Project	IEEE 802.16 Broadband Wireless A	ccess Working Group <a href="http://ieee802.org/16">http://ieee802.org/16</a>	
Title	MIMO channel model for advanced	system	
Date Submitted	2007-04-30		
Source(s)	Sun Yan	Voice: 0086-29-88723556 Fax:	
	Liu Qiao Yan		
		sun.yan10@zte.com.cn	
	Zhao Lu		
	ZTE	liu.qiaoyanxa@zte.com.cn	
	ZTE Corporation Xi'An R&D Center		
		zhao.lu@zte.com.cn	
Re:	Response to the call for technical proposal on regarding IEEE Project 802.16m channel model		
Abstract	We propose some MIMO channel models for different frequency range and bandwidth		
Purpose	To suggest MIMO channel model to IEEE 802.16m.		
Notice	the contributing individual(s) or organization(s	E 802.16. It is offered as a basis for discussion and is not binding on a). The material in this document is subject to change in form and reserve(s) the right to add, amend or withdraw material contained	
Release	and any modifications thereof, in the creation of any IEEE Standards publication even though it discretion to permit others to reproduce in who	se to the IEEE to incorporate material contained in this contribution, of an IEEE Standards publication; to copyright in the IEEE's name may include portions of this contribution; and at the IEEE's sole of in part the resulting IEEE Standards publication. The this contribution may be made public by IEEE 802.16.	
Patent Policy and Procedures	use of patent(s), including patent application applicant with respect to patents essential a standard." Early disclosure to the Working G essential to reduce the possibility for delays in publication will be approved for publication. possible, in written or electronic form, if pat	the IEEE 802.16 Patent Policy and Procedures, including the statement "IEEE standards may include the known s, provided the IEEE receives assurance from the patent holder or for compliance with both mandatory and optional portions of the roup of patent information that might be relevant to the standard is in the development process and increase the likelihood that the draft Please notify the Chair <mailto:chair@wirelessman.org> as early as ented technology (or technology under patent application) might be uped within the IEEE 802.16 Working Group. The Chair will disclose <a href="http://ieee802.org/16/ipr/patents/notices&gt;">http://ieee802.org/16/ipr/patents/notices&gt;</a></mailto:chair@wirelessman.org>	

# MIMO channel model for advanced system

Sun Yan, Liu QiaoYan, Zhao Lu

**ZTE** 

## 1. Introduction

Wireless channel model has always been the subject to active research, due to continual improvement of wireless technologies. MIMO channel model is a crucial factor in communication system. There are many important MIMO channels such as COST273, COST259, ITU, 3GPP SCM, WINNER, and IEEE 802.11n. Some channel models will not be suited for use, because of enhanced capabilities, increased frequency, increased bandwidth, and increased variety of envisioned deployment scenarios, so the proper MIMO channel models should be selected. As described in [1], in order to achieve the performance targets of IMT-Advanced, sufficiently wide frequency channels need to be provided. This document focuses on the channel models working for advanced system (working at 5GHz frequency and 100MHz bandwidth). One section discusses the channel models working for short-range (indoor) scenarios. The other section discusses the channel models working for wide-area and short-range scenarios (outdoor and indoor).

# 2. MIMO Channel Model for short-range scenarios

There is a model which works well at both 2GHz to 5GHz frequency bands [3]. Channel taps are separated in delay at minimum 10ns, so bandwidth of the model is 100MHz. The model is used for short-range scenarios.

### 2.1 Channel parameters setting

In the model, azimuth spread (AS), angle of arrival (AOA), angle of departure (AOD) values are assigned to each tap and cluster that agree with experimentally determined values reported in the literature. The mean AOA is random with a uniform distribution; the mean cluster AS values is in the 20° to 40° range. The cluster rms delay spread (DS) is highly correlated with AS [4], the cluster rms delay spread and AS can be modeled as correlated lognormal random variables. The DS and AS values are determined in [3].

The cluster structure, excess delay, power, AOA, AOD, AS is shown in [3] Appendix C.

### 2.2 Channel correlation matrix

Transmitter array and receiver array correlation matrices are combined to MIMO channel correlation matrix by Kronecker product. This approach assumes that transmitter and receiver power azimuth spectra of each channel tap are separable. The detailed description is in [3] section3.

The correlation matrix for each tap is based on the power azimuth(PAS) with AS being the second moment of PAS[5][6]. Using the PAS shape, AS, mean AOA, and individual tap powers, correlation matrices of each tap can be determined as described in[6].

## 2.3 Path loss model

The path loss model consists of the free space loss  $L_{FS}$  (slope of 2) up to a breakpoint

distance and slope of 3.5 after the breakpoint distance [7]. For each of the models different break-point distance  $d_{BP}$  was chosen

$$L(d) = L_{FS}(d)$$
  $d \le d_{BP}$ 

$$L(d) = L_{FS}(d_{BP}) + 35 \log_{10}(d/d_{BP})$$
  $d > d_{BP}$ 

Where *d* is the transmit-receive separation distance in m. The standard deviations of log-normal (Gaussian in dB) shadow fading are also included. The values were found to be in the 3-14 dB range [8].

New Model	$d_{\mathit{BP}}\left(m\right)$	Slope before $d_{BP}$	Slope after $d_{BP}$	Shadow fading std. dev. (dB) before $d_{BP}$	Shadow fading std. dev. (dB) after $d_{BP}$ (NLOS)
A (optional)	5	2	3.5	3	4
В	5	2	3.5	3	4
С	5	2	3.5	3	5
D	10	2	3.5	3	5
Е	20	2	3.5	3	6
F	30	2	3.5	3	6

Table 1 Path loss model parameters

#### 2.4 Ricean K-factor

K-factor values for LOS conditions are described in[9][10]. The LOS K-factor is applicable only to the first tap while all the other taps K-factor remain at dB. LOS conditions are assumed only up to the breakpoint distance in [3] section 4.1 table || |

The IEEE802.11n model uses mostly more than 10 taps (14 to 18) with minimum tap spacing of 10ns. From these figures it can be assumed that it would support the 100MHz bandwidth, so the model will work well for 5GHz and wide band in short range.

# 3 MIMO Channel Model for wide-area and short-range scenarios

WINNER model covers the frequency ranges from 2GHz to 5GHz and the bandwidth of 100MHz. The models are based on the existing literature and the parameters extracted from eleven measurement campaigns performed by the WINNER Work Package 5(WP5). The selection of the model parameters is based both on the measurements and literature. The model can be used for short-range scenarios and wide-area scenarios.

### 3.1 Channel parameters setting

The channel model parameters were defined for mainly six propagation scenarios, namely indoor small office(A1),urban micro-cell(B1),indoor hotspot(B3),suburban macro-cell(C1),urban macro-cell(C2),rural macro-cell(D1). The analyzed parameters include shadow fading characteristics, power delay profiles, delay spreads, angle-spreads, correlation characteristics, AOA, AOD. Many parameters are measured or gotten at 5GHz frequency.

As an example of scenario rural macro-cell (D1), the distribution of the RMS-delay spread was investigated. The 10, 50, 90% values of RMS-delay spread are given for 5.25GHz in 100MHz bandwidth in LOS and NLOS propagation conditions.

Rms delay spread (	LOS	NLOS	
Percentile	10% 50%	2.5 15.4	4.3 37.1
	90%	84.4	89.5
	mean	36.8	42.1

Table2 percentiles of the RMS-delay spread in a rural environment

Measured angle-spread cumulative distribution function at MS and BS at 5.25GHz are shown as

Ru	ıral Tyrnävä	LOS	NLOS
BS, $\sigma_{\phi}$	10%	10.2	5.6
φ	50%	21.9	18.0
	90%	36.2	34.3
	mean	21.7	19.5
$^{ ext{MS},} \sigma_{\scriptscriptstyle{arphi}}$	10%	8.3	6.0
φ	50%	20.3	22.3
	90%	37.5	36.4
	mean	22.4	21.9

Table3 percentiles of the RMS angle spread

The percentiles of the path delays are shown below

Path delay (ns)			
	LOS	NLOS	
	10%	0	0
Percentile	50%	100	80
refeemme	90%	403	294
	mean	165	124

Table4 the percentiles for the cumulative distribution function of the Path delays for LOS and NLOS at 5.25GHZ

These parameters are described in [11] detailedly.

### 3.2 Ricean-K factor and LOS probability

The K-factor for LOS scenarios is shown in table5

The K factor for Bob secharios is shown in tables						
Scenarios	A1	B1	B2	C1	D1	
K[dB]	8.7+0.05*d	3+0.0142*d	6-0.26*d	17.1-0.021*d	3.7+0.019*d	

Table5 k-factor for LOS scenarios

The probability for LOS is shown in table6

I IIC pro	babilit	y 101 LOS is shown in tableo		
Scenarios	A1		B1	

probability	$P = \begin{bmatrix} 1, d & 2.5m \\ 0.9(1 & (1.24 & 0.61\log 10(d))^3)^{1/3}, d \end{bmatrix}$	$P  \bigcup_{\text{ol}}^{11,d} 15m$ ol $(1  (1.56  0.48 \log 10(d))^3)^{1/3}, d  15m$
Scenarios	B3	C1
probability	For the big factory halls, airport and train stations: $P = \begin{bmatrix} 1 & 10m \\$	$P  \exp(\frac{d[m]}{500m})$
Scenarios	C2	D1
probability	0	$P = \exp\left(\frac{d[m]}{1000m}\right)$

Table6 probability for LOS

# 3.3 Path loss model

Path loss models at  $5 \mathrm{GHz}$  have been developed based on measurement results or from literature.

S	cenario	path loss [dB]	shadow fading standard dev.	applicability range
A1	LOS	18.7 log <sub>10</sub> (d[m]) + 46.8	$\sigma$ = 3.1 dB	3 m < d < 100 m
	NLOS	36.8 log <sub>10</sub> (d[m]) + 38.8	$\sigma$ = 3.5 dB	3 m < d < 100 m
	LOS	22.7 log <sub>10</sub> (d[m])+41.0	$\sigma = 2.3 dB$	10 m < d < 650 m
B1	NLOS	$0.096 \ d_1[m] + 65 +$ $(28 - 0.024 d_1[m]) \log_{10} (d_2[m])$	σ=3.1dB	10 m < d <sub>1</sub> < 550 m w/2 < d <sub>2</sub> < 450 m *)
В3	LOS	13.4 log <sub>10</sub> (d[m]) + 36.9	s = 1.4 dB	5 m < d < 29 m
	NLOS	3.2 log <sub>10</sub> (d[m]) + 55.5	s = 2.1 dB	5 m < d < 29 m
C1	LOS	23.8 $\log_{10}(d)$ + 41.6 40.0 $\log_{10}(d/d_{BP})$ + 41.6 + 23.8 $\log_{10}(d_{BP})^{****}$ +)	s = 4.0 dB s = 6.0 dB,	30 m < d < d <sub>BP</sub> d <sub>BP</sub> < d < 5 km
	NLOS	40.2 log <sub>10</sub> (d[m]) + 27.7 **)	$\sigma = 8 \text{ dB}$	50 m < d < 5 km
C2	NLOS	35.0 log <sub>10</sub> (d[m]) +38.4 ***)	$\sigma$ = 8 dB	50 m < d < 5 km
D1	LOS	21.5 $\log_{10} (d[m]) + 44.6$ 40.0 $\log_{10} (d/d_{BP}) + 44.6 +$ 21.5 $\log_{10} (d_{BP})^{****} +$	$\sigma = 3.5 dB$ $\sigma = 6.0 dB$	$30 \text{ m} < d < d_{BP}$ $d_{BP} < d < 10 \text{ km}$
	NLOS	25.1 log <sub>10</sub> (d[m]) + 55.8	$\sigma$ = 8.0dB	30 m < d < 10 km

<sup>&</sup>quot;) w is LOS street width,  $d_1$  is distance along main street,  $d_2$  is distance along perpendicular street.

Table7 path loss models

### 3.4 Clustered delay line model

The model is some different from the conventional tapped delay line models in a sense that fading within each tap is generated by a sum of sinusoids. Clustered delay line model is composed of a number of separate delayed clusters. Each cluster has a number of multipath components that have the same known delay values but differ in known angle of departure and known angle of arrival. The average power, mean AOA, mean AOD of clusters, angle-spread at BS and angle-spread at MS of each cluster in the clustered delay line are extracted or estimated from measurement results at 5GHz and chip frequency of 100MHz.

The clustered delay line models of different scenarios are shown in [11] Section 3.2.

<sup>\*\*)</sup> Validity beyond 1 km not confirmed by measurement data.

<sup>\*\*\*)</sup> Validity beyond 2 kms not confirmed by measurement data.

<sup>\*\*\*\*)</sup>  $d_{BP}$  is the break-point distance:  $d_{BP} = 4 h_{BS} h_{MS} / ?$ , where  $h_{BS}$  is antenna height at BS,  $h_{MS}$  is antenna height at MS, and ? is the wavelength. Validity beyond  $d_{BP}$  not confirmed by measurement data

<sup>+)</sup> BS antenna heights in the measurements: C1 LOS: 11.7 m, D1: 19 - 25 m.

WINNER MIMO Channel Model is realistic enough and simple. It may be the most proper one to be used to 5GHz frequency and 100MHz bandwidth for different scenarios.

## References

- [1] Roshni Srinivasan, Jeff Zhuang, Louay Jalloul, Robert Novak, Jeongho Park. "Draft IEEE 802.16m Evaluation Methodology Document", IEEE 802.16 Broadband Wireless Access Working Group http://ieee802.org/16,2007-04-17
- [2] Cheng-XiangWang,1 Xue min Hong,1 HanguangWu,2 and Wen Xu2," Spatial-Temporal Correlation Properties of the 3GPP Spatial Channel Model and the Kronecker MIMO Channel Model", EURASIP Journal on Wireless Communication and Networking.
- [3] IEEE 802.11-03/940r2 "IEEE P802.11 Wireless LANs, TGn Channel Models", January 9, 2004.
- [4] K.I. Pedersen, P.E. Mogensen, and B.H. Fleury, "A stochastic model of the temporal and azimuthal dispersion seen at the base station in outdoor propagation environments," *IEEE Trans. Veh. Technol*, vol. 49, no. 2, March 2000, pp. 437-447.
- [5] J. Salz and J.H. Winters, "Effect of fading correlation on adaptive arrays in digital mobile radio," *IEEE Trans. Veh. Technol*, vol. 43, Nov. 1994, pp. 1049-1057.
- [6] L. Schumacher, K. I. Pedersen, and P.E. Mogensen, "From antenna spacings to theoretical capacities guidelines for simulating MIMO systems," in *Proc. PIMRC Conf.*, vol. 2, Sept. 2002, pp. 587-592.
- [7] V.J. Rhodes, "Path loss proposal for the IEEE 802.11 HTSG channel model Ad Hoc group," April 22, 2003.
- [8] J.B. Andersen, T.S. Rappaport, and S. Yoshida, "Propagation measurements and models for wireless communication channels," *IEEE Commun. Mag.*, Jan. 1995, pp. 42-49.
- [9] Q. Li, M. Ho, V. Erceg, A. Janganntham, N. Tal, "802.11n channel model validation," *IEEE* 802.11-03/894r1, 11-03-0894-01-000n-802-11n-channel-model-validation.pdf, Nov. 2003.
- [10] D. Cheung, C. Prettie, Q. Li, J. Lung, "Ricean *K*-factor in office cubicle environment," *IEEE* 802.11-03/895r1, 11-03-0895-01-000n-ricean-k-factor-in-office-cubicle-environment.ppt, Nov. 2003.
- [11] IST-2003-507581 WINNER D5.4 v. 1.4 "Final Report on Link Level and System Level Channel Models"
- [12] D. S. Baum, G. Del Galdo, J. Salo, P. Kyösti, T. Rautiainen, M. Milojevic, and J.Hansen, "An Interim Channel Model for Beyond-3G Systems," in Proc. IEEE VTC'S05,May 2005.
- [13] Jeff Zhuang, Fan Wang, Alfonso Rodriguez, Pallav Sudarshan, Doug Reed, Ken Stewart, Mark Cudak "Draft Channel Model for 802.16m Advanced Air Interface" IEEE 802.16 Broadband Wireless Access Working Grouphttp://ieee802.org/16, 2007-03-05
- [14] Andreas F. Molisch, Jinyun Zhang, Toshiyuki Kuze, I-Kang Fu, Chi-Fang Li, Ting-Chen Song, "Motivation for IEEE 802.16m channel model submission to ITU", IEEE 802.16m Group,2007-03-05
- [15] Sassan Ahmadi, Roshni M. Srinivasan, Hokyu Choi, Jeongho Park, Jaeweon Cho, DS Park, "Channel Models for IEEE 802.16m Evaluation Methodology Document", IEEE 802.16 Broadband Wireless Access Working Grouphttp://ieee802.org/16,2007-03-12

[16] Dean Kitchener, Mark Naden, Peiying Zhu, Wen Tong, GaminiSenarath, Mo-Han Fong, Hang Zhang, David Steer, Derek Yu, "High level requirements for IEEE 802.16m evaluation methodology channel models", IEEE 802.16 Broadband Wireless Access Working Group http://ieee802.org/16,2007-03-05

[17] 3GPP TR25.996 V6.1.0 (2003-09) "Spatial channel model for multiple input multiple output (MIMO) simulations" Release 6.