

# 802.3dj D2.3

## Comment Resolution

## Optical Topics

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# Introduction

- This slide package was assembled by the 802.3dj editorial team to provide background and detailed resolutions to aid in comment resolution.
- Specifically, these slides are for the various optical-track comments.

## 180.9.6.4 TDECQ measurement method

Comments #62, 46, 158, 45, 29, 50, 4, 157, 156

# TDECQ measurement method - Current

## D2.3 pages 482 & 483

### 180.9.6.4 TDECQ measurement method

The standard deviation of the noise of the reference receiver specified in 180.9.2,  $\sigma_5$ , is determined with no optical input signal and the same settings as used to capture the histograms described below.

The test pattern specified for TDECQ (see Table 180-13) is transmitted repetitively by the optical lane under test and the oscilloscope is set up to capture samples from all symbols in the complete pattern without averaging.

If an equivalent-time sampling oscilloscope is used, the impact of the sampling process and the reference equalizer on transmitter noise has to be compensated for, so that the correct magnitude of noise is present at the output of the equalizer.

The captured waveform is processed to find the largest noise that could be combined with the signal by an reference receiver when optimally equalized by a reference equalizer. The optimal equalizer tap coefficients are dependent on the amount of noise that can be added to the signal, so finding the noise that can be added and the optimal equalizer setting is an iterative process. One way of doing this, using estimated PAM4 symbol error ratio as the figure of merit for the equalized signal, is described below.

The reference equalizer specified in 180.9.6.3 is applied to the waveform. An eye diagram is formed from the equalized captured waveform.

The average optical power ( $P_{ave}$ ) of the equalized eye diagram is determined, and the 0 UI and 1 UI crossing points are determined by the average of the eye diagram crossing times, as measured at  $P_{ave}$ , as illustrated in Figure 180-11.

The TDECQ reference point where  $OMA_{TDECQ}$  is referenced to and noise is added is at the input of the reference equalizer.

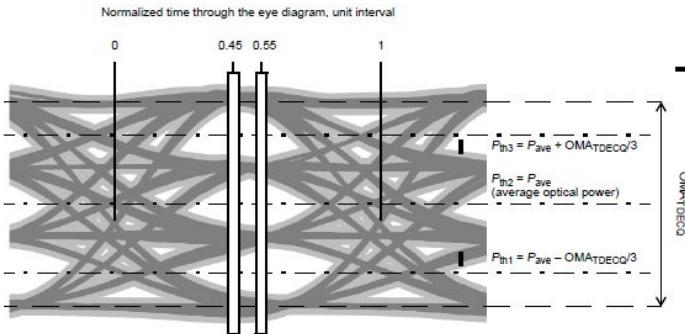


Figure 180-11—Illustration of the TDECQ measurement

Two vertical histograms are measured through the eye diagram, nominally centered at 0.45 UI and 0.55 UI. Each of the histogram windows spans all of the modulation levels of the eye diagram, as illustrated in Figure 180-11. The precise time position of the pair of histograms is adjusted to minimize TDECQ while keeping the histograms spaced 0.1 UI apart.

Each histogram window has a width of 0.04 UI. Each histogram window has outer height boundaries which are set beyond the extremes of the eye diagram (so that no further samples would be captured by increasing the vertical separation of the height boundaries).

The sub-eye threshold levels  $P_{th1}$ ,  $P_{th2}$ , and  $P_{th3}$ , are determined from the  $OMA_{TDECQ}$  and the average optical power of the eye diagram ( $P_{ave}$ ) as defined in Equation (180-1), Equation (180-2), and Equation (180-3), and illustrated in Figure 180-11.

$$P_{th1} = P_{ave} - \frac{OMA_{TDECQ}}{3} \quad (180-1)$$

$$P_{th2} = P_{ave} \quad (180-2)$$

$$P_{th3} = P_{ave} + \frac{OMA_{TDECQ}}{3} \quad (180-3)$$

Each captured histogram is processed to, in effect, combine the PAM4 waveform with noise, in order to produce an estimate of the partial PAM4 symbol error ratio (SER) for each sub-eye. One way of doing this is described below.

The left and right histograms are each normalized, and can be represented as a series of equally spaced optical power values ( $y_i$ ) with separation  $\Delta y$ , each with an associated fraction  $F(y_i)$ , equal to the number of samples captured in that power interval divided by the total number of samples in that histogram. The sum of all  $F(y_i)$  for each histogram is equal to 1.

# TDECQ measurement method - Current D2.3 pages 484 & 485

From the left normalized histogram  $F(y_i)$ , three cumulative probability functions are created,  $CF_{L1}(y_i)$ ,  $CF_{L2}(y_i)$ , and  $CF_{L3}(y_i)$ , one around each sub-eye threshold. The right histogram is treated similarly to create three cumulative probability functions  $CF_{R1}(y_i)$ ,  $CF_{R2}(y_i)$ , and  $CF_{R3}(y_i)$ .  $CF_{L1}(y_i)$  is defined in 180-4.

$$CF_{L1}(y_i) = \begin{cases} \sum_{y=y_i}^{y_i} F(y) \text{ for } y_i \geq P_{\text{th1}} \\ \sum_{y=P_{\text{th1}}}^{y_i} F(y) \text{ for } P_{\text{th1}} < y_i < P_{\text{th2}} \\ \sum_{y=y_i}^{y_i} F(y) \text{ for } y_i < P_{\text{th2}} \end{cases} \quad (180-4)$$

Each element of the cumulative probability function,  $CF_{L1}(y_i)$ , is multiplied by a value  $G_{\text{th1}}(y_i)$ , and then summed to calculate an approximation for  $SER_{L1}$ , the partial PAM4 SER for threshold 1. Each element of the cumulative probability function,  $CF_{L2}(y_i)$ , is multiplied by a value  $G_{\text{th2}}(y_i)$ , and then summed to calculate an approximation for  $SER_{L2}$ . Each element of the cumulative probability function,  $CF_{L3}(y_i)$ , is multiplied by a value  $G_{\text{th3}}(y_i)$ , and then summed to calculate an approximation for  $SER_{L3}$ . The sum of the three partial PAM4 SERs is the PAM4 SER associated with the left histogram,  $SER_L$ .

Each element of the cumulative probability function,  $CF_{R1}(y_i)$ , is multiplied by a value  $G_{\text{th1}}(y_i)$ , and then summed to calculate an approximation for  $SER_{R1}$ , the partial PAM4 SER for threshold 1.  $CF_{R2}(y_i)$  and  $CF_{R3}(y_i)$  are treated similarly to calculate  $SER_{R2}$ , and  $SER_{R3}$ , the partial PAM4 SERs for threshold 2 and threshold 3. The sum of the three partial PAM4 SERs is the PAM4 SER associated with the right histogram,  $SER_R$ .

$G_{\text{th1}}(y_i)$  is equivalent to a Gaussian probability density function with an RMS value of  $\sigma_G$ , centered around the sub-eye threshold  $P_{\text{th1}}$ .  $G_{\text{th1}}(y_i)$  is given by Equation (180-5) and can be estimated by Equation (180-6).

$$G_{\text{th1}}(y_i) = \int_{y_i - \frac{\Delta y}{2}}^{y_i + \frac{\Delta y}{2}} \frac{1}{C_{\text{eq}}\sigma_G\sqrt{2\pi}} \times e^{-\frac{(y - P_{\text{th1}})^2}{C_{\text{eq}}\sigma_G^2/2}} dy \quad (180-5)$$

$$G_{\text{th1}}(y_i) = \frac{1}{C_{\text{eq}}\sigma_G\sqrt{2\pi}} \times e^{-\frac{(y_i - P_{\text{th1}})^2}{C_{\text{eq}}\sigma_G^2/2}} \times \Delta y \quad (180-6)$$

$G_{\text{th2}}(y_i)$  and  $G_{\text{th3}}(y_i)$  are similar Gaussian probability density functions with the same RMS value of  $\sigma_G$ , centered around the sub-eye thresholds  $P_{\text{th2}}$  and  $P_{\text{th3}}$  respectively.  $G_{\text{th2}}(y_i)$  and  $G_{\text{th3}}(y_i)$  are given by Equation (180-7) and Equation (180-8) respectively.

$$G_{\text{th2}}(y_i) = \int_{y_i - \frac{\Delta y}{2}}^{y_i + \frac{\Delta y}{2}} \frac{1}{C_{\text{eq}}\sigma_G\sqrt{2\pi}} \times e^{-\frac{(y - P_{\text{th2}})^2}{C_{\text{eq}}\sigma_G^2/2}} dy \quad (180-7)$$

$$G_{\text{th3}}(y_i) = \int_{y_i - \frac{\Delta y}{2}}^{y_i + \frac{\Delta y}{2}} \frac{1}{C_{\text{eq}}\sigma_G\sqrt{2\pi}} \times e^{-\frac{(y - P_{\text{th3}})^2}{C_{\text{eq}}\sigma_G^2/2}} dy \quad (180-8)$$

where

$C_{\text{eq}}$  is a coefficient which accounts for the reference equalizer noise enhancement

The value of  $C_{\text{eq}}$  can be calculated from the product of the normalized noise power density spectrum  $N(f)$  at the input of the reference equalizer and the normalized frequency response  $H_{\text{eq}}(f)$  of the reference equalizer, as shown in Equation (180-9).

$$C_{\text{eq}} = \sqrt{\int_f N(f) \times |H_{\text{eq}}(f)|^2 df} \quad (180-9)$$

where

$N(f)$  is the normalized noise power density spectrum equivalent to white noise filtered by a fourth-order Bessel-Thomson response filter with a 3 dB bandwidth of 53.125 GHz.

and

$$\int_f N(f) df = H_{\text{eq}}(f = 0) = 1 \quad (180-10)$$

The equalizer tap coefficients are iteratively adjusted and  $SER_L$  and  $SER_R$  calculated until the largest of  $SER_L$  and  $SER_R$  is minimized. Then, if the larger of  $SER_L$  and  $SER_R$  is greater than the target PAM4 SER of  $4.56 \times 10^{-4}$ , the value of  $\sigma_G$  is decreased and the process of equalizer optimization is repeated; If the larger of  $SER_L$  and  $SER_R$  is lower than the target PAM4 SER of  $4.56 \times 10^{-4}$ , then the value of  $\sigma_G$  is increased and the process of equalizer optimization is repeated.

$P_{\text{th1}}$ ,  $P_{\text{th2}}$ , and  $P_{\text{th3}}$  are varied from their nominal values by up to  $\pm 1\%$  of  $\text{OMA}_{\text{TDECQ}}$  in order to optimize TDECQ. The same three thresholds are used for both the left and the right histogram.

When the larger of  $SER_L$  and  $SER_R$  is equal to the target PAM4 SER of  $4.56 \times 10^{-4}$ , and the value of  $\sigma_G$  cannot be increased by further optimization of the equalizer tap coefficients or the sub-eye threshold levels, then TDECQ is calculated.

The RMS noise,  $R$ , that could be added by a receiver is given by Equation (180-11).

$$R = \sqrt{\sigma_G^2 + \sigma_S^2} \quad (180-11)$$

TDECQ is given by Equation (180-12).

$$\text{TDECQ} = 10 \log_{10} \left( \frac{\text{OMA}_{\text{TDECQ}}}{6} \times \frac{1}{Q_i R} \right) \quad (180-12)$$

where

$\text{OMA}_{\text{TDECQ}}$  is measured as defined in 180.9.5 except using waveforms captured at the output of the reference equalizer

$Q_i$  is 3.428, consistent with the target symbol error ratio for Gray mapped PAM4, and can be calculated according to Equation (180-27)

Alternative optimization methods such as minimum mean squared error (MMSE) may be used to determine equalizer tap weights to reduce test time, and are expected to report equal or higher values of TDECQ. These alternative methods should not be used for receiver sensitivity and stressed receiver sensitivity calibration.

# TDECQ measurement method - Proposed page 482

C/ 180 SC 180.9.6.4 P482 L53 # 29 [REDACTED]

Huber, Thomas Nokia

Comment Type E Comment Status D TDECQ and OMA (CO)

Awkward sentence: "The TDECQ reference point where OMA(sub)TDECQ is referenced to and noise is added is at the input of the reference equalizer."

## SuggestedRemedy

Rewrite as:  
"The reference point for OMA(sub)TDECQ, to which noise is added, is at the input of the reference equalizer."

C/ 180 SC 180.9.6.4 P482 L53 # 46 [REDACTED]

Brown, Matt Alphawave Semi

Comment Type T Comment Status D TDECQ and OMA (CO)

In the sentence "The TDECQ reference point where OMA\_TDECQ is referenced to and noise is added is at the input of the reference equalizer." it is not clear what these reference points are. Further, the measurement point for OMA\_TDECQ contradicts the text on page 485 line 46 and 488 line 52. If these are intended to be the same point then one or the other locations needs to be corrected. If they are intended to be different, then a different parameter name should be used. Finally, the definition of OMA\_TDECQ should be colocated where it is used, e.g., along with equation 180-1 through 180-3.

## SuggestedRemedy

Reconcile the measurement points for OMA\_TDECQ or use different parameter names. Define OMA\_TDECQ where it is referenced in equations, e.g., page 483 line 45. Delete the sentence at page 482 line 53. Note that another comment deals with the mention of noise in this sentence.

C/ 180 SC 180.9.6.4 P482 L53 # 158 [REDACTED]

Mi, Guangcan Huawei Technologies Co., Ltd.

Comment Type T Comment Status D TDECQ and OMA (O)

OMA\_TDECQ is used to calculate the threshold power,  $P_{th\_1}$  and  $P_{th\_2}$ , which is set according to the equalized eye diagram as shown in Figure 180-11. OMA\_TDECQ should not be measured at the input of the equalizer.

## SuggestedRemedy

add the definition of OMA\_TDECQ, "is measured as defined in 180.9.5 except using waveforms captured at the output of the reference equalizer". Noise is added at the input of the reference equalizer.

## 180.9.6.4 TDECQ measurement method

The standard deviation of the noise of the reference receiver specified in 180.9.2,  $\sigma_S$ , is determined with no optical input signal and the same settings as used to capture the histograms described below.

The test pattern specified for TDECQ (see Table 180-13) is transmitted repetitively by the optical lane under test and the oscilloscope is set up to capture samples from all symbols in the complete pattern without averaging.

If an equivalent-time sampling oscilloscope is used, the impact of the sampling process and the reference equalizer on transmitter noise has to be compensated for, so that the correct magnitude of noise is present at the output of the equalizer.

The captured waveform is processed to find the largest noise that could be combined with the signal by an reference receiver when optimally equalized by a reference equalizer. The optimal equalizer tap coefficients are dependent on the amount of noise that can be added to the signal, so finding the noise that can be added and the optimal equalizer setting is an iterative process. One way of doing this, using estimated PAM4 symbol error ratio as the figure of merit for the equalized signal, is described below.

The reference equalizer specified in 180.9.6.3 is applied to the waveform. An eye diagram is formed from the equalized captured waveform.

The average optical power ( $P_{ave}$ ) of the equalized eye diagram is determined, and the 0 UI and 1 UI crossing points are determined by the average of the eye diagram crossing times, as measured at  $P_{ave}$ , as illustrated in Figure 180-11.

The TDECQ reference point where OMA\_TDECQ is referenced to and noise is added is at the input of the reference equalizer.

**Comment #29, 46, 158**

# TDECQ measurement method

## Proposed page 483

CI 180 SC 180.9.6.4 P482 L53 # 46

Brown, Matt Alphawave Semi

Comment Type T Comment Status D TDECQ and OMA (CO)

In the sentence "The TDECQ reference point where OMA\_TDECQ is referenced to and noise is added is at the input of the reference equalizer." it is not clear what these reference points are. Further, the measurement point for OMA\_TDECQ contradicts the text on page 485 line 46 and 488 line 52. If these are intended to be the same point then one or the other locations needs to be corrected. If they are intended to be different, then a different parameter name should be used. Finally, the definition of OMA\_TDECQ should be colocated where it is used, e.g., along with equation 180-1 through 180-3.

### SuggestedRemedy

Reconcile the measurement points for OMA\_TDECQ or use different parameter names. Define OMA\_TDECQ where it is referenced in equations, e.g., page 483 line 45. Delete the sentence at page 482 line 53. Note that another comment deals with the mention of noise in this sentence.

### Insert the following text

where

OMA-TDECQ is measured as defined in 180.9.5 except using waveforms captured at the output of the reference equalizer

### Comment #46

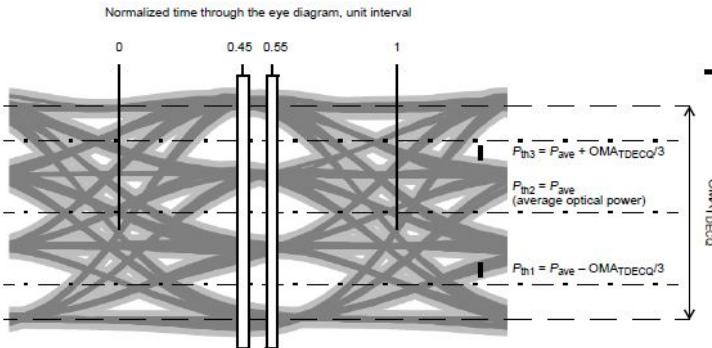


Figure 180-11—Illustration of the TDECQ measurement

Two vertical histograms are measured through the eye diagram, nominally centered at 0.45 UI and 0.55 UI. Each of the histogram windows spans all of the modulation levels of the eye diagram, as illustrated in Figure 180-11. The precise time position of the pair of histograms is adjusted to minimize TDECQ while keeping the histograms spaced 0.1 UI apart.

Each histogram window has a width of 0.04 UI. Each histogram window has outer height boundaries which are set beyond the extremes of the eye diagram (so that no further samples would be captured by increasing the vertical separation of the height boundaries).

The sub-eye threshold levels  $P_{th1}$ ,  $P_{th2}$ , and  $P_{th3}$ , are determined from the  $OMA_{TDECQ}$  and the average optical power of the eye diagram ( $P_{ave}$ ) as defined in Equation (180-1), Equation (180-2), and Equation (180-3), and illustrated in Figure 180-11.

$$P_{th1} = P_{ave} - \frac{OMA_{TDECQ}}{3} \quad (180-1)$$

$$P_{th2} = P_{ave} \quad (180-2)$$

$$P_{th3} = P_{ave} + \frac{OMA_{TDECQ}}{3} \quad (180-3)$$

Each captured histogram is processed to, in effect, combine the PAM4 waveform with noise, in order to produce an estimate of the partial PAM4 symbol error ratio (SER) for each sub-eye. One way of doing this is described below.

The left and right histograms are each normalized, and can be represented as a series of equally spaced optical power values ( $y_i$ ) with separation  $\Delta y$ , each with an associated fraction  $F(y_i)$ , equal to the number of samples captured in that power interval divided by the total number of samples in that histogram. The sum of all  $F(y_i)$  for each histogram is equal to 1.

# TDECQ measurement method - Proposed

## page 484

C/ 180 SC 180.9.6.4

P482

L53

# 45

Brown, Matt

Alphawave Semi

Comment Type T Comment Status D

TDECQ and OMA (CO)

The sentence "The TDECQ reference point where OMATDECQ is referenced to and noise is added is at the input of the reference equalizer." It is not clear which noise this is referring to. It would be good to link the AWGN noise source in Figure 180-10 with sigma\_g. Note that another comment deals with the OMA\_TDECQ in this sentence.

SuggestedRemedy

Delete the reference sentence.

On page 484 line 26 (the first reference to  $\sigma_G$ )...

Change the sentence to: "G\_th1(y\_i), which represents AWGN at the input to the reference equalizer (see Figure 180-10), is equivalent to a Gaussian probability density function with an RMS value of  $\sigma_G$ , centered around the sub-eye threshold P\_th1."

Change the start of the paragraphs to the following:

$G_{th1}(y_i)$ , which represents AWGN at the input to the reference equalizer (see Figure 180-10), is equivalent ...

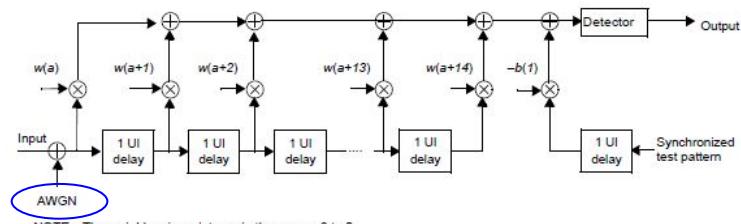


Figure 180-10—TDECQ reference equalizer functional model

From the left normalized histogram  $F(y_i)$ , three cumulative probability functions are created,  $CF_{L1}(y_i)$ ,  $CF_{L2}(y_i)$ , and  $CF_{L3}(y_i)$ , one around each sub-eye threshold. The right histogram is treated similarly to create three cumulative probability functions  $CF_{R1}(y_i)$ ,  $CF_{R2}(y_i)$ , and  $CF_{R3}(y_i)$ .  $CF_{L1}(y_i)$  is defined in 180-4.

$$CF_{L1}(y_i) = \begin{cases} \sum_{\substack{y = P_{th1} \\ P_{th1} \\ y = y_i}}^{y_i} F(y) \text{ for } y_i \geq P_{th1} \\ \sum_{y = P_{th1}}^{y_i} F(y) \text{ for } y_i < P_{th1} \end{cases} \quad (180-4)$$

Each element of the cumulative probability function,  $CF_{L1}(y_i)$ , is multiplied by a value  $G_{th1}(y_i)$ , and then summed to calculate an approximation for  $SER_{L1}$ , the partial PAM4 SER for threshold 1. Each element of the cumulative probability function,  $CF_{L2}(y_i)$ , is multiplied by a value  $G_{th2}(y_i)$ , and then summed to calculate an approximation for  $SER_{L2}$ . Each element of the cumulative probability function,  $CF_{L3}(y_i)$ , is multiplied by a value  $G_{th3}(y_i)$ , and then summed to calculate an approximation for  $SER_{L3}$ . The sum of the three partial PAM4 SERs is the PAM4 SER associated with the left histogram,  $SER_L$ .

Each element of the cumulative probability function,  $CF_{R1}(y_i)$ , is multiplied by a value  $G_{th1}(y_i)$ , and then summed to calculate an approximation for  $SER_{R1}$ , the partial PAM4 SER for threshold 1.  $CF_{R2}(y_i)$  and  $CF_{R3}(y_i)$  are treated similarly to calculate  $SER_{R2}$  and  $SER_{R3}$ , the partial PAM4 SERs for threshold 2 and threshold 3. The sum of the three partial PAM4 SERs is the PAM4 SER associated with the right histogram,  $SER_R$ .

$G_{th1}(y_i)$  is equivalent to a Gaussian probability density function with an RMS value of  $\sigma_G$ , centered around the sub-eye threshold  $P_{th1}$ .  $G_{th1}(y_i)$  is given by Equation (180-5) and can be estimated by Equation (180-6).

$$G_{th1}(y_i) = \int_{y_i - \frac{\Delta y}{2}}^{y_i + \frac{\Delta y}{2}} \frac{1}{C_{eq}\sigma_G\sqrt{2\pi}} \times e^{-\frac{(y - P_{th1})^2}{C_{eq}\sigma_G^2}} dy \quad (180-5)$$

$$G_{th1}(y_i) = \frac{1}{C_{eq}\sigma_G\sqrt{2\pi}} \times e^{-\frac{(y - P_{th1})^2}{C_{eq}\sigma_G^2}} \times \Delta y \quad (180-6)$$

$G_{th2}(y_i)$  and  $G_{th3}(y_i)$  are similar Gaussian probability density functions with the same RMS value of  $\sigma_G$ , centered around the sub-eye thresholds  $P_{th2}$  and  $P_{th3}$  respectively.  $G_{th2}(y_i)$  and  $G_{th3}(y_i)$  are given by Equation (180-7) and Equation (180-8) respectively.

$$G_{th2}(y_i) = \int_{y_i - \frac{\Delta y}{2}}^{y_i + \frac{\Delta y}{2}} \frac{1}{C_{eq}\sigma_G\sqrt{2\pi}} \times e^{-\frac{(y - P_{th2})^2}{C_{eq}\sigma_G^2}} dy \quad (180-7)$$

$$G_{th3}(y_i) = \int_{y_i - \frac{\Delta y}{2}}^{y_i + \frac{\Delta y}{2}} \frac{1}{C_{eq}\sigma_G\sqrt{2\pi}} \times e^{-\frac{(y - P_{th3})^2}{C_{eq}\sigma_G^2}} dy \quad (180-8)$$

# TDECQ measurement method

## - Proposed page 485

CI 180 SC 180.9.6.4

P485

L42

# 157

Mi, Guangcan

Huawei Technologies Co., Ltd.

Comment Type T Comment Status D

TDECQ and OMA (O)

TDECQ is comparing the maximum additive noise to the optical power in OMA as measurement of the eye opening. Therefore the OMA used in equation 180-12 and noise R should be measured at the same point, which is OMA\_outer

SuggestedRemedy

change the OMA\_TDECQ in equation 180-12 to OMA\_outer, and update its definition text accordingly.

CI 180 SC 180.9.6.4

P482

L53

# 45

Brown, Matt

Alphawave Semi

Comment Type T Comment Status D

TDECQ and OMA (CO)

The sentence "The TDECQ reference point where OMA\_TDECQ is referenced to and noise is added is at the input of the reference equalizer." It is not clear which noise this is referring to. It would be good to link the AWGN noise source in Figure 180-10 with sigma\_g. Note that another comment deals with the OMA\_TDECQ in this sentence.

SuggestedRemedy

Delete the reference sentence.

On page 484 line 26 (the first reference to sigma\_G)...

Change the sentence to: "G\_th1(y\_l), which represents AWGN at the input to the reference equalizer (see Figure 180-10), is equivalent to a Gaussian probability density function with an RMS value of sigma\_G, centered around the sub-eye threshold P\_th1."

where,

$\sigma_G$  is the standard deviation of the maximum noise that can be added at the input of the reference equalizer

$\sigma_S$  is the standard deviation of the noise of the reference receiver specified in 180.9.2, determined with the same settings as the histogram measurement and no optical input signal

where

$C_{eq}$

is a coefficient which accounts for the reference equalizer noise enhancement

The value of  $C_{eq}$  can be calculated from the product of the normalized noise power density spectrum  $N(f)$  at the input of the reference equalizer and the normalized frequency response  $H_{eq}(f)$  of the reference equalizer, as shown in Equation (180-9).

$$C_{eq} = \sqrt{\int_f N(f) \times |H_{eq}(f)|^2 df} \quad (180-9)$$

where

$N(f)$

is the normalized noise power density spectrum equivalent to white noise filtered by a fourth-order Bessel-Thomson response filter with a 3 dB bandwidth of 53.125 GHz.

and

$$\int_f N(f) df = H_{eq}(f = 0) = 1 \quad (180-10)$$

The equalizer tap coefficients are iteratively adjusted and  $SER_L$  and  $SER_R$  calculated until the largest of  $SER_L$  and  $SER_R$  is minimized. Then, if the larger of  $SER_L$  and  $SER_R$  is greater than the target PAM4 SER of  $4.56 \times 10^{-4}$ , the value of  $\sigma_G$  is decreased and the process of equalizer optimization is repeated; If the larger of  $SER_L$  and  $SER_R$  is lower than the target PAM4 SER of  $4.56 \times 10^{-4}$ , then the value of  $\sigma_G$  is increased and the process of equalizer optimization is repeated.

$P_{th1}$ ,  $P_{th2}$ , and  $P_{th3}$  are varied from their nominal values by up to  $\pm 1\%$  of OMA\_TDECQ in order to optimize TDECQ. The same three thresholds are used for both the left and the right histogram.

When the larger of  $SER_L$  and  $SER_R$  is equal to the target PAM4 SER of  $4.56 \times 10^{-4}$ , and the value of  $\sigma_G$  cannot be increased by further optimization of the equalizer tap coefficients or the sub-eye threshold levels, then TDECQ is calculated.

The RMS noise, R, that could be added by a receiver is given by Equation (180-11).

$$R = \sqrt{\sigma_G^2 + \sigma_S^2} \quad (180-11)$$

TDECQ is given by Equation (180-12).

$$TDECQ = 10 \log_{10} \left( \frac{OMA_{outer}}{6} \times \frac{1}{Q_t R} \right) \quad (180-12)$$

where

$OMA_{outer}$

is measured as defined in 180.9.5 except using waveforms captured at the output of the reference equalizer

$Q_t$

is 3.428, consistent with the target symbol error ratio for Gray mapped PAM4, and can be calculated according to Equation (180-27)

Alternative optimization methods such as minimum mean squared error (MMSE) may be used to determine equalizer tap weights to reduce test time, and are expected to report equal or higher values of TDECQ. These alternative methods should not be used for receiver sensitivity and stressed receiver sensitivity calibration.

# TDECQ measurement method

## - Proposed page 485

CI 180 SC 180.9.6.4 P485 L41 # 4 [REDACTED]

Maniloff, Eric Ciena

Comment Type TR Comment Status D TDECQ and OMA (CO)

Discrepancy in optical modulation amplitude used for OMAouter – T(D)ECQ penalty computation in link budget:

To compute the link budget the transmitter penalty (TECQ,TDECQ) per equation 180-12 is to be subtracted from the OMAouter measured per SC 180.9.5. However, in SC 180.9.6.4, equation 180-12 suggests using OMATDECQ as the reference level of the ideal PAM4 signal for computation of the transmitter penalty (TECQ,TDECQ). In case, OMAouter measured per SC 180.9.5 and OMATDECQ computed per SC 180.9.6.4 differ, the quantity OMAouter-TECQ or OMAouter-TDECQ used in the link budget will be incorrect.

### SuggestedRemedy

In equation 180-12, substitute OMATDECQ with OMAouter (measured per SC 180.9.5) in the report of the TDECQ penalty.

CI 180 SC 180.9.6.4 P485 L41 # 50 [REDACTED]

Rodes, Roberto Coherent

Comment Type TR Comment Status D TDECQ and OMA (O)

TDECQ in 180-12 is a penalty on the OMA to calculate the Link power budget. Therefore, OMA in equation 180-12 should be equivalent to OMAouter as defined in 180.9.5.

### SuggestedRemedy

Replace OMATdecq with OMAouter in equation 180-12, and use editorial license to align the rest of the text in the subclause to this change

where

$C_{eq}$  is a coefficient which accounts for the reference equalizer noise enhancement

The value of  $C_{eq}$  can be calculated from the product of the normalized noise power density spectrum  $N(f)$  at the input of the reference equalizer and the normalized frequency response  $H_{eq}(f)$  of the reference equalizer, as shown in Equation (180-9).

$$C_{eq} = \sqrt{\int_f N(f) \times |H_{eq}(f)|^2 df} \quad (180-9)$$

where

$N(f)$  is the normalized noise power density spectrum equivalent to white noise filtered by a fourth-order Bessel-Thomson response filter with a 3 dB bandwidth of 53.125 GHz.

and

$$\int_f N(f) df = H_{eq}(f = 0) = 1 \quad (180-10)$$

The equalizer tap coefficients are iteratively adjusted and  $SER_L$  and  $SER_R$  calculated until the largest of  $SER_L$  and  $SER_R$  is minimized. Then, if the larger of  $SER_L$  and  $SER_R$  is greater than the target PAM4 SER of  $4.56 \times 10^{-4}$ , the value of  $\sigma_G$  is decreased and the process of equalizer optimization is repeated; If the larger of  $SER_L$  and  $SER_R$  is lower than the target PAM4 SER of  $4.56 \times 10^{-4}$ , then the value of  $\sigma_G$  is increased and the process of equalizer optimization is repeated.

$P_{th1}$ ,  $P_{th2}$ , and  $P_{th3}$  are varied from their nominal values by up to  $\pm 1\%$  of OMA<sub>TDECQ</sub> in order to optimize TDECQ. The same three thresholds are used for both the left and the right histogram.

When the larger of  $SER_L$  and  $SER_R$  is equal to the target PAM4 SER of  $4.56 \times 10^{-4}$ , and the value of  $\sigma_G$  cannot be increased by further optimization of the equalizer tap coefficients or the sub-eye threshold levels, then TDECQ is calculated.

The RMS noise,  $R$ , that could be added by a receiver is given by Equation (180-11).

$$R = \sqrt{\sigma_G^2 + \sigma_S^2} \quad (180-11)$$

TDECQ is given by Equation (180-12).

$$TDECQ = 10 \log_{10} \left( \frac{\text{OMAouter}}{6} \times \frac{1}{Q_t R} \right) \quad (180-12)$$

where

$\text{OMAouter}$  is measured as defined in 180.9.5 except using waveforms captured at the output of the reference equalizer

$Q_t$  is 3.428, consistent with the target symbol error ratio for Gray mapped PAM4, and can be calculated according to Equation (180-27)

Alternative optimization methods such as minimum mean squared error (MMSE) may be used to determine equalizer tap weights to reduce test time, and are expected to report equal or higher values of TDECQ. These alternative methods should not be used for receiver sensitivity and stressed receiver sensitivity calibration.