow, the BS may
54 reallocate the excess reserved bandwidth for other purposes. The aggregate Minimum Reserved Traffic Rate
55 of all service flows may exceed the amount of available bandwidth. The value of this parameter is calculated
56 from the byte following the MAC header HCS to the end of the MAC PDU payload. If this parameter is
57 omitted, then it defaults to a value of 0 bits per second (i.e., no bandwidth is reserved for the flow by
58 default).
59
60
61
62
63
64
65

11.4.9.10 Minimum Tolerable Traffic Rate

“Minimum Tolerable Traffic Rate = R (bits/sec) with time base T(sec)” means the following. Let S denote additional demand accumulated at the MAC SAP of the transmitter during an arbitrary time interval of the length T. Then the amount of data forwarded at the receiver to CS (in bits) during this interval should be not less than $\min \{S, R * T\}$.

In the case of DL connection, Minimum Tolerable Traffic Rate should be monitored by the BS to make decisions on rate change or deletion of the connection in the case SDUs' loss ratio is too high. So the MSS has to measure and report certain parameters to BS as specified in NNN .

11.4.10 Minimum Tolerable Traffic Rate

This parameter specifies time base for rate measurement as defined in 6.4.16.

| Type | Length | Value | Scope |
|------------|--------|--|--|
| [24/25].33 | 4 | Minimum Tolerable Traffic Rate in bits per second. | DSA-REQ, DSA-RSP DSC-REQ, DSC-RSP REG-REQ, REG-RSP |

[Insert new sections 11.4.14:]

11.4.14 Mobility support capabilities

This field defines common parameters for mobility support..

| Type | Length | Value |
|------|--------|-------|
| 44 | n | - |