### Proposal for IEEE 802.16m STC HARQ

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Re: "SDD Session 56 Cleanup, Call for PHY Details"; supporting contribution for reply comment to comment 080 of commentary file 80216m-08\_034r3, and HARQ RG output C80216m-08/1094r1

Purpose: Adopt the proposal into the IEEE 802.16m System Description Document

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# Scope

- This contribution proposes STC HARQ for IEEE 802.16m
  - IEEE 802.16e currently supports a STC HARQ solution
  - This contribution proposes STC code mappings mapping for HARQ transmissions so that each symbol is eventually transmitted on each antenna to fully exploit spatial diversity
    - Detailed description of previously submitted mentioned in in contribution IEEE C802.16m-08/352r2

# Background and Introduction

- In general, the H-ARQ exploits the time-diversity with soft packet combing to improve the transmission reliability
- However, in the MIMO mode, we can exploit additional space-time diversity in the packet re-transmission.
  - The re-transmitted packet can be design as a space time code words so that the receiver can treat the packet retransmission as decoding a space time code, therefore, additional gain can be gained.
  - Such a re-transmission can be designed as redundancy version in space- time coding domain
    - The simplest version is STTD e.g. the space time block code

## The Two Basic MIMO Transmission Modes

### • STTD

- Improve the reliability to link performance
- Independent of MIMO channel matrix illness
- Does not increase the user bit rate

## Spatial Multiplexing

- Provides higher user bit rate
- Sensitive to MIMO channel matrix illness

## • The H-ARQ strategy for MIMO transmission

- Use Spatial multiplexing as first transmission to gain best bit rate
- Use STTD format to send subsequent retransmission packet
- The receiver exploits the signal design and space diversity gain

# Space Time Coding Based H-ARQ

- The table shows how the symbols are transmitted for STBC-HARQ during multiple transmissions for 2 transmit antennas.
- IEEE 802.16e currently supports this STC HARQ method

	Initial	Odd	Even	
	Transmission	Re-transmission	Re-transmission	
patial time code	$S_2^0 = \begin{bmatrix} s_1 \end{bmatrix}$	$S_2^{odd} = \begin{bmatrix} -s_2^* \\ & \end{bmatrix}$	$S_2^{even} = \begin{bmatrix} s_1 \end{bmatrix}$	
ncremental	$\lfloor s_2 \rfloor$	$\begin{bmatrix} s_1^* \end{bmatrix}$	$\lfloor s_2 \rfloor$	
edundancy				

# Proposed STC HARQ (1/3)

- The proposed STC HARQ mapping fully exploits the spatial diversity of the channel by mapping each modulated symbol to each of the transmit antennas over the course of the HARQ transmissions
- The method can be applied to 3 and 4 transmit antennas configurations
  - STC mapping for a maximum of 4 HARQ transmissions are shown. Further mappings are possible for HARQ transmissions beyond 4.

# Proposed STC HARQ (2/3)

- The proposed STC mapping for 3 transmit antennas.
- STC code mapping scheme fully exploits the spatial diversity by transmitting each modulated symbol for a different antenna over the course of 3 transmissions

	Initial	2 <sup>nd</sup>	3 <sup>rd</sup>	$4^{th}$
	transmission	Re-transmission	Re-transmission	Re-transmission
Spatial time	$\lceil s_1 \rceil$	$\lceil -s_2^* \rceil$	$\lceil s_3 \rceil$	$\lceil -s_1^* \rceil$
code	$S_2^0 =  S_2 $	$S_2^1 = S_1^*$	$S_2^2 = S_1$	$S_2^3 = s_3^*$
ncremental	$\lfloor s_3 \rfloor$	$\begin{bmatrix} s_3^* \end{bmatrix}$	$\begin{bmatrix} s_2 \end{bmatrix}$	$\left\lfloor s_2^* \right\rfloor$
redundancy				

# Proposed STC HARQ (3/3)

• For 4 transmit antennas, the proposed STC code mapping scheme fully exploits the spatial diversity by transmitting each modulated symbol for a different antenna over the course of 4 transmissions

	Initial	2 <sup>nd</sup>	3 <sup>rd</sup>	$4^{th}$
	Transmission	Re-transmission	Re-transmission	Re-transmission
Spatial time	$\lceil s_1 \rceil$	$\lceil -s_2^* \rceil$	$\lceil s_3 \rceil$	$\lceil -s_4^* \rceil$
code	$S_2^0 = S_2$	$\begin{bmatrix} & & s_1^* & & & & & & & & & & & & & & & & & & &$	$\left  \begin{array}{c c} & s_4 \end{array} \right $	$S_3$
incremental	$S_2$ $S_3$	$\begin{vmatrix} \mathbf{S}_2 = \\ -\mathbf{S}_4^* \end{vmatrix}$	$S_2 \equiv  S_1 $	$S_2 = -S_2^*$
redundancy	$\lfloor s_4 \rfloor$	$\begin{bmatrix} s_3^* \end{bmatrix}$	$\lfloor s_2 \rfloor$	$\begin{bmatrix} s_1^* \end{bmatrix}$

# Summary

• Space Time Code based HARQ retransmissions provide significant gain with a simple retransmit and receive structure

# Proposed SDD text

### 11.x.1.2.5 MIMO HARQ

11.x.1.2..5.1 STC Subpacket Combining

[Retain introduction text as shown in IEEE C802.16m-08/1094] [Add the following text]

In STC-HARQ, a first HARQ open-loop (OL) transmission is sent using spatial multiplexing (SM). In a second re-transmission, the re-transmitted symbols are arranged such that they form STC code with the pair of original symbols. This can give a performance improvement over conventional re-transmissions. In 3 and 4 transmit antenna schemes, STC code mappings for subsequent HARQ transmissions fully exploit the spatial diversity for all bits or modulated symbols.