# Analysis of Noise Coloring Effect on MLSE COM Using Error Events

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  - Correlation Matrix / Power
- Conceptual Examples
- Probability of MLSE Error Event (DER<sub>MLSE</sub>)
- Few Scenarios
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- 24 Study Cases
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- Summary and Conclusions
- Appendix
  - A. Error Event Rate of L-PAM 1-TAP DFE
  - B. Analysis of the Conceptual Equivalent DFE

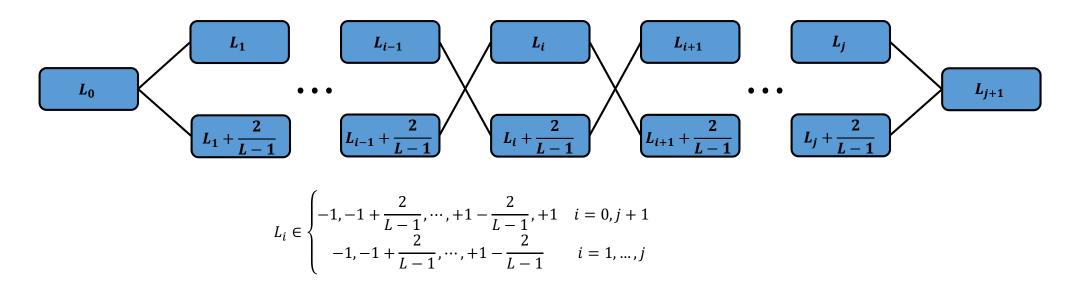


### Introduction

- Contributions shakiba\_3df\_01b\_2211, shakiba\_3dj\_01\_230116, and shakiba\_3dj\_elec\_01\_230223 that introduced and explained a 5-step method for calculating MLSE COM (△COM) assumed white (non-Gaussian) noise
- This contribution is a summary of the effect of noise coloring on MLSE COM
- The analysis is also applicable to cases where input noises are pre-colored (in this case COM needs to be modified to import the input noise PSDs)
- In addition, the previous contributions were based on PAM symbol error rate as the measure of performance (in the last two contributions "DER" was used to denote symbol error rate)
- This contribution considers the error event rate (or detector error ratio) (hereon "DER" denotes error event rate for consistency with COM terminology)
- To increase the accuracy of calculations, a more comprehensive method for calculating the PDF of the MLSE noise is suggested

# Background

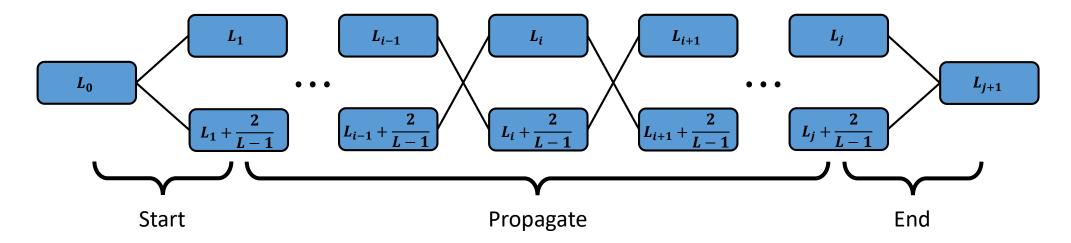
 $\bullet$  Contribution shakiba\_3df\_01b\_2211 showed that error events of an L-PAM  $1+\alpha D$  MLSE are dominated by a zig-zag pattern in the form of alteranting adjacent levels:



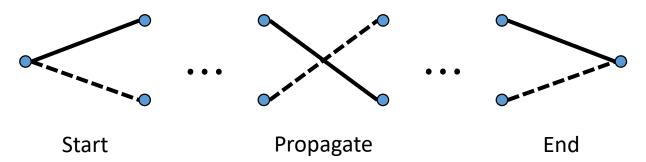
 Rather than assuming uncorrelated noise samples, which simplified calculation of the MLSE sequence noise, to include the effect of coloring the sequence noise must be calculated with the correlation between samples taken into consideration

### **Analysis of Minimum-Distance Error Event**

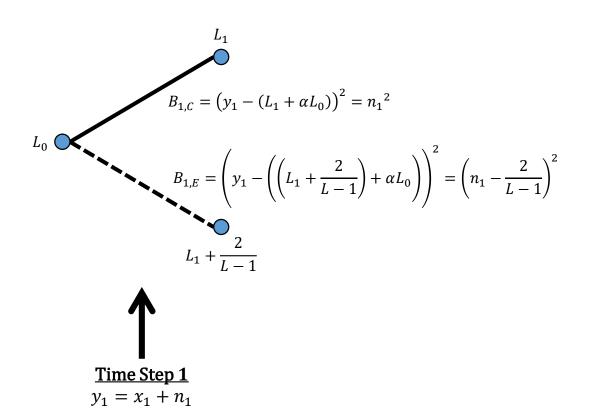
The alternating error event has three distinct sections:



• Corresponding to these sections, we analyze three following trellis transitions and without loss of generality consider the solid trace as the correct one:

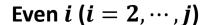


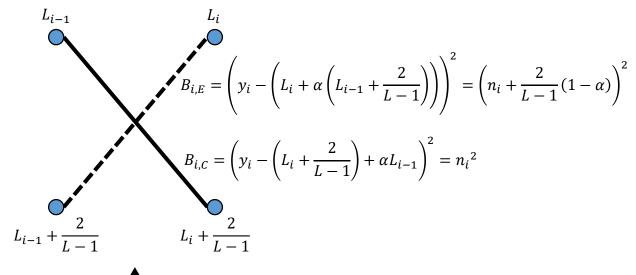
### **Start of the Error**

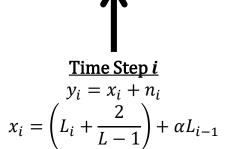


 $x_1 = L_1 + \alpha L_0$ 

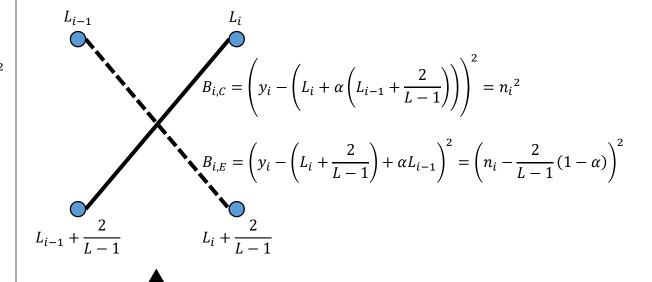
### **Propagation of the Error**

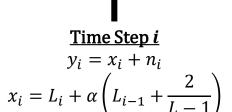






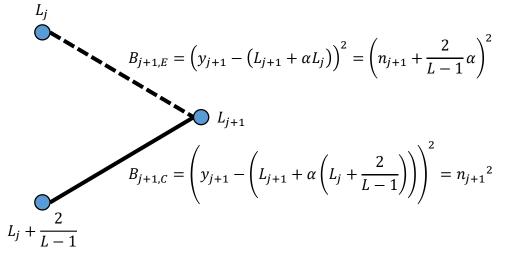
$$Oddi(i = 2, \cdots, j)$$





### **End of the Error**

#### Odd j + 1 (Even j)

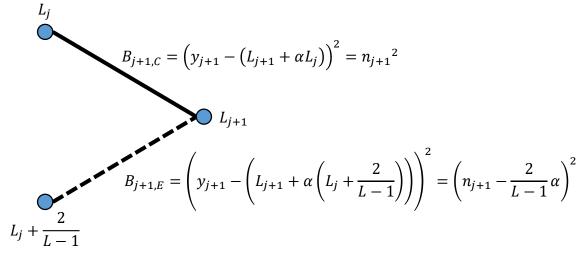




#### $\underline{\mathsf{Time}\,\mathsf{Step}\,j+1}$

$$y_{j+1} = x_{j+1} + n_{j+1}$$
$$x_{j+1} = L_{j+1} + \alpha \left( L_j + \frac{2}{L-1} \right)$$

#### Even j + 1 (Odd j)





#### Time Step j + 1

$$y_{j+1} = x_{j+1} + n_{j+1}$$
$$x_{j+1} = L_{j+1} + \alpha L_j$$

### **MLSE Sequence Noise**

 MLSE makes a wrong decision if the accumulated MSE of the error path is less than that of the correct path:

$$\sum_{i=1}^{j+1} B_{i,E} < \sum_{i=1}^{j+1} B_{i,C} \quad \Rightarrow \quad n_1 - (1-\alpha) \sum_{i=2}^{j} (-1)^i n_i + \alpha (-1)^{j+1} n_{j+1} > \frac{1}{L-1} (1+(j-1)(1-\alpha)^2 + \alpha^2)$$

• LHS is the sequence noise of MLSE and is a linear combination of j + 1 samples of noise:

$$n_{jEE} = n_1 - (1 - \alpha) \sum_{i=2}^{j} (-1)^i n_i + \alpha (-1)^{j+1} n_{j+1}$$

- These are samples of the same random noise taken at consecutive (j + 1) T-spaced intervals
- In case of white noise, noise samples  $(n_i)$  are identically distributed and independent random variables and will be weighted and power summed:

$$\sigma_{noise,jEE}^2 = \sigma_{noise}^2 (1 + (j-1)(1-\alpha)^2 + \alpha^2)$$
 , White

• However, if noise is colored the samples are identically distributed but dependent random variables and correlation between samples must be known to calculate the sequence noise

### **Correlation Matrix of the Sequence Noise**

 Assuming noise is stationary, its autocorrelation function is inverse Fourier transform of its PSD:

$$R_{NN}(\tau) = F^{-1}\{PSD_{noise}\}$$

• Correlation coefficients are (2j + 1) T-spaced (UI) samples  $(\rho_{-j}, \dots, \rho_0, \dots, \rho_j)$  of the normalized autocorrelation function:

$$\rho_i = \frac{R_{NN}(iT)}{R_{NN}(0) = \sigma_{noise}^2} \quad i = -j, \dots, 0, \dots, j$$

• Correlation matrix of the sequence noise can be calculated from the correlation coefficients and the weights given by the linear combination expression:

$$Cov_{noise,jEE} = \sigma_{noise}^{2} \begin{bmatrix} 1 & -(1-\alpha)\rho_{1} & +(1-\alpha)\rho_{2} & \cdots & (-1)^{j+1}\alpha\rho_{j} \\ -(1-\alpha)\rho_{-1} & (1-\alpha)^{2} & -(1-\alpha)^{2}\rho_{1} & \cdots & (-1)^{j}\alpha(1-\alpha)\rho_{j-1} \\ +(1-\alpha)\rho_{-2} & -(1-\alpha)^{2}\rho_{-1} & (1-\alpha)^{2} & \cdots & (-1)^{j-1}\alpha(1-\alpha)\rho_{j-2} \\ \vdots & \vdots & \ddots & \vdots \\ (-1)^{j+1}\alpha\rho_{-j} & (-1)^{j}\alpha(1-\alpha)\rho_{-(j-1)} & (-1)^{j-1}\alpha(1-\alpha)\rho_{-(j-2)} & \cdots & \alpha^{2} \end{bmatrix}$$

Correlation Matrix,  $ho_{noise, jEE}$ 

### Power of the MLSE Sequence Noise

 Variance (in this case power) of the sequence noise is sum of all the elements of the covariance matrix:

$$\sigma_{noise,jEE}^{\ 2} = \sum_{vertical} \sum_{horizontal} \left( Cov_{noise,jEE} \right) = \sigma_{noise}^{\ 2} \sum_{vertical} \sum_{horizontal} \left( \rho_{noise,jEE} \right)$$

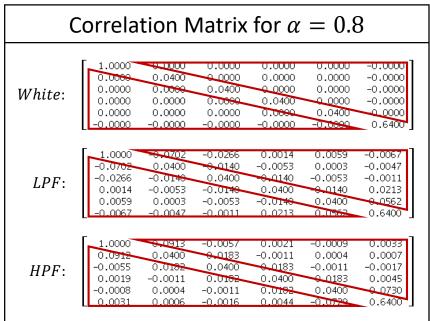
$$\sigma_{noise,jEE}^{\ 2} = \sigma_{noise}^{\ 2} (1 + (j-1)(1-\alpha)^2 + \alpha^2 + Sum \ of \ Red \ Terms)$$

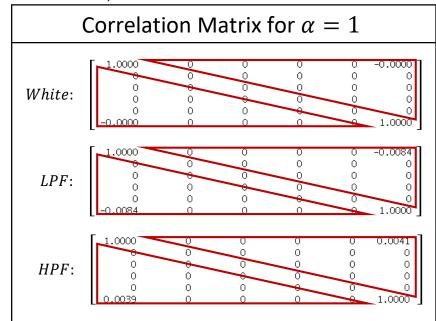
- The term in red is the increment in the sequence noise power due to coloring
- Depending on the polarities of the correlation coefficients this increment could be positive or negative

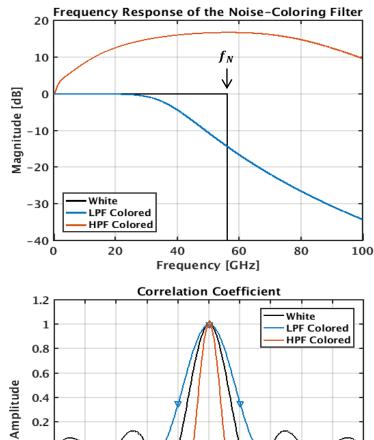
### **Conceptual Examples**

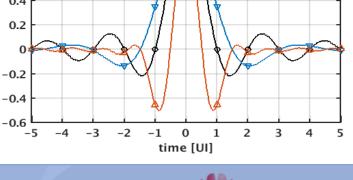
- Two HPF and LPF examples are chosen to showcase extreme noise coloring scenarios by either amplifying or attenuating the high-frequency energy
- In reality, noise components experience different shaping

Correlation Matrix,  $ho_{noise,5EE}$ 









# Probability of MLSE Error Event (DER<sub>MLSE</sub>)

• An error event of length *j* (burst) occurs if:

$$n_{jEE} = n_1 - (1 - \alpha) \sum_{i=2}^{j} (-1)^i n_i + \alpha (-1)^{j+1} n_{j+1} > \frac{1}{L-1} (1 + (j-1)(1 - \alpha)^2 + \alpha^2)$$

 $\bullet$  Assuming amplitude of main for the L-PAM signal, probability of the j-error event is:

$$P(jEE) = P\left(n_{jEE} > \frac{main}{L-1}(1+(j-1)(1-\alpha)^2 + \alpha^2) \quad \& \quad n_{(j+i)EE} \atop for \ i=1,2,\cdots} < \frac{main}{L-1}(1+(j+i-1)(1-\alpha)^2 + \alpha^2)\right)$$

- The above expression is very involved and contains infinite series of infinite integrals that cannot be evaluated in a closed-form format, and numerical calculation is intense
- An upper bound (tighter as SNR increases) to this expression can be obtained by dropping the condition on the longer error events:

$$P(jEE) \leq P\left(n_{jEE} > \frac{main}{L-1}(1+(j-1)(1-\alpha)^{2}+\alpha^{2})\right) = \begin{cases} 1 - CDF_{noise,jEE}\left(\frac{main}{L-1}(1+(j-1)(1-\alpha)^{2}+\alpha^{2})\right) \\ Q\left(\frac{main}{L-1}\frac{1+(j-1)(1-\alpha)^{2}+\alpha^{2}}{\sigma_{noise,jEE}}\right) &, Gaussian \end{cases}$$

where  $CDF_{noise, jEE}$  is the CDF of the sequence noise of the *j*-error event

# Probability of MLSE Error Event (DER<sub>MLSE</sub>)

• Contribution of each error event to the overall probability of error event (DER) of MLSE is determined by the probability of the event and its fractional frequency:

$$DER_{MLSE} \approx \begin{cases} 2\sum_{j=1}^{\infty} \left(\frac{L-1}{L}\right)^{j} \left(1 - CDF_{noise,jEE}\left(\frac{main}{L-1}(1+(j-1)(1-\alpha)^{2} + \alpha^{2})\right)\right) \\ 2\sum_{j=1}^{\infty} \left(\frac{L-1}{L}\right)^{j} Q\left(\frac{main}{L-1} \frac{1+(j-1)(1-\alpha)^{2} + \alpha^{2}}{\sigma_{noise,jEE}}\right) , Gaussian \end{cases}$$

- The challenge is that for non-Gaussian noise,  $CDF_{noise,jEE}$  (or  $PDF_{noise,jEE}$ ) should be individually calculated for each sequence length
- In the case of white noise  $\sigma_{noise,jEE}^{\ 2} = \sigma_{noise}^{\ 2}(1+(j-1)(1-\alpha)^2+\alpha^2)$ , and the above expression can be approximated as:

$$DER_{MLSE} \approx \begin{cases} 2\sum_{j=1}^{\infty} \left(\frac{L-1}{L}\right)^{j} \left(1 - CDF_{noise}\left(\frac{main}{L-1}\sqrt{1 + (j-1)(1-\alpha)^{2} + \alpha^{2}}\right)\right) & \text{, White (See the note on the next slide)} \\ 2\sum_{j=1}^{\infty} \left(\frac{L-1}{L}\right)^{j} Q\left(\frac{main}{L-1}\frac{\sqrt{1 + (j-1)(1-\alpha)^{2} + \alpha^{2}}}{\sigma_{noise}}\right) & \text{, White Gaussian} \end{cases}$$

which is consistent with the symbol error rate results presented in shakiba\_3df\_01b\_2211.pdf

### **Few Scenarios**

- 1) White Gaussian noise  $n_{iEE}$  is also Gaussian with  $\sigma_{noise, iEE}^2 = \sigma_{noise}^2 (1 + (j-1)(1-\alpha)^2 + \alpha^2)$
- 2) Colored Gaussian noise  $n_{iEE}$  is also Gaussian with  $\sigma_{noise, iEE}^2 = \sigma_{noise}^2 (1 + (j-1)(1-\alpha)^2 + \alpha^2 + Red Terms (Slide 10))$
- 3) White non-Gaussian noise  $n_{iEE}$  has a PDF equal to the convolution of j+1 scaled noise PDFs:

$$PDF_{noise,jEE}(x) = PDF_{noise}(x) * conv_{i=2}^{j} PDF_{noise}(-(1-\alpha)(-1)^{i}x) * PDF_{noise}(\alpha(-1)^{j+1}x)$$

which almost always simplifies to (PDF is usually symmetric):

$$PDF_{noise, jEE}(x) = PDF_{noise}(x) * conv_{i=2}^{j} PDF_{noise}((1 - \alpha)x) * PDF_{noise}(\alpha x)$$

Note that, in the previous contribution further simplification was made by using  $PDF_{noise}$  instead of  $PDF_{noise,jEE}$  and scaling its argument back by the ratio between  $\sigma_{noise}$  and  $\sigma_{noise,jEE}$  to obtain  $PDF_{noise,jEE}$  for individual sequence noises

### **Few Scenarios**

#### 4) Colored non-Gaussian noise

There is no closed-form formula for  $PDF_{noise,jEE}$ , but still

$$\sigma_{noise, jEE}^2 = \sigma_{noise}^2 (1 + (j-1)(1-\alpha)^2 + \alpha^2 + Red Terms (Slide 10))$$

Numerical calculation could be practically prohibitive as it requires calculating many conditional PDFs for many error lengths

What do we do? (see next slide)

# Suggestions to Update the MLSE COM Calculations

- 1) Change symbol error rate to error event rate (DER)
- 2) Update the equations used in steps 2 to 5 as per slides 18 and 19 to include the effect of noise coloring
- 3) As a part of ongoing improvement, adopt the approach to obtain each individual PDF of the MLSE sequence noise for every sequence length ( $PDF_{noise,jEE}$ ) and since  $PDF_{noise,jEE}$  is not computable for colored non-Gaussian noise, borrow the convolution formula from white non-Gaussian noise (slide 15) and scale its argument by the ratio between  $\sigma_{noise,jEE}$  for white noise and colored noise to mimic the effect of noise coloring to obtain:

$$DER_{MLSE} \approx 2 \sum_{j=1}^{\infty} \left(\frac{L-1}{L}\right)^{j} \left(1 - CDF_{noise,jEE} \left(\frac{main}{L-1} \frac{\left(\operatorname{trace}(\rho_{noise,jEE})\right)^{\frac{3}{2}}}{\sqrt{\sum_{vertical} \sum_{horizental}(\rho_{noise,jEE})}}\right)\right)$$

## **Changes to the Steps**

- 1) Step 1 doesn't change
- 2) Calculation of  $SNR_{DFE}$  in step 2 doesn't change

Change  $DER_{DFE}$  in step 2 to (Appendix A):

$$DER_{DFE} \approx 2 \frac{L-1}{L} \left( 1 - CDF_{noise} \left( \frac{main}{L-1} \right) \right)$$

This change is for switching from symbol error rate to decision error ratio

3) Change  $DER_{MLSE}$  in step 3 to:

$$DER_{MLSE} \approx 2 \sum_{j=1}^{\infty} \left(\frac{L-1}{L}\right)^{j} \left(1 - CDF_{noise, jEE} \left(\frac{main}{L-1} \frac{\left(\operatorname{trace}(\rho_{noise, jEE})\right)^{\frac{3}{2}}}{\sqrt{\sum_{vertical} \sum_{horizental}(\rho_{noise, jEE})}}\right)\right)$$

This change is for switching from symbol error rate to decision error ratio and adding noise coloring effect

where  $CDF_{noise,jEE}$  is calculated from:

$$PDF_{noise,jEE}(x) = PDF_{noise}(x) * conv_{i=2}^{j} PDF_{noise}((1-\alpha)x) * PDF_{noise}(\alpha x)$$

and  $\rho_{noise, iEE}$  is calculated from the correlation coefficients of the noise:

$$\rho_{noise,jEE} = \begin{bmatrix} 1 & -(1-\alpha)\rho_1 & +(1-\alpha)\rho_2 & \cdots & (-1)^{j+1}\alpha\rho_j \\ -(1-\alpha)\rho_{-1} & (1-\alpha)^2 & -(1-\alpha)^2\rho_1 & \cdots & (-1)^j\alpha(1-\alpha)\rho_{j-1} \\ +(1-\alpha)\rho_{-2} & -(1-\alpha)^2\rho_{-1} & (1-\alpha)^2 & \cdots & (-1)^{j-1}\alpha(1-\alpha)\rho_{j-2} \\ \vdots & \vdots & \ddots & \vdots \\ (-1)^{j+1}\alpha\rho_{-j} & (-1)^j\alpha(1-\alpha)\rho_{-(j-1)} & (-1)^{j-1}\alpha(1-\alpha)\rho_{-(j-2)} & \cdots & \alpha^2 \end{bmatrix}$$

### Changes to the Steps

which are calculated from the overall colored noise PSD:

$$R_{NN}(\tau) = F^{-1}\{PSD_{noise}\} \rightarrow \rho_i = \frac{R_{NN}(iT)}{R_{NN}(0) = \sigma_{noise}^2} \quad i = -j, \cdots, 0, \cdots, j$$

which is obtained as power sum of the individual noise PSDs, each calculated based on their corresponding shaping filters (see slide 23 as an example)

If in addition any of the individual input noise sources is also colored, modify COM to import its PSD and put it through its noise shaping filter

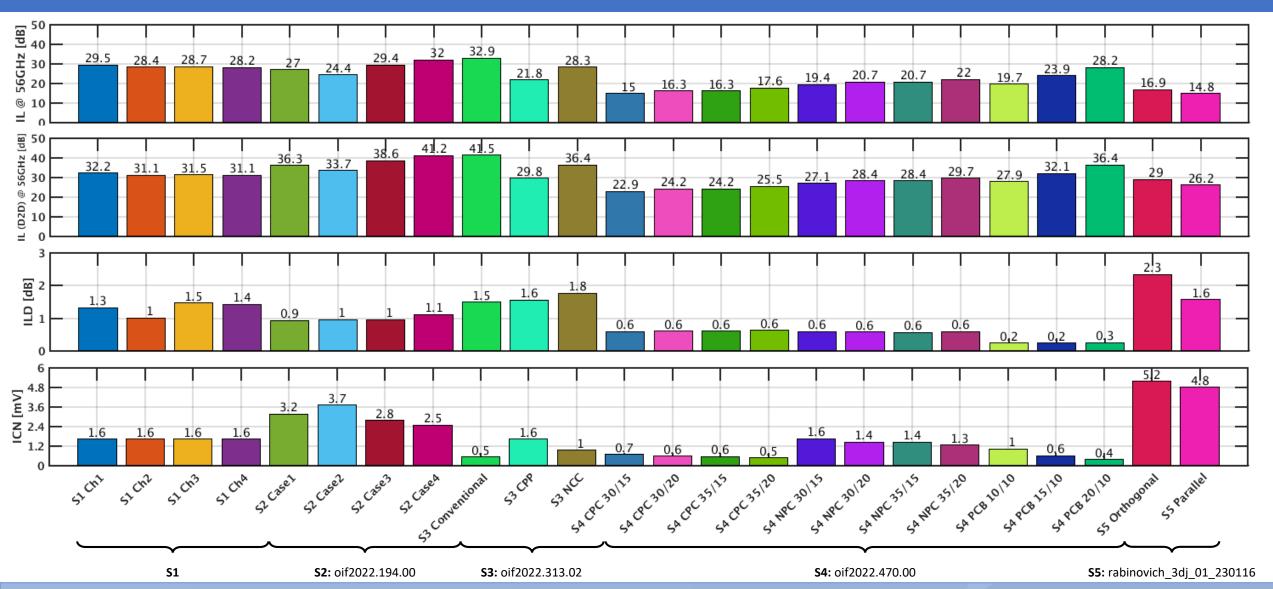
4) Change  $SNR_{DFE,equivalent}$  in step 4 to (Appendix B):

This change is to reflect the above changes

$$SNR_{DFE,equivalent} = \left(\frac{L-1}{main}CDF_{noise}^{-1}\left(1 - \frac{1}{2}\frac{L}{L-1}DER_{MLSE}\right)\right)^{2}SNR_{DFE}$$

5) Step 5 doesn't change

# 24 Study Cases



### **Study Cases – Link Parameters**

- Fix parameters were taken from the original channel documents
- Parameters that needed optimization were optimized using proprietary tool

Channel	Bit Rate [Gb/s]	Thru Swing [mV]	Fext Swing [mV]	Next Swing [mV]	TX FIR [Pre / Post]	Die C <sub>d</sub> [fF] L <sub>s</sub> [pH]	C <sub>b</sub> [fF]	Package [mm] [Ω]	Rx Filter BW	CTLE Pole/Zero Ratio	DFE [# of Taps]	Rx FFE [Pre / Post]	TX SNR [dB]	Rx Noise [V²/GHz]	Jitter Rand / DD [UI]
\$1	224	413	413	608	3/1	40/90/110 130/150/140	Included In channel	Included In channel	0.75 x f <sub>b</sub>	80/2.5/1	1	6/8	32.5	4.1E-8	0.01 / 0.02
<b>S2</b>	224	442	442	608	3/1	40/90/110 130/150/140	30	30 92.5	0.75 x f <sub>b</sub>	100/2.5/1	1	0/24	33	4.1E-8	0.01 / 0.02
\$3	224	413	413	608	3/1	40/90/110 130/150/140	30	30 92.5	0.75 x f <sub>b</sub>	80/2.5/1	1	0 / 24	33	4.1E-8	0.01 / 0.02
<b>S4</b>	224	413	413	608	3/1	40/90/110 130/150/140	40	30 92.5	0.75 x f <sub>b</sub>	80/2.5/1	1	0/24	33	4.1E-8	0.01 / 0.02
<b>S</b> 5	224	387	387	608	3/1	40/90/110 130/150/140	30	45 / 0 92	0.75 x f <sub>b</sub>	100/2.5/1	1	0/8	32.5	4.1E-9	0.01 / 0.02

# **Study Cases – Summary of Results**

Channel	Variant	DFE Tap	Theoretical Coding		D	ER	$\Delta SNR = \Delta COM  [dB]$			
	Variant	$=\alpha$	Gain [dB]	DFE	MLSE (White)	MLSE (Color)	Color / White	MLSE (White)	MLSE (Color)	Color - White
<b>S1</b>	Channel 1	0.8116	2.1977	2.3368 E-9	2.6393 E-14	2.9647 E-14	1.1233	2.2915	2.2784	-0.0130
	Channel 2	0.7272	1.8437	4.3729 E-10	1.4863 E-14	6.1617 E-15	0.4146	2.0043	2.1070	0.1027
	Channel 3	0.7655	2.0029	1.2885 E-8	1.4157 E-12	1.0233 E-12	0.7228	2.1359	2.1875	0.0517
	Channel 4	0.7850	2.0849	1.9631 E-9	3.7920 E-14	3.8648 E-14	1.0192	2.2225	2.2198	-0.0027
<b>S2</b>	Case 1 *	0.8600	2.4046	2.5379 E-10	0	0	NA	NA	NA	NA
	Case 2 *	0.8894	2.5310	4.6791 E-12	0	0	NA	NA	NA	NA
	Case 3	0.8702	2.4485	1.1886 E-8	1.1725 E-13	1.9379 E-13	1.6528	2.5270	2.4520	-0.0750
	Case 4	0.8535	2.3768	6.2446 E-7	1.7034 E-10	1.8271 E-10	1.0726	2.4734	2.4596	-0.0139
<b>S3</b>	Conventional	0.9729	2.8924	5.8797 E-4	8.4273 E-6	1.5780 E-5	1.8725	2.7981	2.5626	-0.2355
	СРР	1.0000	3.0102	3.4236 E-6	2.7366 E-10	5.4181 E-10	1.9798	2.8520	2.7198	-0.1324
	NCC	0.9923	2.9768	3.7980 E-4	2.9809 E-6	6.1568 E-6	2.0654	2.9143	2.6592	-0.2551
	CPC 30/15 *	0.8389	2.3141	2.1982 E-14	0	0	NA	NA	NA	NA
	CPC 30/20 *	0.8361	2.3022	4.1267 E-13	0	0	NA	NA	NA	NA
	CPC 35/15 *	0.8388	2.3136	3.9835 E-13	0	0	NA	NA	NA	NA
<b>S4</b>	CPC 35/20 *	0.9843	2.9419	8.7550 E-12	0	0	NA	NA	NA	NA
	NPC 30/15	0.9819	2.9315	5.5859 E-8	1.4547 E-13	1.5819 E-12	10.8746	2.8713	2.5082	-0.3630
	NPC 30/20	0.9847	2.9439	4.6879 E-7	8.4511 E-12	5.8129 E-11	6.8783	2.8930	2.5498	-0.3432
	NPC 35/15	0.9850	2.9452	4.7215 E-7	8.5130 E-12	5.8570 E-11	6.8801	2.8943	2.5509	-0.3434
	NPC 35/20	0.9837	2.9397	1.9817 E-6	1.3860 E-10	7.4913 E-10	5.4049	2.8809	2.5444	-0.3366
	PCB 10/10 *	0.9906	2.9693	3.3622 E-9	0	2.6229 E-15	NA	NA	2.6427	-0.1022
	PCB 15/10	0.9815	2.9300	5.6901 E-7	1.3188 E-11	6.5554 E-11	4.9707	2.9108	2.6185	-0.2922
	PCB 20/10	0.9542	2.8113	5.5608 E-5	1.2779 E-7	2.6143 E-7	2.0458	2.7758	2.5738	-0.2020
<b>S</b> 5	Orthogonal	0.9182	2.6555	3.4296 E-8	2.8283 E-13	7.7534 E-13	2.7413	2.5895	2.4404	-0.1491
	Parallel	0.8625	2.4151	7.5850 E-9	6.2132 E-14	1.4243 E-13	2.2923	2.4505	2.3331	-0.1174

<sup>\*</sup> Result are subject to numerical inaccuracy

# **Study Cases – Noise Shaping Filters**

with  $\sigma^2 = 1$ 

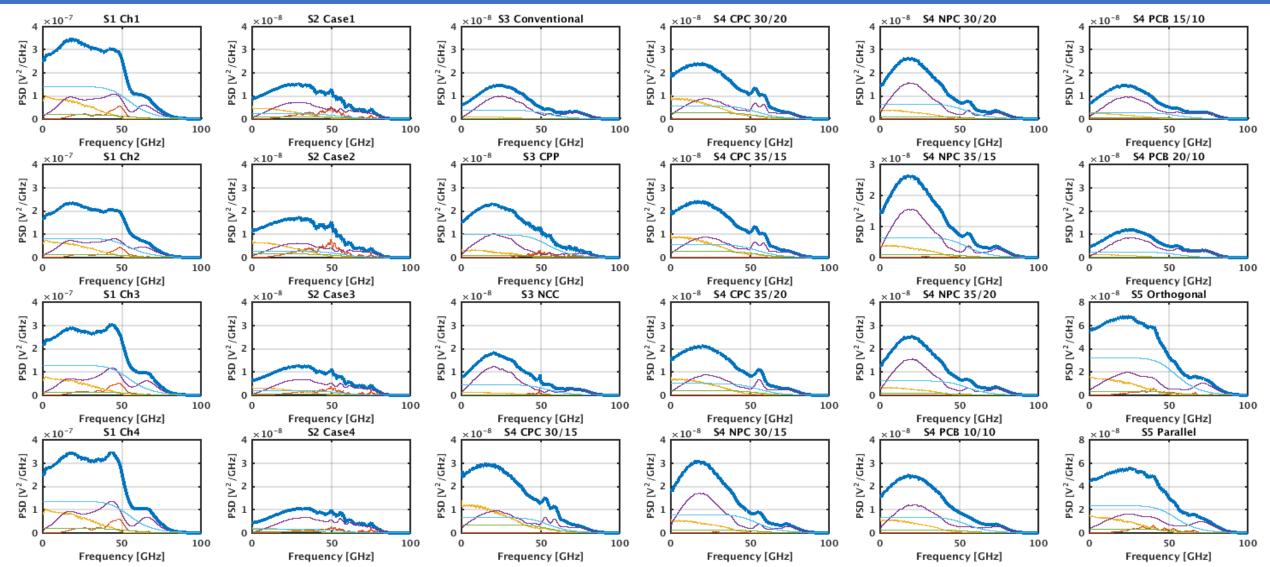
Transmitter Noise (TX SNR) Scale to Obtain TX Noise Power Calculated from TX SNR TX Noise TX Package Thru Channel RX Package RX Filter → RX Die → Colored) PSD Receiver Noise (eta 0) CTLE with  $\sigma^2 = eta0$ (Colored) PSD If receiver input noise is not white, its PSD should be used instead of the eta0 white noise Xtalk 3) Scale to Obtain Xtalk Power Calculated by COM Power TX Noise TX Filter TX Die TX Package Xtalk Channel RX Package RX Die RX Filter Sum Jitter Scale to Obtain Jitter Noise Power Calculated by COM White Noise **Jitter Noise** 4<sup>th</sup>-Order BT Nyquist LPF with  $\sigma^2 = 1$ Colored) PSD ISI Scale to Obtain ISI Power Calculated by COM ISI Noise White Noise

4th-Order BT Nyquist LPF

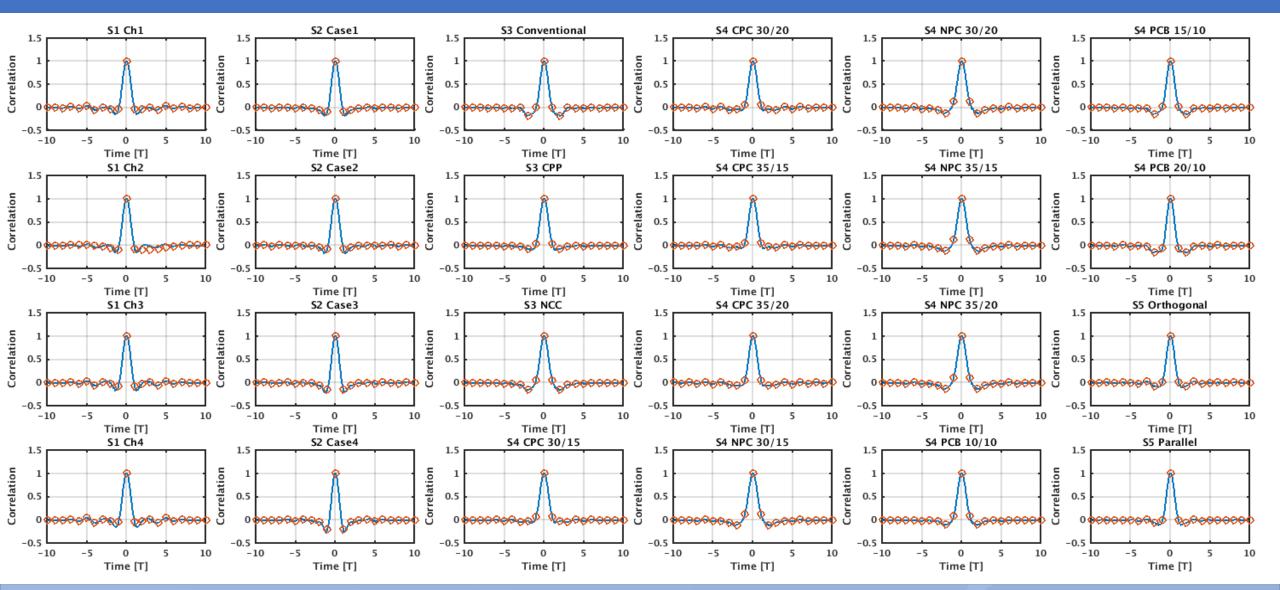
(Colored) PSD

### **Noise PSD**

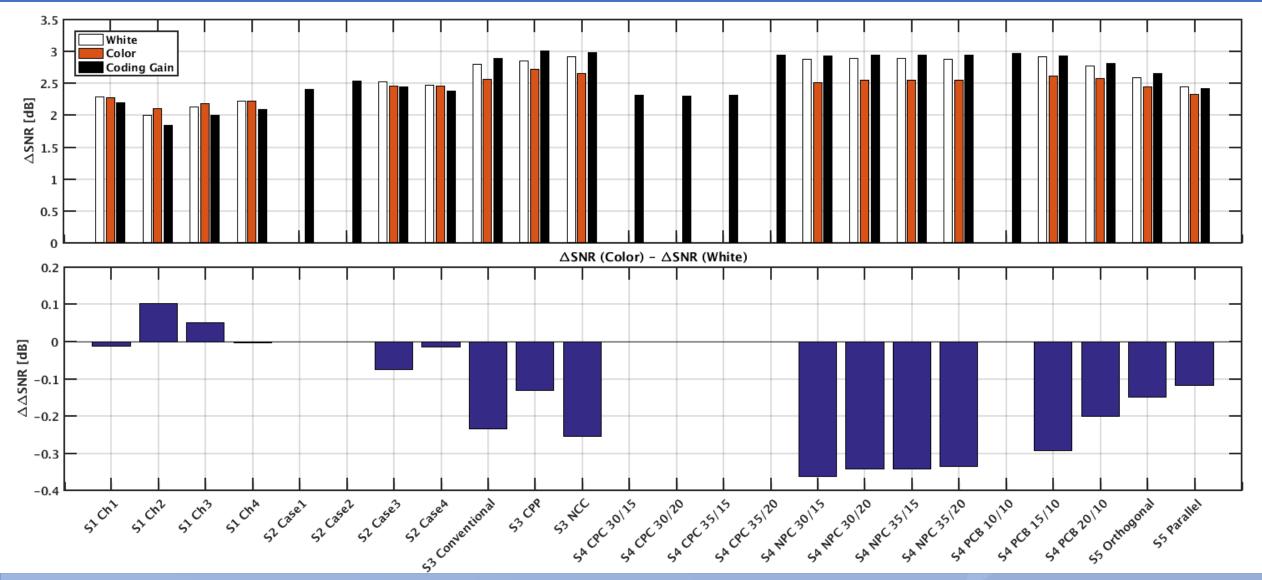




### **Noise Correlation**



# MLSE COM (ΔSNR)



### **Summary and Conclusions**

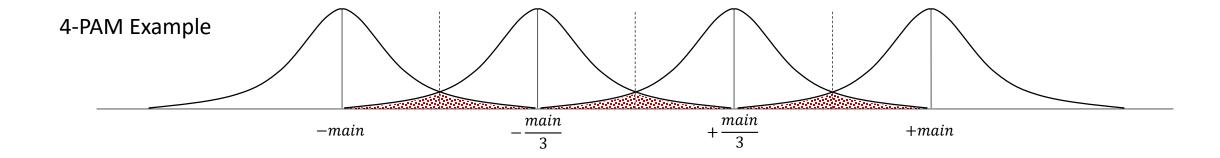
- Analysis of error event probability of MLSE under colored noise was performed
- MLSE COM ( $\Delta$ SNR) calculation was repeated for colored noise
- PDF<sub>noise, iEE</sub> formula was upgraded to obtain individual PDFs for each error event length
- ullet Noise coloring can increase or decrease total noise along the sequence depending on the correlation between noise samples and lpha
- Wireline channels have different noise injection points with noises with different PSDs and shaped by different filter responses
- As a result, coloring has a compound effect that should be evaluated case by case
- If any input noise is pre-colored, the method works but COM needs to have capability to import its PSD
- For study cases (17 yielded out of 24 cases), MLSE COM loss due to noise coloring averaged at -0.16dB and within -0.36dB to 0.10dB
- It is recommended that MLSE COM equations be updated to incorporate:
  - Switching from symbol error rate to event error rate (decision error ratio)
  - > Effect of noise coloring
  - > Individual  $PDF_{noise,jEE}$  for each error event length
- It is also recommended that importing input noise PSD capability be added to the COM tool

# Appendix A

### **Analysis of L-PAM 1-Tap DFE**

# **Error Event Rate (Decision Error Ratio – DER)**

 $\bullet$  Assuming outer PAM levels of  $\pm main$  and dominance of adjacent-level errors



• Error event probability (no error propagation):

$$DER_{DFE} \approx 2 \frac{L-1}{L} \left( 1 - CDF_{noise} \left( \frac{main}{L-1} \right) \right)$$

• This is the new equation for step 2

# **Appendix B**

### **Analysis of the Conceptual Equivalent DFE**

### **SNR**

$$DER_{DFE} \approx 2 \frac{L-1}{L} Q \left( \sqrt{\frac{3}{L^2-1} SNR_{DFE,equivalent}} \right)$$

$$DER_{DFE,equivalent} = DER_{MLSE}$$

$$SNR_{DFE,equivalent} = \left(\frac{L-1}{main}CDF_{noise}^{-1}\left(1 - \frac{1}{2}\frac{L}{L-1}DER_{MLSE}\right)\right)^{2}SNR_{DFE}$$

• This is the new equation for step 4