Project	IEEE 802.16 Broadband Wireless Access Working Group <a href="http://ieee802.org/16">http://ieee802.org/16</a>					
Title	Classification of DL MIMO Modes					
Date Submitted	2008-05-13					
Source(s)	Joerg Schaepperle	Voice: +4971182132266				
	Juergen Otterbach	E-mail: {Joerg.Schaepperle, Juergen.Otterbach, Andreas.Rueegg}@alcatel-lucent.de				
	Andreas Rüegg	* <http: affiliationfaq.html="" faqs="" standards.ieee.org=""></http:>				
	Alcatel-Lucent Bell Labs Germany					
Re:	Call for Contributions on Project 802.16m System Description Document (SDD) IEEE 802.16-08/016r1 Subject: DL MIMO schemes					
Abstract	The antenna configurations in 802.16m (up to 4x4) and the different operation scenarios allow a variety of multi-antenna transmission schemes, which are required to improve the performance under different channel conditions. This document proposes means for classification of DL MIMO modes to be supported by 16m and proposes some new modes.					
Purpose	TGm should review and adopt the text in this contribution and provide means in the SDD and the amendment to classify and support the variety of DL MIMO modes to be defined in IEEE 802.16m.					
Notice	This document does not represent the agreed views of the IEEE 802.16 Working Group or any of its subgroups. It represents only the views of the participants listed in the "Source(s)" field above. It is offered as a basis for discussion. It is not binding on the contributor(s), who reserve(s) the right to add, amend or withdraw material contained herein.					
Release	The contributor grants a free, irrevocable license to the IEEE to incorporate material contained in this contribution, and any modifications thereof, in the creation of an IEEE Standards publication; to copyright in the IEEE's name any IEEE Standards publication even though it may include portions of this contribution; and at the IEEE's sole discretion to permit others to reproduce in whole or in part the resulting IEEE Standards publication. The contributor also acknowledges and accepts that this contribution may be made public by IEEE 802.16.					
Patent Policy	The contributor is familiar with the IEEE-SA Patent Policy and Procedures:					

## **Classification of DL MIMO Modes**

Joerg Schaepperle, Juergen Otterbach, Andreas Rüegg <sup>1</sup>
Alcatel-Lucent, Bell Labs Germany

### Introduction

To meet 16m and IMT-Advanced requirements for spectral effciency and throughput, it is required to define an appropriate number of different MIMO modes to improve the performance under different channel conditions. According to [1] §5.7, in 16m a minimum requirement of 2 TX antennas at the BS and 2 RX antennas at the MS is foreseen. Target antenna configuration is 4 x 4. IEEE 802.16m shall further support single-user and multi-user MIMO techniques. This allows a variety of possible antenna configurations and transmission schemes to be defined in the 16m TG. This contribution proposes means for classification of DL MIMO modes in IEEE 802.16m. Objective is to identify required modes and to avoid unnecessary options. Furthermore the classification will help to optimize control structures for these MIMO modes. Some examples of existing and new DL MIMO modes for 802.16m are given, but the definition of the complete set of DL MIMO modes is for further study.

#### **DL MIMO Modes**

The DL MIMO modes are characterized by

- Number of Tx antennas
- Number of encoded data streams
- Number of mobile stations (MSs) served, each MS being identified e.g. by the CID of its connection.
- Number of used orthogonal pilot patterns
- Matrix that maps information symbols to time slots and antennas
- Mapping of encoded data streams to matrix elements

Examples are shown in Table 1.

Matrix definitions A2, B2, A4, B4 and C4 are defined according to [2], § 8.4.8 and the number refers to the number of TX antennas. Matrix D4 is defined as

 $[S_1].$ 

Number of Tx antennas	Number of encoded data streams	Number of orthogonal pilot patterns	Matrix	Mapping of encoded streams to matrix elements	Number of subscriber stations	Comment
2	1	2	A2	Encoded stream #0: S1, S2	1	STC
2	1	2	B2	Encoded stream #0: S1, S2	1	SM vertical encoding
2	2	2	B2	Encoded stream #0: S1 Encoded stream #1: S2	1	SM horizontal encoding
2	2	2	B2	Encoded stream #0:	2	SM horizontal

<sup>&</sup>lt;sup>1</sup> Parts of this contribution are based on work supported by the FP7 project WiMAGIC

				S1 Encoded stream #1: S2		encoding
4	1	4	A4	Encoded stream #0: S1, S2, S3, S4	1	STC
4	1	4	B4	Encoded stream #0: S1, S2, S3, S4	1	SM
4	2	4	B4	Encoded stream #0: S1, S2, S5, S7 Encoded stream #1: S3, S4, S6, S8	2	SM
4	4	4	C4	Encoded stream #0: S1 Encoded stream #1: S2 Encoded stream #2: S3 Encoded stream #3: S4	4	MU-MIMO, 4 users
1	2	1	D1	Encoded stream #0: S1 Encoded stream #1: S1	2	Superposition
2	4	2	B2	Encoded stream #0: S1 Encoded stream #1: S1 Encoded stream #2: S2 Encoded stream #4: S2	4	Superposition

Table 1: Existing and new DL MIMO modes

To achieve capacity in broadcast channels, modes with number of streams larger than the number of antennas at the transmitter are included. These allow significant improvement of system throughput by applying multi-user techniques different from conventional SDMA. For these, joint detection may be required at the receiver.

# Proposed Text for SDD ------Start of Text ------

IEEE 802.16m supports different DL MIMO modes for different channel conditions. The modes are characterized by

- Number of Tx antennas
- Number of encoded data streams
- Number of mobile stations (MSs) served, each MS being identified e.g. by the CID of its connection.
- Number of used orthogonal pilot patterns
- Matrix that maps information symbols to time slots and antennas
- Mapping of encoded data streams to matrix elements

Examples for MIMO modes are given in Table 1. Additional MIMO modes and the required control structures are for further study.

[Note to the Editor: add Table 1 here]

 End	of text	
 Lilu	OI ICAL	

## **Abbreviations**

SU-MIMO single-user MIMO
MU-MIMO multi-user MIMO
STC Space Time Coding
SM Spatial Multiplexing
CID Connection Identifier

SDMA Space-division multiple access

## References

- [1] IEEE 802.16m-07/002r4, "IEEE 802.16m System Requirements Document"
- [2] IEEE P802.16Rev2/D4, Draft Standard for Local and Metropolitan Area Networks, Part 16: Air Interface for Broadband Wireless Access Systems