Logic baseline proposal (PCS and PMA) for a single Lambda coherent solution to address the 800GbE 10km and 40km SMF objectives

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Introduction

- **williams_3dj_01a_2303** provided an overview of a logic baseline to address the 800GbE 10km and 40km objective, based on a single lambda coherent solution using oFEC.

- **nicholl_3dj_optx_01_230427** proposed a small modification to this proposal by replacing the asynchronous GMP mapping with a synchronous mapping, to address some concerns over PTP that were raised during the March plenary meeting in Atlanta.

- This contribution builds open the two previous contributions to provide a complete logic baseline proposal (PCS and PMA).
Supporters

• Jörg-Peter Elbers, Adtran/Adva
• Ross Saunders, Adtran/Adva
• Vasudevan Parthasarathy, Broadcom
• Mark Gustlin, Cisco
• Vipul Bhatt, Coherent
• Roberto Rhodes, Coherent
• Gert Sarlet, Coherent
• Ted Schmidt, Effect Photonics
• Tomoo Takahara, Fujitsu Labs
• Ted Sprague, Infinera
• Greg D Le. Cheminant, Keysight
• Jerry Pepper, Keysight
• Tom Palkert, Macom
• Kumi Omori, NEC
• Frank Chang, Source Photonics*
• Dave Estes, Spirent
• Paul Brooks, Viavi*
• Huijun Sha, Viavi
• Haojie Wang, China Mobile
• Xue Wang, H3C
• Yu Zhu, Hengtong Group
• Yanjun Zhu, Hisense
• Zhan Su, Ruijie Networks
• Rangchen Yu, SiFotonics
• ChiYuan Chen, Spirent
• Aihua Liu, ZTE
• Chengbin Wu, ZTE

*Supports proposal for 40km only
Logic Architecture Goals

• Leverage broad industry investment in adjacent applications (800ZR/ZR+), to provide a common logic baseline based on oFEC to support a single lambda coherent solution for 800GBASE-LR1 and 800GBASE-ER1 (and a potential future 800GBASE-ZR1).

• Build upon the ongoing work in 802.cw (400GBASE-ZR) to define an 802.3 PHY documentation structure for a coherent interface

• Support both 800GAUI-8 (100G/lane) and 800GAUI-4 (200G/lane)

• Separate FEC for electrical and optical interfaces ("segmented FEC")
  • Decouples the AUI and PMD developments (simpler and lower risk)
## 800 Gb/s PHYs Descriptions

<table>
<thead>
<tr>
<th>Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>800GBASE-LR1</td>
<td>800 Gb/s Coherent C-band PHY capable of transmission up to at least 10 km of SMF</td>
</tr>
<tr>
<td>800GBASE-ER1</td>
<td>800 Gb/s Coherent C-band PHY capable of transmission up to at least 40 km of SMF</td>
</tr>
</tbody>
</table>
Adopted logic architecture for reference

This proposal fits within the adopted 802.3dj logic architecture

Focus of this proposal

From: gustlin_3df_01a_220517
IEEE 802.3dj Architecture Overview

- Based on a Type 3 FEC/PHY scheme ("segmented FEC") as described in brown_3dj_optx_adhoc_01a_230222
  - Same architecture as 400GBASE-ZR (802.3cw)
- The 800GBASE-LR1/ER1 PHYs only cover the optical links
  - No optional AUIs are supported within the PHY
- AUIs (if required) are supported using the 800GMII Extender
  - 800GAUI-8 defined in 802.3df (already done)
  - 800GAUI-4 will be defined in 802.3dj

This baseline proposal only addresses the 800GBASE-LR1/ER1 PHY
800GBASE-LR1/ER1 PHY Overview

- Builds upon the efforts in 802.3cw to define an 802.3 PHY documentation structure to support a coherent optical interface
  - Split of functionality between PCS, PMA and PMD
  - Definition of PMA and PMD services interfaces
- PCS
  - 256/257b data encoding/decoding
  - Synchronous mapping
  - FEC encoding/decoding
    - based on oFEC defined for 800ZR/ZR+
- PMA
  - DP-16QAM generation/recovery
• Leverages efforts from IEEE 802.3cw, OIF 400ZR, OIF 800ZR, Open ROADM, and ITU-T SG15.
• Based on oFEC
• PCS functions:
  • 64B/66B encode, 256B/257B transcode
  • 257b de-interleave/interleave and synchronously map/demamp to/from 8 x 100G lanes
  • AM/OH (EOH/BOH) Insert/Remove
  • 128b interleave/de-interleave 100G lanes to/from 800G structure
  • CRC32 generate/check
  • oFEC Encode/decode
PMA - Functional Block Diagram

- **DP-16QAM PMA**
- Leverages heavily from the DP-16QAM PMA defined for 400GBASE-ZR (802.3cw, Clause 155)
- **PMA functions:**
  - 16QAM symbol generation and polarization distribution (X and Y)
  - DSP frame – 175,104 DP-16QAM symbols per X/Y polarization. Organized as 24 DSP sub-frames @ 7296:
    - Pilot Symbol (PS) spacing every 64 symbols - 2736 symbols
    - Training Symbols (TS) – 24 × 11 Symbols (first symbol shared as PS).
    - Frame Alignment Word (FAW) - 22 symbols
    - Reserved/User Defined (RES) - 74 symbols
• 257b stream is distributed and synchronously mapped into the payload area of 8 x 100G lanes
• Alignment Markers and OH (AM/EOH/BOH) is added to each lane for monitoring and signaling purposes between link endpoints.
PCS – 100G lane format and overhead

- 100G lane is 128 rows x 5140b
- 1st row contains 480b AM; 480b EOH and 480b of BOH
- The 1st 5-bits of payload area is an all-zero pad to align to 257b.
- 1st row carries 15 x 257b payload
- Rows 2-128 carry 20 x 257b payload

- 480b Alignment Marker (AM) Field
  - 16 Octet FA1 = 0x09
  - 16 Octet FA2 = 0xD7
  - 28 Octet RES = 0x00
- 480b Extended Overhead (EOH) – Not used by 800GBASE-LR1/ER1
- 320b Basic Overhead – Mostly not used for 800GBASE-LR1/ER1 (except for link degrade signaling)
- 5b of pad for 257b alignment
PCS – BOH Overhead

- **MFAS**: Multi-Frame Alignment
- **STAT**: Status
- **GID**: Group Identification
- **IID**: PHY member Identification

- **Frame 1**
  - xxxx000
  - MFAS: xxxx000
  - STAT: xxxx000
  - GID: xxxx000
  - IID: xxxx000
  - CRC: xxxx000

- **Frame 2**
  - xxxx001
  - MFAS: xxxx001
  - STAT: xxxx001
  - GID: xxxx001
  - IID: xxxx001
  - CRC: xxxx001

- **Frame 3**
  - xxxx010
  - MFAS: xxxx010
  - STAT: xxxx010
  - GID: xxxx010
  - IID: xxxx010
  - CRC: xxxx010

- **Frame 4**
  - xxxx011
  - MFAS: xxxx011
  - STAT: xxxx011
  - GID: xxxx011
  - IID: xxxx011
  - CRC: xxxx011

- **Frame 5**
  - xxxx100
  - MFAS: xxxx100
  - STAT: xxxx100
  - GID: xxxx100
  - IID: xxxx100
  - CRC: xxxx100

- **Frame 6**
  - xxxx101
  - MFAS: xxxx101
  - STAT: xxxx101
  - GID: xxxx101
  - IID: xxxx101
  - CRC: xxxx101

- **Frame 7**
  - xxxx110
  - MFAS: xxxx110
  - STAT: xxxx110
  - GID: xxxx110
  - IID: xxxx110
  - CRC: xxxx110

- **Frame 8**
  - xxxx111
  - MFAS: xxxx111
  - STAT: xxxx111
  - GID: xxxx111
  - IID: xxxx111
  - CRC: xxxx111

- **Host status overhead**
  - Mostly unused for 800GBASE-LR1/ER1 (set to “0”)
  - STAT field is used to support Link Degrade signaling

= not used (set to “0”)
8 x 100G lanes are 128b interleaved to an 800G structure
The 800G structure at the output of the 128b interleaver can be redrawn as 2560 rows x 2056b (5,263,360b) total.

- 580 x 2056b rows + 29 x CR32 + 64-bits of pad align to 84 oFEC blocks (1,193,472b)
- A CRC32 is generated covering every 20 x 2056b rows to ensure MTTFPA.
- 84 oFEC blocks correspond to a symbol based optical frame (DSP Superframe).
- The oFEC block includes a scrambler which is reset at the beginning every 580 x 2056b rows of information bits.
- The oFEC blocks produce 1,376,256b of data + parity to the PMA.
The proposed oFEC is detailed in ITU-T G.709.3 Appendix III, IV, and V, Open ROADM MSA 5.0 W-Port Digital Specifications, and OpenZRplus v2.0.

Suggested to reference to one of these documents in the specification

The oFEC engine is a block-based encoder and iterative Soft-Decision (SD) decoder.

With 3 SD iterations the Net Coding Gain is 11.6 dB @ 10-15 (DP-16QAM), with pre-FEC BER threshold of $2.0 \times 10^{-2}$.

The latency of the oFEC encoder/decoder pair, including interleaving and deinterleaving, is 800,000 bits. 800,000 bits. For this 800GBASE-LR1/ER1 implementation this equates to ~1.5μs.
• The oFEC block includes a scrambler which is reset at the beginning every 580 x 2056b rows of information bits.
• The oFEC block is organized as 4 oFEC encode/decoders (ENC_DEC_[0..3]) followed by two Intra block interleavers (Intra_block [0..1]) and an inter-block interleaver. These functions operate in parallel to produce an oFEC codeword.
• A codeword is a semi-infinite set of bits organized in a matrix with a semi-infinite number of rows and N columns (N=128).
• 84 oFEC codec blocks are ratio locked and aligned to the media-side DSP super frame.
• The interleaver structure is organized as an (84,8) array of 16b x 16b square blocks and contains two mechanisms:
  • An intra-block interleaver (170,032b) reorders the bits in each 16b x 16b square block to ensure that the bits in each row and column of a square block at the encoder output are remapped uniformly in the square block for transmission.
  • An inter-block interleaver ensures that nearby symbols on the link contain bits that are widely separated from the encoder output.
• The oFEC codec block consumes 1,193,472b and produces 1,376,256b of data and parity, (128/111) expansion ratio.
PMA – 16QAM Symbol Mapping

The input bits of the symbol mapper are denoted by $c_k$ (k=0...1376255). The symbol mapper shall receive 8-bit blocks from each interleaver in time order in a round-robin fashion.

The symbol mapper shall map the input bits $c_k$ (k=0...1376255) to DP-16QAM symbols denoted $s_i$ (i=0...172031), where,

- $(c_{8i},c_{8i+2})$ shall map to the in-phase (I) component of the X-polarization of $s_i$
- $(c_{8i+4},c_{8i+6})$ shall map to the quadrature-phase (Q) component of the X-polarization of $s_i$
- $(c_{8i+1},c_{8i+3})$ shall map to the in-phase (I) component of the Y-polarization of $s_i$
- $(c_{8i+5},c_{8i+7})$ shall map to the quadrature-phase (Q) component of the Y-polarization of $s_i$

In each signaling dimension (XI/XQ/YI/YQ), the mapping from binary label to relative symbol amplitude shall be:

- $(0,0) \rightarrow -3,
- (0,1) \rightarrow -1,
- (1,0) \rightarrow +3$
- $(1,1) \rightarrow +1

<table>
<thead>
<tr>
<th>$c_{8i}$, $c_{8i+2}$, $c_{8i+4}$, $c_{8i+6}$ or $c_{8i+1}$, $c_{8i+3}$, $c_{8i+5}$, $c_{8i+7}$</th>
<th>I</th>
<th>Q</th>
</tr>
</thead>
<tbody>
<tr>
<td>$(0,0,0,0)$</td>
<td>-3</td>
<td>-3</td>
</tr>
<tr>
<td>$(0,0,0,1)$</td>
<td>-3</td>
<td>-1</td>
</tr>
<tr>
<td>$(0,0,1,0)$</td>
<td>-3</td>
<td>+3</td>
</tr>
<tr>
<td>$(0,0,1,1)$</td>
<td>-3</td>
<td>+1</td>
</tr>
<tr>
<td>$(0,1,0,0)$</td>
<td>-1</td>
<td>-3</td>
</tr>
<tr>
<td>$(0,1,0,1)$</td>
<td>-1</td>
<td>-1</td>
</tr>
<tr>
<td>$(0,1,1,0)$</td>
<td>-1</td>
<td>+3</td>
</tr>
<tr>
<td>$(0,1,1,1)$</td>
<td>-1</td>
<td>+1</td>
</tr>
<tr>
<td>$(1,0,0,0)$</td>
<td>+3</td>
<td>-3</td>
</tr>
<tr>
<td>$(1,0,0,1)$</td>
<td>+3</td>
<td>-1</td>
</tr>
<tr>
<td>$(1,0,1,0)$</td>
<td>+3</td>
<td>+3</td>
</tr>
<tr>
<td>$(1,0,1,1)$</td>
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<td>+1</td>
</tr>
<tr>
<td>$(1,1,0,0)$</td>
<td>+1</td>
<td>-3</td>
</tr>
<tr>
<td>$(1,1,0,1)$</td>
<td>+1</td>
<td>-1</td>
</tr>
<tr>
<td>$(1,1,1,0)$</td>
<td>+1</td>
<td>+3</td>
</tr>
<tr>
<td>$(1,1,1,1)$</td>
<td>+1</td>
<td>+1</td>
</tr>
</tbody>
</table>
PMA – DSP frame format

Pilot symbols shall be inserted every 64 symbols, starting with the first symbol of each DSP super-frame. The first 11 symbols of each DSP sub-frame can also be used for training (e.g., frame acquisition). The first symbol of the Training Sequence (TS) is a Pilot Symbol (PS).

- Every DSP sub-frame has the same structure based on a fixed TS with the first symbol processed as a pilot.
- The TS includes 11 QPSK symbols in each polarization. The TS is different between X and Y polarizations.
- The PS sequence includes (1+113) QPSK symbols based on PRBS. The first TS symbol is also the first symbol of the PS sequence.

The first DSP sub-frame includes a 22 symbol Frame Alignment Word (FAW) used to align the 84 OFEC Code block frame.

- 22 symbols used as the Super Frame Alignment Word (FAW). The FAW is different between X and Y polarizations.
- 74 symbols are reserved for future use. The symbols should be randomized to avoid strong tones.
PMA – FAW/Training Sequence (TS)

- The FAW sequence at the beginning of each DSP super-frame shall match the sequence in the table below.
- The TS sequence shall match the sequence in the table below:

<table>
<thead>
<tr>
<th>Index</th>
<th>FAW X</th>
<th>FAW Y</th>
<th>Index</th>
<th>FAW X</th>
<th>FAW Y</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>3-3j</td>
<td>3+3j</td>
<td>12</td>
<td>3-3j</td>
<td>-3+3j</td>
</tr>
<tr>
<td>2</td>
<td>3+3j</td>
<td>-3+3j</td>
<td>13</td>
<td>-3-3j</td>
<td>-3+3j</td>
</tr>
<tr>
<td>3</td>
<td>3+3j</td>
<td>-3-3j</td>
<td>14</td>
<td>-3-3j</td>
<td>3+3j</td>
</tr>
<tr>
<td>4</td>
<td>3+3j</td>
<td>-3+3j</td>
<td>15</td>
<td>-3+3j</td>
<td>-3-3j</td>
</tr>
<tr>
<td>5</td>
<td>3-3j</td>
<td>3-3j</td>
<td>16</td>
<td>3+3j</td>
<td>3+3j</td>
</tr>
<tr>
<td>6</td>
<td>3-3j</td>
<td>3+3j</td>
<td>17</td>
<td>-3-3j</td>
<td>-3-3j</td>
</tr>
<tr>
<td>7</td>
<td>-3-3j</td>
<td>3-3j</td>
<td>18</td>
<td>3-3j</td>
<td>-3+3j</td>
</tr>
<tr>
<td>8</td>
<td>3+3j</td>
<td>3-3j</td>
<td>19</td>
<td>-3+3j</td>
<td>3-3j</td>
</tr>
<tr>
<td>9</td>
<td>-3-3j</td>
<td>-3-3j</td>
<td>20</td>
<td>3+3j</td>
<td>-3-3j</td>
</tr>
<tr>
<td>10</td>
<td>-3+3j</td>
<td>3-3j</td>
<td>21</td>
<td>-3-3j</td>
<td>3-3j</td>
</tr>
<tr>
<td>11</td>
<td>-3+3j</td>
<td>3+3j</td>
<td>22</td>
<td>-3+3j</td>
<td>-3+3j</td>
</tr>
</tbody>
</table>

**FAW sequence**

**TS sequence**

<table>
<thead>
<tr>
<th>Index</th>
<th>Training X</th>
<th>Training Y</th>
</tr>
</thead>
<tbody>
<tr>
<td>1*</td>
<td>-3+3j</td>
<td>-3-3j</td>
</tr>
<tr>
<td>2</td>
<td>3+3j</td>
<td>-3-3j</td>
</tr>
<tr>
<td>3</td>
<td>-3+3j</td>
<td>3-3j</td>
</tr>
<tr>
<td>4</td>
<td>3+3j</td>
<td>-3+3j</td>
</tr>
<tr>
<td>5</td>
<td>-3-3j</td>
<td>-3+3j</td>
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<td>3-3j</td>
</tr>
<tr>
<td>7</td>
<td>-3-3j</td>
<td>-3-3j</td>
</tr>
<tr>
<td>8</td>
<td>-3-3j</td>
<td>-3+3j</td>
</tr>
<tr>
<td>9</td>
<td>3+3j</td>
<td>3-3j</td>
</tr>
<tr>
<td>10</td>
<td>3-3j</td>
<td>3+3j</td>
</tr>
<tr>
<td>11</td>
<td>3-3j</td>
<td>3-3j</td>
</tr>
</tbody>
</table>
PMA - Pilot Sequence (PS)

A pilot sequence, formed from the outer symbols of the DP-16QAM constellation, is inserted every 64 symbols in each DSP frame. The pilot sequence is a fixed 114 symbol sequence formed from a PRBS10 sequence mapped to DP-QPSK with different seeds for the X/Y polarizations.

- Seeds are selected so that the pilot and training sequence combined are DC balanced.
- Seeds are selected so that the first symbol in the training sequence is also the first symbol in the pilot sequence.
- The seed is reset at the start of every DSP sub-frame.

<table>
<thead>
<tr>
<th>Index</th>
<th>Pilot X</th>
<th>Pilot Y</th>
<th>Index</th>
<th>Pilot X</th>
<th>Pilot Y</th>
<th>Index</th>
<th>Pilot X</th>
<th>Pilot Y</th>
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<th>Pilot X</th>
<th>Pilot Y</th>
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<tbody>
<tr>
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Generator polynomial: $x^{10} + x^{7} + x^{3} + x + 1$

<table>
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<tr>
<th>Seed X</th>
<th>Seed Y</th>
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<tbody>
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<td>0x34E</td>
<td>0x084</td>
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</table>
X and Y indicate a pair of mutually orthogonal polarizations of any orientation and I and Q are mutually orthogonal phase channels in each polarization. The four data path channels are therefore labeled XI, XQ, YI, and YQ.

All coherent channel mappings are allowed for the TX signal. The Rx should work in all cases because the Rx can unambiguously identify the signals polarization and phase, based on the FAW.

The Tx mapping is specified by two designations: [X:Y ; I,Q] where a “:” is used to separate X & Y, a “,” is used to separate I & Q.

<table>
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<tr>
<th>Mapping</th>
<th>X:Y</th>
<th>I,Q</th>
<th>Notes</th>
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<td>Polarization cannot be interleaved</td>
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<td>I,Q:I,Q</td>
<td>Same across Polarizations</td>
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<td>Q,I:Q,I</td>
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<td>[x,2]</td>
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<td>I,Q:Q,I</td>
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<td>[x,3]</td>
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<td>Q,I:I,Q</td>
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</table>
Data rates overview

800GBASE-LR1/ER1 = DP-16QAM @ 118.161819677 GSym/Sec

For reference, 800ZR = DP-16QAM @ 118.203350603 GSym/Sec with the difference attributed to synchronously mapped datapath vs. GMP mapped datapath
Summary

• A complete PCS and PMA baseline proposal is provided for a single lambda coherent solution based on oFEC, that supports both 800GBASE-LR1 and 800GBASE-ER1 PHYs.
• The proposal provides a common and interoperable oFEC based solution for 800GBASE-LR1 and 800GBASE-ER1 (and a potential future 800GBASE-ZR1)
• This proposal leverages well-understood technology (oFEC), broad industry investment (800ZR/ZR+) and meets all the requirements for 800GBASE-LR1 and 800GBASE-ER1
• The proposal also builds upon the ongoing efforts in 802.3cw to define an 802.3 PHY documentation structure to support a coherent optical interface
• Recommend adopt as logic baseline for 800GBASE-LR1 and 800GBASE-ER1
Thanks